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4	Local Torrential Rainfall Event within a Mei-Yu Season Mesoscale Convective
5	System: Importance of Back-Building Processes
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ABSTRACT

22 An extreme rainfall event occurred over Hangzhou, China during the afternoon 23 hours on 24 June 2013. This event occurred under suitable synoptic conditions and the 24 maximum 4-hour cumulative rainfall amount was over 150 mm. This rainfall event had 25 two major rainbands. One was caused by a quasi-stationary convective line, the other 26 by a back-building convective line related to the interaction of outflow boundary from 27 the first rainband and an existing low-level mesoscale convergence line associated with 28 a Mei-Yu frontal system. The rainfall event lasted 4 hours while the back-building 29 process occurred in 2 hours when the extreme rainfall center formed. So far, few studies have examined the back-building processes in the Mei-Yu season that are caused by 30 the interaction of a mesoscale convergence line and a convective cold pool. 31

32 The two rainbands are successfully reproduced by the Weather Research and Forecasting (WRF) model with 4-level two-way interactive nesting. In the model, new 33 cells repeatedly occur at the west side of older cells, and the back-building process 34 35 occurs in an environment with large CAPE, low LFC and plenty of water vapor. 36 Outflows from older cells enhance the low-level convergence that forces new cells. 37 High precipitation efficiency of the back-building training cells leads to accumulated 38 precipitation of over 150 mm. Sensitivity experiments without evaporation of rainwater 39 show that the convective cold pool plays an important role in the organization of the 40 back-building process in the current extremely precipitation case.

41 Key words: Torrential rainfall; back-building processes; numerical simulation; trigger

- 42 mechanism; convergence line; convective cold pool
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44 Article Highlights:

- The genesis of an extreme rainfall and its important back-building process are
 investigated.
- The cold pool and associated gust front are essential components of back-building
 MCS.
- Cells along the back-building training line are high precipitation efficiency and low
 echo centroid.

51 1. Introduction

Torrential rainfall events can have severe, adverse consequences for society and 52 53 economy. Government decision making relies on accurate forecasting of such events, which in turn depends to a large extent on the prediction of numerical models. The 54 improvement of numerical prediction requires better understanding of physical 55 56 processes responsible for the formation and development of extreme rainfall events. 57 Mei-Yu torrential rainfall often occurs along the Yangtze-Huai Rivers basin of eastern 58 China in early summer season. During the Mei-Yu season, southwesterly low-level jet, 59 upper-level trough, subtropical high and the quasi-stationary Mei-Yu front are the 60 mainly weather systems for the production of heavy rainfall (e.g., Tao and Ding 1981; 61 Chen and Yu 1988; Ding 1992). Previous studies reveal that the heavy rainfall during 62 this period is generated by continuous lifting of moist monsoonal air along the Mei-Yu 63 front (Ding and Chan 2005). Many previous studies suggest that extreme rainfall events 64 result from mesoscale convective systems (MCSs), especially from slow moving or quasi-stationary MCSs (e.g., Maddox et al. 1979; Bluestein and Jain 1985; Doswell et 65 66 al. 1996; Moore et al. 2003). The Mei-Yu rainfall has obviously a quasi-stationary 67 factor, so many Mei-Yu rainfall events have large rainfall accumulation. Some studies 68 show that linear MCSs have greater chances to produce extreme rainfall than nonlinear MCSs (e.g., Houze et al. 1990; Parker and Johnson 2000; Schumacher and Johnson 69 2005, hereafter SJ2005; Ducrocq et al., 2008). SJ2005 describes two patterns of linear 70 71 MSCs: "training line-adjoining stratiform (TL/AS)" and "back building/quasistationary (BB)". The back-building process is a pattern when new cells form 72 73 repeatedly on the upstream side of the old ones and produce stratiform rain downstream. 74 Besides, SJ2005 also suggests that the back-building process is less predictable for the initiation and maintenance of this process because of the nonlinear convective scale 75 76 processes involved.

For repeatedly formation of new cells in the upstream, a continuous triggering mechanism and continuous supply of instability and moisture are required. Merritt and Fritsch (1984) studied the motion of hundreds of MCSs, and found some cases that were upstreaming moving there were unexplained at that time. Bluestein and Jain (1985) first identified the periodic appearance of new cells upstream that moved into the preexisting convective line as back-building process. There are many factors for triggering and maintaining back-building MCSs, including orographic lifting (e.g., Barthlott and

84 Davolio 2016), outflow boundaries (e.g., Doswell et al. 1996; Corfidi 2003; Wang et 85 al. 2014), and frontal zone forcing (e.g., Houston and Wilhelmson 2007, 2012). Among 86 them, one of the most common mechanisms to generate back-building MCS is the 87 forcing by the outflow boundary (or gust front of convective cold pool) produced by 88 older cells. Corfidi (2003) revealed that cold pool played an important role in MCS 89 propagation. SJ2005 noted that some back-building MCSs form and maintain by their 90 own storm-generated outflow boundaries/cold pools. A convective cold pool can lift 91 the low-level air parcel at its leading edge (e.g., Wilson et al. 1998; Ducrocq et al. 2008) 92 or change the low-level circulation locally and enhance convergence areas so as to initiate new cells (Houze 1993; Duffourg et al. 2016; Dahl and Xue 2016). Sometimes, 93 94 these outflow boundaries will combine with other factors (e.g., cold front, terrain) to produce back-building MCSs. Moore et al. (2012) documented a back-building MCS 95 96 generated by the interaction of cold front and convectively generated outflow boundaries. Xu et al. (2012) found that a back-building MCS was caused by a cold pool 97 98 which was trapped by high terrain over Taiwan. The collision of airmasses can also 99 produce back-building MCSs (e.g., Houston and Wilhelmson 2012; Dahl and Xue 100 2016).

101 Some studies show that the cold pool lifting mechanism will be impacted by the 102 characteristics of the upstream flow and the environment so as to influence the location 103 and intensity of the convective systems (e.g., Sun et al. 2005; Bresson et al. 2012; 104 Davolio et al. 2016; Li et al. 2021). Duffourg et al. (2018) showed that the 105 environmental moisture structure can influence the development and maintenance of 106 the back-building MCSs. Studies have also found back building processes associated 107 with the Mei-Yu front in the China region (e.g., Wang et al. 2014; Luo et al. 2014; 108 Wang et al. 2016; Wang et al., 2020). The quasi-stationary Mei-Yu front is favorable 109 for the occurrence of heavy rainfall. When it is accompanied by the quasi-stationary or 110 slow-moving back building MCSs, it is easy to produce extreme rainfall. However, few 111 studies have examined the back-building processes that occur during the Mei-Yu season 112 that are caused by the interaction of an existing low-level mesoscale convergence line 113 and the convective cold pool. This is true with the extreme rainfall event to be studied 114 here.

115 Specifically, the objective of this study is to investigate the back-building processes in an extreme rainfall event (Fig. 1), which occurred over the capital city, Hangzhou, 116 of Zhejiang Province, China. The maximum 4-h accumulative rainfall was over 150 117 118 mm. The accumulative rainfall amount during the back-building process which lasted 119 about 2 hours was over 140 mm. According to the climatological study of Zheng et al. (2016), the standard thresholds are divided into three grades according the 70th and 90th 120 121 percentiles for each of the accumulation periods: Grade I, Grade II and Grade III extreme 122 rainfall. For 3-h extreme rainfall, the thresholds are 125mm and 155mm, respectively. Thus, 3-123 h rainfall between 125 and 155 mm is defined as Grade II extreme rainfall in China, 124 so this case belongs to that category. The event caused massive floods in the northern 125 region of Hangzhou, resulting in significant economic losses including extensive 126 property damages. Zhai et al. (2015) conducted an observational study of this rainfall 127 event, and found that a surface mesoscale convergence line and a meso- γ -scale vortex 128 formed before the occurrence of rainfall event. Their observational study suggested the 129 importance of the vortex in the production of the torrential rainfall. However, the 130 special propagation and organization of the MCS were not studied. The extreme rainfall 131 was mainly caused by the back-building processes, and the propagation direction of the 132 MCS was opposite of the common spread direction of cold pool. To examine more 133 closely the physical processes responsible for the extreme rainfall in this case, in 134 particular the back-building processes in the MCS and their role in producing extreme 135 rainfall, the high-resolution WRF model is used to simulate and analyze this event.

The rest of this paper is organized as follows. Section 2 provides an overview of the extreme rainfall event. Section 3 describes the data used in the numerical model and the design of the simulation experiments. The numerical simulations are validated with the observations in section 4. The results on the back-building processes, the interaction of convective cold pool and a mesoscale convergence line, and the effect of the cold pool, are presented in section 5. A summary and some discussions are given in the concluding section.

143 **2.** Case overview

In this section, dense automatic weather station observations provided by the Chinese Meteorological Administration are used for surface analysis and documenting rainfall evolution. Synoptic analysis is presented using the interim European Center for Medium-Range Weather Forecasts Re-Analysis data (ERA-Interim) with a 0.75° resolution (http://apps.ecmwf.int/datasets/data/interim-full-daily/). Radar data from the Hangzhou Meteorological Bureau are used to document the evolution of the MCS andthe back-building processes.

151 **2.1 Rainfall distribution and evolution**

152 The 4-h accumulated rainfall field (Fig. 1a) shows there are mainly two rainbands 153 in this case. One has nearly an east-west orientation (referred to as rainband 1 hereafter) 154 and the other (rainband 2) is located to its southwest and has a northeast-southwest 155 orientation (as marked by the two gray dashed lines in Fig. 1a). Rainband 1 is associated 156 with a quasi-stationary MCS while rainband 2 is related to a back-building MCS that is the focus of this study. The maximum rainfall associated with rainband 2 exceeds 150 157 158 mm and the maximum is located near the northeast end of the band (Fig. 1a). To see the time evolution of rainfall associated with the rainbands, 10-min accumulated 159 rainfall at four stations (Tongxiang, Linping, Xingqiao, and Gongchenqiao, marked as 160 TX, LP, XQ, and GCQ in Fig 1a, respectively) are plotted in Fig. 1b. The maximum 161 162 rainfall of 162.1 mm occurred at GCQ station. Rainband 1 plays an important role in the generation of rainband 2, so the TX station on the western portion of rainband 1 is 163 164 also plotted. The time series of rainfall (Fig. 1b) show that both the initiation times and 165 the times of maximum accumulated rainfall at the four stations have sequential delays 166 as we move from the northeast most station TX through the southwest most station 167 GCQ, suggesting southwestward propagation of the precipitation systems through the 168 period (from 0740 UTC to 1200 UTC). Rainfall at the three stations along rainband 2 lasts for about 3 hours and shows a primary peak at 0850 UTC for LP and XQ stations 169

and at 0940 UTC for GCQ station, followed by one or two secondary peaks about one
hour later (Fig. 1b). The maximum 10-min rainfall at these three stations is between 23
mm and 29 mm. Rainfall starts abruptly and intensifies quickly and reaches peak
precipitation in about 30 - 40 minutes.

174 **2.2 Radar analysis**

175 The observed radar reflectivity is used to show the evolution of the rainbands and 176 the back-building processes of rainband 2. At 0700 UTC, in the northeast part of the 177 plotted domain (Fig. 2a) was a line of high reflectivity in the east-northeast to westsouthwest direction and it passed through station TX. This line intensified over the next 178 179 90 minutes (Fig. 3c) and corresponding the rainfall reached peak intensity at station TX (Fig. 2b). The reflectivity near TX maintained its intensity over the next 40 minutes 180 (Fig. 2e) then moved southeastward and weakened by 1000 UTC (Fig. 2f). This line of 181 182 convection was responsible for the precipitation along rainband 1 and also played 183 important role in the initiation of convection along rainband 2, as will be discussed 184 later.

Convection along rainband 2 first developed when cell C1 first formed near station LP at 0800 UTC (Fig. 2b). The cell core stayed more or less stationary and intensified over the next 50 minutes (Figs. 2c and 2d) and then started to propagate southeastward and became weaker by 1000 UTC (Fig. 2f). Cell C1 was primarily responsible for the heavy rainfall at stations LP and XQ (Fig. 1) while the secondary peaks at these two stations appeared influenced by cell C2 also as it formed to its southwest and expanded 191 northeastwards (Fig. 2f).

192 At 0850 UTC, new cell C2 appeared southwest of C1 (Fig. 2d) and became 193 stronger by 0910 UTC (Fig. 2e). It expanded in spatial extent and became linked up 194 with cell C1 to establish a convective line that qualifies as an MCS over the next hour 195 (Fig. 2f). C2 was clearly responsible for most of the precipitation at station GCQ 196 between 0900 and 1100 UTC (Fig. 2 and Fig. 1b). Meanwhile, a third convective cell 197 became established further southwest of C2 (Fig. 2f), and the three cells moved slowly 198 along the connected line northeastwards. The continuous generation of new convective cells upstream of older cells, relative to low-level flow, and the organization of the cells 199 200 into a southwest-northeast oriented convective line in this case are the typical characteristics of back-building MCSs, and the movement of cells along the line, 201 202 passing over the same locations, often result in extreme precipitation.

203 2.3 Synoptic analysis

204 The geopotential height, equivalent potential temperature, and wind fields at the 1000, 850 and 200 hPa levels are shown in Fig. 3 at 0600 UTC 24 June 2013, or about 205 206 2 hours before the heavy rainfall occurred in Hangzhou. At 1000 hPa (Fig. 3a), a cold 207 high-pressure/anti-cyclone system occupied the Bohai Sea, and the subtropical high 208 was located to the south over the northwestern Pacific, while a low-pressure system was located over the Sichuan Basin to the west which extended eastward along a quasi-209 210 stationary front. This quasi-stationary front is the Mei-Yu front of this season, which is 211 also a wind shear line with cyclonic flow curvature. The front passed through Hangzhou

City in northern Zhejiang Province. Studies (e.g., Chen and Chang 1980) have found that such horizontal wind shear is often more significant than thermal gradient over southern China for producing precipitation. South of the Mei-Yu front the surface equivalent potential temperature was much higher and south-southwesterly flows brought warm moisture air towards the heavy precipitation region.

At the 850 hPa level (Fig. 3b), the heavy precipitation region was also located within the southwesterly flows that provided low-level moisture in the region (Fig. 3b). At the 200 hPa levels, the precipitation region was located underneath strong westnorthwesterly flows at the southern edge of a mid-latitude upper-level jet where anticyclonic divergence flows exist (Fig. 3c). The coupling of convergence at the lowlevels and divergence at the upper-level provided favorable conditions for convective systems in the region.

224 **2.4 Surface observations**

225 Figure 4 shows analyses of surface temperature and flow fields together with 10min accumulated precipitation as observed by automated weather stations. At 0700 226 227 UTC (Fig. 4a), a mesoscale surface convergence line was clearly evident that passes 228 through the 4 stations discussed earlier. Weak precipitation existed slightly south of the 229 convergence line. According to the synoptic analysis, this mesoscale surface 230 convergence line is a part of the Mei-Yu front. For convenience and consistency, we 231 will use "convergence line" or "near surface convergence line" to describe this part of 232 Mei-Yu front in the ensuing analysis.

233 By 0810 UTC, rainband 1 has fully developed into a linear MCS, producing 234 significant precipitation and associated surface cold outflow that splits the convergence 235 line (Fig. 4b). The western edge of the cold outflow or gust front reached station LP at 236 0810 UTC, and 10 minutes later at 0820 UTC, a new precipitation center formed at the 237 station (Fig. 4c) that was associated with cell 1 shown in Fig. 2. By 0910 UTC (Fig. 238 4d), significant precipitation was found over stations LP, XQ and GCQ, establishing 239 rainband 2 that furthered extends southwestward later via backing building. The surface flows changed to easterly at the location of the original convergence line, due to the 240 southwestward spreading of the outflows along the convergence line. 241

242 The above observational results suggest that the cold outflows generated by rainband 1 played significant roles in the initiation of initial cells of rainband 2, while 243 outflows from additional cells on rainband 2 promoted the back-building processes. 244 Still, due to limitation of available data, understanding of the exact processes of 245 outflow-convergence line interaction, the triggering of new cells via back building, and 246 of the production of extreme precipitation requires high-resolution numerical 247 248 simulations that provide more complete information. The model configuration and simulation results are presented next. 249

250 **3. Model description**

The Advance Research version of the Weather Research and Forecasting Model (WRF-ARW; Skamarock et al., 2007; Klemp et al., 2007) version 3.7.1 is used to simulate this rainfall event. Four two-way nested domains are used (Fig. 5), consisting of grids of 27, 9, 3 and 1 km grid spacings with horizontal mesh sizes of 280 × 220, 255 301×250 , 301×250 , and 202×202 , respectively. Expect of synoptic scale fields, the 256 model results in this paper are from the inner most domain. The number of vertical level 257 is 57. Since this study focuses on low-level features, 19 levels are configured below 3 258 km. The model uses the Thompson microphysics scheme (Thompson et al. 2004, 2006, 259 2008), the rapid radiative transfer model (RRTM) longwave radiation scheme (Mlawer 260 et al. 1997), the Duhdia shortwave radiation scheme (Duhdia 1989), the Mellor-261 Yamada-Janjic (MYJ) planetary boundary layer scheme (Janjic 1994), the Noah-MP 262 land surface model and Eta surface layer scheme (Janjic 1996) based on the Monin-Obukhov similarity theory on all domains, while the Grell 3D cumulus scheme (Grell 263 and Devenyi 2002) is used in domains 1 and 2 only. The ERA-Interim reanalyses are 264 used to provide initial and boundary conditions. The simulations are integrated from 265 0000 to 1200 UTC of June 24, 2013. To examine the impact of cold pool, we performed 266 an additional experiment named NOEVAP, in which cooling from the evaporation of 267 rainwater is removed from the microphysics scheme. 268

269 4. Evolution of simulated convection and comparison with observations

We compare synoptic scale fields in the outmost domain with the ERA-Interim data and find that the model reproduces well the large-scale environment before convection occurred in Hangzhou, including the wind shear line extending eastwards from Sichuan Province, the Mei-yu front, the subtropical high over the ocean, and the southwesterly flows on its northwest side at 850 hPa (not shown).

Comparison of the 4-h accumulated rainfall between the simulation (Fig. 6) and the observational data (Fig. 1) show that the model successfully captures two rainbands 277 but their locations are shifted southward and westward by about 20 km (Fig. 6). The 278 maximum accumulated rainfall center of over 140 mm is reproduced, and is located at 279 the intercepting point of the two rainbands. The simulated rainfall also occurs about 280 two hours too earlier. Because of the rainfall in this event is associated with mesoscale 281 convergence line and the Mei-yu frontal system rather than local land surface features, 282 timing and location errors of precipitation often occur due to errors associated with 283 larger-scale features. For our purpose, the most important is that the key physical 284 processes are correctly reproduced in the simulation. Timing and position errors of simulated/predicted mesoscale and convective-scale systems are also encountered in 285 many earlier process studies, such as Weisman et al. (2013) and Xu et al. (2015). 286

Figure 7 shows the simulated radar composite reflectivity fields, which should be 287 compared to those in Fig. 2. Because the model timing error, the simulated fields shown 288 289 are 2 h earlier than observations. The model reproduces the nearly west-east-oriented 290 quasi-stationary convective line associated with rainband 1 at 0510 UTC (Fig. 7a) 291 which has increased in intensity and coverage in later hours (Fig. 7). Later, a sequence 292 of new cells forms to the southwest of this line (Fig. 7 b-f), similar to observed (Fig. 2 b-f). To differentiate from observations, we use A, B, C, D to label the simulated cells 293 294 that form via back building. Cell A is first initiated west of the convection line 295 associated with rainband 1 at 0550 UTC (Fig. 7b). Cell B forms further southwest of 296 cell A by 0610 UTC (Fig. 7c). The two cells reach their maximum intensity by 0710 297 UTC (Fig. 7e). At 0630 UTC, the gust front from cells B and A is indicated by the thick 298 dashed line in Fig. 7d, while at this time a new cell ahead of the gust front is found

299 along the convergence line. By 0710 UTC, this new cell is fully established and is 300 labeled cell C in Fig. 7e. The formation of cell C is somewhat different from cell C3 in 301 the observation which formed closer to cell C2 (Fig.2) but the process is still physical. 302 Later, cell C merges with cells B and A to form a connected line, and a gust front is 303 found southwest of cell C, and new cells are further triggered at the gust front (Fig. 7f). 304 Overall, the back building processes where new cells are triggered by rearward 305 propagating gust front and eventually organized into a line-oriented MCS are 306 reasonably well reproduced in the simulation, despite certain timing and position errors. In the next section, the cell initiation processes within the model will be examined in 307 308 more detail.

309 5. Initiation of convection and production of heavy rainfall

310 **5.1 Cell initiation and development**

Figure 8 shows surface features including streamlines, cold pool outflow 311 312 boundaries, composite reflectivity, convective available potential energy (CAPE), and 313 water vapor mixing ratio at 0540 UTC, about 10 minutes prior to cell A formed (c.f., 314 Fig. 7b). The cold pool boundary is defined where the perturbation potential temperature (θ'_e) is -1 K, and θ'_e is defined as departure from the domain-average of 315 316 θ_e (Dawson et al., 2010). The average domain is the whole domain 4. Figure 8 shows 317 that the arc-shaped convectively generated cold pool is located east of the high CAPE region. The CAPE in the region of interest is over 3200 J kg⁻¹. The near surface water 318 319 vapor mixing ratio (Fig. 8b) in the back-building formation region is over 22 g kg⁻¹.

320 The level of free convection (LFC) is mostly lower than 600 m, so air parcels can be 321 easily lifted to their LFC, especially in the presence of convergence forcing. Besides, 322 convective inhibition is nearly zero. The large CAPE, weak CIN, and low LFC provide 323 favorable conditions for convective initiations and production of heavy rainfall. The 324 radar reflectivity shows that the storms develop along the convergence line having high 325 CAPE and low LFC. As shown in Fig. 7, this convective line is consisted of cells A -326 D that are initiated one by one starting from northeast to southwest along the 327 convergence line. As the cold pool expending southwestward, lifting at the gust front 328 and convergence line intercept point initiates new convection.

329 To see how the environment changes near and upstream of the convection initiation 330 location, skew-T diagrams for soundings extracted from the blue star location in Fig. 8a are shown in Fig. 9. The sounding at 0440UTC (Fig. 9a), which is an hour before 331 332 the back-building process occurs, shows a moist low-level environment with large 333 CAPE (2927 J kg⁻¹) and low LCL (at 974hPa). The flow is mostly northerly below 334 1.5km but changes to westerly to southeasterly above. An hour later, the flow below 1.5 km turns to mostly easterly which is mainly caused by the outflow from the rainband 335 1. The low-level air is still very moist with mixing ratio exceeding 20 g kg⁻¹, and the 336 total precipitable water is 70 mm. The CAPE increases to 2975 J kg⁻¹ and LCL becomes 337 338 lower at 986 hPa, therefore it does not take much lifting for convection to initiate.

To see more clearly the initiation process, we plot in Figs. 10 and 11 vertical cross sections across cells A and B through their initiation and development stages (see Fig. for location). As shown in Figs. 3 and 7 (horizontal dBZ), this back-building 342 convective line consists of some discrete echo centers, suggesting a multicell storm. In 343 Figs. 10a and 11a, at 0540 UTC, there is enhanced northeasterly flow (from right to left 344 in the cross sections) near the surface with origination from the convection near the right edge of the cross section (which is part of rainband 1). Clouds have developed 345 with cloud water reaching 1.8 km (Fig. 11a) at the leading edge of the enhanced surface 346 347 flow or the gust front, with weak reflectivity forming at around 1 km level (Fig. 10a). 348 Vertical velocity is evident at the location of clouds. This is the beginning of cell A. 349 Over the next 10 minutes by 0550 UTC, the clouds of cell A have reached 2.8 km level (Fig. 11b) while precipitation has reached ground based on the reflectivity (Fig. 350 10b). The outflow of cell A combined with the old gust front increases the westerly 351 winds near the surface and pushes the surface gust front upstream (in terms of upper-352 level flow) to the location marked in Fig. 10b. At this time, there is a small blob of 353 354 cloud water at ~600 m level at the location of gust front, which corresponds to very 355 weak reflectivity at the same location in Fig. 10b. This is the very beginning of cell B. At the location of cell B, the LFC is also very low (Fig. 11b). 356

Over the next 10 minutes, cell A further develops, with clouds and reflectivity reaching nearly 4 km level (Figs. 10c, 11c), and the cell moves northeastward slightly due to mid-level flow advection. The new cell B upstream of cell A has developed significantly, with clouds and reflectivity reaching 3.7 km level. The gust front has moved further upstream to the left of cell B in the cross section. Over the next 30 minutes by 0630 UTC, the strength of cold pool has increased. The depth of cold pool is now over 1 km. Cell A becomes broader and maintains its echo top height at about 4 km, and becomes connected with convection to its northeast (Figs. 10d and 11d). Cell
B has much intensified, and its echo top has reached above 7 km and maximum
reflectivity reaches 55 dBZ. Most of the strong echo remains below the freezing level
(Fig. 10d), suggesting the precipitation is dominated by warm rain processes, as many
heavy-precipitation MCSs in the warm season of China are (e.g., Huang et al. 2019).

369 Due to the vertical wind shear, the convective cells tilt slightly towards the 370 northeast, and both cells also move slightly towards northeast (Fig. 10). As cells A and 371 B mature, the surface cold pool further spreads upstream (southwestward), and later triggers cell C that forms further upstream (Fig. 7). The processes are similar to the 372 gust-front pulsation mechanism described in Lin et al. (1998), who used an advection 373 374 mechanism to explain how new cells regenerated at the gust front moved rearwards (relative to the low-level flow) in a multi-cell system. The near surface convergence 375 376 ahead of the gust front forced an updraft and developed into convective cell in their study. However, the environment conditions in our case are different with those in Lin 377 378 et al. (1998). In our case, the gust front produced by earlier convective cells propagates 379 upstream (relative to mid-level flows), and triggers new convective cells that subsequently move downstream, and producing heavy precipitation given favorable 380 381 thermodynamic conditions. This is the typical back building process.

382 **5.2 Precipitation efficiency and water vapor**

Because this case produces extreme precipitation rates of more than 20 mm over 10 minutes (c.f., Fig. 1) and most strong echoes are below the 0° isotherm (Fig. 10), how 385 the low top convective cells produce such extreme rainfall is a question worth 386 investigating. How high is the precipitation efficiency of these cells? Huang et al (2014) 387 found that high rainfall rates usually correspond to high precipitation efficiency. Figure 388 12a shows the precipitation efficiency during the back-building process, following the 389 calculations of Sui et al. (2007) and Huang et al. (2014). They defined the cloud 390 microphysical precipitation efficiency (CMPE) as $PE = P/Cond_T$. P is the time-averaged 391 and volumetrically integrated amount of total precipitation flux. Cond_T is the total 392 condensation and deposition, which can be decomposed into the vapor deposition rates 393 for the growth of cloud ice, snow and graupel, the vapor condensation rate, and the local hydrometeor change and hydrometeor convergence. 394

395 To understand the evolution of one cell along the back-building convective line, we focus on cell A and check its precipitation efficiency during its lifetime. For the seldom 396 movement of the cell A from 0540 to 0740 UTC, we choose a 10 km \times 10 km region 397 (black box shown in Fig. 7d) to represent the cell A region. The time series of 398 399 precipitation efficiency (Fig. 12a) shows that at the onset stage of cell A, the precipitation efficiency was about 20 - 40 %. During its mature period, the precipitation 400 401 efficiency can reach to 80%. From Fig. 7 and Fig10d, cell A does not develop much 402 deeper (below 4 km) but becomes broader and begins to weaken at 0630 UTC. In the 403 meantime, both P and Cond_T have decreased. The decrease in $Cond_T$ is greater than P, 404 thus there is an increase in precipitation efficiency. Though the cells are not very deep, 405 the precipitation efficiency is high enough to produce extreme rainfall. The high CAPE, 406 very high low-level humidity and the presence of mesoscale convergence should also

407 contribute to the extreme rainfall.

408 The time-height plot of net water vapor flux into the black box shown in Fig. 7d 409 surrounding the cell A region is shown in Fig. 12b. Large inward water vapor fluxes 410 are found below 2 km at 0610 UTC. According to early figures, cell A is in its 411 development stage at this time (Fig. 7c). Negative outward net flux at the low levels 412 starts to appear at 0630 UTC. The main negative flux comes from the west boundary 413 that is close to cell A. From 0630 UTC, cell B develops quickly (Fig. 10d), which might 414 have drawn more air into itself and away from cell A. Development of downdraft in cell A should have also contributed to the negative fluxes. Despite the negative water 415 416 vapor fluxes at the low levels, large positive fluxes continue to exist between 1 and 2 km levels, and the precipitation efficiency becomes even higher. 417

418 **5.3 Role of cold pool**

419 To further confirm the role of cold pool in the back building process, we examine the results of experiment NOEVAP, which has the evaporative cooling turned off 420 within the microphysics scheme. Figure 13 shows that the evolution of the simulated 421 422 radar reflectivity and surface streamlines. The composite reflectivity in panels (b) 423 through (f) of Figure 13 can be directly compared to those in panels (a) through (e) of 424 control experiment in Fig. 7. The quasi-stationary convective line corresponding to 425 rainband 1 is still produced, and it moves southward somewhat away from the surface 426 convergence line later on, but no sequential development of new cells further southwest 427 along the convergence line as in the control experiment. Though previous studies

428 showed that a cold pool is not necessary to organize and maintain convection if large-429 scale conditions are suitable (Schumacher 2009; Peters and Schumacher 2016), in 430 experiment NOEVAP, the lack of cold pool from earlier convection does influence the 431 later evolution of the convective systems. This was also shown in Jeong et al (2016), 432 which suggested that evaporative cooling leaded to cold outflow that pushed convection 433 progressively toward the oncoming flow. In our case, the lack of cold outflow prevents 434 that the redevelopment of new cells upstream of the gust front via back-building 435 processes.

Figure 14 shows a comparison of surface fields from the control and NOEVAP 436 437 experiments. At 0510 UTC (Fig. 14a), the control run has produced several areas of precipitation south of the surface convergence line, which expands and becomes a 438 connected line by 0550 UTC (Fig. 14b). Besides, cell A is initiated at the intersectional 439 440 point of gust front and convergence line (red rectangle in Fig. 14b). This corresponds 441 to observed rainband 1 but with timing and spatial errors (c.f., Fig. 7). In these regions 442 of precipitation, the surface temperature is 3 to 6 degrees colder than warmer regions 443 (Fig. 14b), and the northward spreading of the cold pool has helped to keep the convergence line in place. In contrast, in experiment NOEVAP, precipitation only 444 445 exists near the northeast end of the convergence line and the surface cold pool is very 446 weak (Figs. 14c, 14d). The convergence line is located further south compared to the 447 control experiment. These results suggest that even for rainband 1, the cold pool plays 448 an important role in convection initiation and organization at the eastern part of the 449 band, and the cold outflow from rainband 1 helps to keep the convergence line

450 stationary. In later hours in the control experiment, the cold pool spreads further451 upstream and trigger cells A through D (c.f., Fig. 7).

452 To further analyze the impact of cold pool and the associated back-building process 453 on rainfall, 10-minute rainfall averaged over the pink rectangle region in Fig. 6 for 454 control and NOEVAP experiments are plotted in Fig. 15. The maximum average 455 rainfall rate in experiment NOEVAP is reduced from about 2.4 mm to about 1.35, or 456 by about 44%. The peak in NOEVAP is reached at a slower rate. These results further confirm the critical role of convective cold pools in triggering and supporting new 457 458 convection, and in producing the extreme rainfall of this case. Cold pool and associated 459 gust front are critical components of the back building process.

460 **6. Summary and conclusions**

An extreme rainfall event occurred over Hangzhou, Zhejiang Province, China in the afternoon of 24 June 2013, and produced maximum cumulative rainfall of more than 150 mm in 4 hours. The rainfall was primarily produced by convection organized into two major rainbands and both bands are linked to mesoscale convergence line at the low levels that is part of the Mei-Yu frontal system of the season.

This extreme rainfall case, including the initiation and organization of convection, is studied using radar and surface observations, and output from numerical simulations at 1 km grid spacing (nested within 3 coarser resolution grids). Both the observed and simulated data show the importance of the cold pool and the mesoscale convergence line in producing this torrential rainfall. The extreme rainfall was mainly produced by a sequence of convective cells that developed southwest of the older cells, as the cold 472 pool from the older cells spreads upstream (relative to the middle and upper-level flows) 473 along the mesoscale convergence line and triggers new convection. These cells, after 474 forming, moved very slowly northeastward and producing 10-minute rain rates of over 475 20 mm over. Such processes are commonly referred to as the back building processes. 476 A concept model is proposed to summarize the evolution of key processes involved 477 (Fig. 16). A mesoscale convergence line is found between northeasterly flows on the 478 north side and southeasterly flows on the south side. The convergence line is associated 479 with the Mei-Yu front and remains quasi-stationary. At the beginning of this rainfall event, light rainfall forms near the east end of the convergence line and strengthens to 480 481 become rainband 1 (Fig. 16a). As precipitation of rainband 1 increases, a cold pool due 482 to evaporative cooling is established that spreads mainly westward given the easterly surface flows. The cold pool that tries to spread northward also helps to keep the 483 convergence line in place (Fig. 16b). As the gust front moves westwards and 484 southwestwards, convergence lifting is strongest at the intercepting point of the gust 485 486 front and convergence line, and the air ahead of the gust front to its southwest has large CAPE, high humidity and low LFC, so it does not take much effort to lift near surface 487 air to its level of free convection, for deep convection to develop. In fact, the cells 488 489 develop very quickly after initiation and produce heavy rainfall.

490 After a new cell is triggered, which is labeled cell A (Fig. 16b), it intensifies and 491 produces a cold pool underneath, which merges with the cold pool of earlier cells and 492 pushes the gust front westwards. The gust front subsequently triggers the next cell along 493 the convergence line (cell B) as cell A moves slightly downstream away from the gust 494 front (Fig. 16c). The process can repeat several times, and produce a sequence of 495 convective cells that eventually merge to form a linear MCS. The repeated triggering 496 of new cells upstream of an MCS is commonly referred to as back building, since it 497 occurs on the back side of the MCS. As the cells move slowly along the same line, 498 extreme precipitation can be produced under favorable environmental conditions, as is 499 the current case.

The strong radar echoes that can exceed 50 dBZ of the cells are mostly found 500 501 below the freezing level or about 4 km height, suggesting that warm rain dominates the precipitation processes. Low echo centroid is often found in extreme precipitation cases 502 503 during the warm season in China where low-level moisture is plenty. The precipitation 504 efficiency of the convective cells can reach 80%, i.e., 80% of water vapor fluxes into the convective storm is rained out to the ground, which is another important factor of 505 506 the extreme precipitation. The generally very humid environment is the middle to lower 507 troposphere should have contributed to the high precipitation efficiency.

The effect of cool pool and the associated back building process are further confirmed by a sensitivity experiment in which evaporative cooling within microphysics is turned off. In this case, the quasi-stationary convergence line shifted southward in the absence of the cold pool generated by the earlier rainband, and no new cells are initiated along the convergence line upstream or west of the rainband. The cold pool and associated gust front are essential components of the back-building MCS.

514

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705 Figure captions:

706 Figure 1: (a) Distribution of the 4-h accumulated rainfall (shaded, mm) from 0700 -707 1100 UTC 24 June, 2013. The station names are abbreviated in Tongxiang (TX), 708 Linping (LP), Xinggiao (XQ), and Gongchengiao (GCQ). JiangXing and Hangzhou are 709 the names of two cities in Zhejiang Province. The gray lines denote the two rainbands. 710 (b) Temporal variation of 10-min accumulated rainfall (mm) at four stations: TX (red 711 line), LP (black line), XQ (green line), and GCQ (blue line), respectively, during 0700 712 - 1200 UTC 24 June, 2013. Figure 2: Composite radar reflectivity (dBZ) observed by Hangzhou and Ningbo radars. 713 Convective cells are indicated by C1, C2, C3 from 0700 to 1200 UTC 24 June, 2013. 714 Figure 3: Environmental features based on ECMWF ERA-Interim at 0600 UTC 24 June 715 2013. The geopotential height (black solid lines, contour interval of 20 gpm), equivalent 716 potential temperature (shaded), and the winds (a full barb is 4 m s-1) at (a) 1000-hPa, 717 718 (b) 850-hPa. (c) 200-hPa geopotential height (black solid lines, contour interval of 20 719 gpm), horizontal divergence (shaded), and the winds (a full barb is 4 m s-1). The 720 distribution of a surface stationary front indicates the location of the Mei-Yu front. The 721 dashed blue rectangle denotes the position of shear line. Letters H, L, W and C denote 722 the centers of a high and low pressure system, and the warm and cold air, respectively. 723 Figure 4: Objective analyses of 2-m temperature (shaded, °C), 10-minutes accumulated rainfall (blue contours at $1 \times 2N$ mm, where N = 0, 1, 2, 3, ...), and streamlines of 10-m 724 725 winds observed by automated weather stations at the times shown (in UTC) of 24 June, 726 2013.

Figure 5: The four nested domains for numerical simulations. Domains d01, d02 and
d03 labeled have 27, 9, and 3 km grid spacing, respectively. The innermost black
rectangle is for the 1 km grid spacing domain d04.

Figure 6: The distribution of 4-h accumulated rainfall (shaded, mm) during the period

- of 0500-0900 UTC from the finest-resolution (1 km) domain. The gray lines denote the
- two rainbands. The pink rectangle indicates the region for calculation of area-averagedhourly rainfall.
- Figure 7: Same as Figure 2, but for the control simulation on the 1 km grid. The simulated convective cells denote by A, B, C, D. The black dashed line denotes the gust front, who was simply defined by the wind filed. The black rectangle box in Fig. 7d denotes the region used to calculate precipitation efficiency. The black line in Fig. 7d denotes the location of the cross-section shown in Figs. 10 and 11.
- 739 Figure 8: Surface potential temperature perturbation of -1 K (black contours, indicating
- the cold pool edge), and streamlines at 0540 UTC. The shading in (a) shows CAPE (J

kg -1), and in (b) water vapor mixing ratio (g kg -1) at 0540 UTC. The purple contours

- are 45 dBZ composite radar reflectivity at 0720 UTC. The blue star in Fig. 8a indicates
- the location of extracted sounding shown in Fig. 9.
- Figure 9: Sounding extracted from the simulation at (a) 0440UTC and (b) 0540 UTC,
- at the location of blue star in Fig. 8a.
- Figure 10: Vertical cross sections along the line in Fig. 7d of simulated radar reflectivity
- (shaded, dBZ), equivalent potential temperature θ_{e} (black contours at 4 K intervals),
- 748 0°C temperature (purple contours), and in-plane wind vectors with vertical velocity

amplified by a factor of 3. The upward arrows below the panels denote the location ofgust front.



reference in the series of allow a voluged to mini fullituit over the print rectangle in the

6 for control run (black) and NOEVAP run (red) from 0500 UTC and 0900 UTC.

Figure 16: Conceptual model illustrating the back-building processes in the extreme rainfall event. The blue ellipse indicates the surface cold pool. The dark gray dashed lines indicate the mesoscale convergence boundary. The blue cold front symbols indicate the gust front on the southwest side of the cold pool. The light gray ellipses indicate the convergence region forced by the gust front and mesoscale convergence boundary. Panels (a), (b) and (c) illustrate different stages of the back-building MCS.

772



Figure 1: (a) Distribution of the 4-h accumulated rainfall (shaded, mm) from 0700 1100 UTC 24 June, 2013. The station names are abbreviated in Tongxiang (TX),
Linping (LP), Xingqiao (XQ), and Gongchenqiao (GCQ). JiangXing and Hangzhou are
the names of two cities in Zhejiang Province. The gray lines denote the two rainbands.
(b) Temporal variation of 10-min accumulated rainfall (mm) at four stations: TX (red
line), LP (black line), XQ (green line), and GCQ (blue line), respectively, during 0700
- 1200 UTC 24 June, 2013.



787 Figure 2: Composite radar reflectivity (dBZ) observed by Hangzhou and Ningbo radars.







792	Figure 3: Environmental features based on ECMWF ERA-Interim at 0600 UTC 24 June
793	2013. The geopotential height (black solid lines, contour interval of 20 gpm), equivalent
794	potential temperature (shaded), and the winds (a full barb is 4 m s ⁻¹) at (a) 1000-hPa,
795	(b) 850-hPa. (c) 200-hPa geopotential height (black solid lines, contour interval of 20
796	gpm), horizontal divergence (shaded), and the winds (a full barb is 4 m s ⁻¹). The
797	distribution of a surface stationary front indicates the location of the Mei-Yu front. The
798	dashed blue rectangle denotes the position of shear line. Letters H, L, W and C denote
799	the centers of a high and low pressure system, and the warm and cold air, respectively.



Figure 4: Objective analyses of 2-m temperature (shaded, °C), 10-minutes accumulated 804 rainfall (blue contours at 1×2^{N} mm, where N = 0, 1, 2, 3, ...), and streamlines of 10-m 805 winds observed by automated weather stations at the times shown (in UTC) of 24 June, 806

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2013.



Figure 5: The four nested domains for numerical simulations. Domains d01, d02 and

d03 labeled have 27, 9, and 3 km grid spacing, respectively. The innermost black

- rectangle is for the 1 km grid spacing domain d04.



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817 Figure 6: The distribution of 4-h accumulated rainfall (shaded, mm) during the period

of 0500-0900 UTC from the finest-resolution (1 km) domain. The gray lines denote the

- 819 two rainbands. The pink rectangle indicates the region for calculation of area-averaged
- 820 hourly rainfall.
- 821



Figure 7: Same as Figure 2, but for the control simulation on the 1 km grid. The simulated convective cells denote by A, B, C, D. The black dashed line denotes the gust front, who was simply defined by the wind filed. The black rectangle box in Fig. 7d denotes the region used to calculate precipitation efficiency. The black line in Fig. 7d denotes the location of the cross-section shown in Figs. 10 and 11.



Figure 8: Surface potential temperature perturbation of -1 K (black contours, indicating the cold pool edge), and streamlines at 0540 UTC. The shading in (a) shows CAPE (J

 kg^{-1} , and in (b) water vapor mixing ratio (g kg⁻¹) at 0540 UTC. The purple contours

are 45 dBZ composite radar reflectivity at 0720 UTC. The blue star in Fig. 8a indicates

the location of extracted sounding shown in Fig. 9.





841 Figure 9: Sounding extracted from the simulation at (a) 0440UTC and (b) 0540 UTC,

842 at the location of blue star in Fig. 8a.

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Figure 10: Vertical cross sections along the line in Fig. 7d of simulated radar reflectivity (shaded, dBZ), equivalent potential temperature θ_e (black contours at 4 K intervals), 0°C temperature (purple contours), and in-plane wind vectors with vertical velocity amplified by a factor of 3. The upward arrows below the panels denote the location of gust front.



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Figure 11: Vertical cross sections along the line in Fig. 7d of potential temperature 857 858 perturbation (shaded, K), cloud water (contoured in green at 0.3, 0.5, 1 g kg⁻¹), horizontal divergence (contoured in purple starting at $-5 \times 10^{-4} s^{-1}$, at intervals of 5 859 $\times 10^{-4} s^{-1}$), 20 dBZ composite radar reflectivity (gray contours), LFC (white line, 860 861 m), and in-plane wind vectors with vertical velocity amplified by a factor of 3.



Figure 12: (a) Time series of precipitation efficiency PE and (b) the time-height plot of lateral water vapor flux ($\times 10^{-6}$ kg s⁻¹) from 0540 to 0740 UTC.



870 Figure 13: Simulated composite radar reflectivity (shaded, dBZ) and the streamlines of

871 10-m winds of experiment NOEVAP at different times of simulation.

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875 **23** 24 25 26 27 28 29 30 31 876 Figure 14: 2-m temperature (shaded, °C), 10-minutes accumulated rainfall (purple 877 contours at 1×2^{N} mm, where N = 0, 1, 2, 3, ...), and streamlines of 10-m winds from the 878 control experiment (upper panels) and experiment NOEVAP (lower panels) at the times 879 (in UTC) labeled in the figure. The red trianglein (b) denotes the location of cell A's

- 880 initiation.
- 881



Figure 15. Time series of area-averaged 10-min rainfall over the pink rectangle in Fig.

6 for control run (black) and NOEVAP run (red) from 0500 UTC and 0900 UTC.



Figure 16: Conceptual model illustrating the back-building processes in the extreme rainfall event. The blue ellipse indicates the surface cold pool. The dark gray dashed lines indicate the mesoscale convergence boundary. The blue cold front symbols indicate the gust front on the southwest side of the cold pool. The light gray ellipses indicate the convergence region forced by the gust front and mesoscale convergence boundary. Panels (a), (b) and (c) illustrate different stages of the back-building MCS.