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2	Impacts of Horizontal Propagation of Orographic Gravity Waves on the Wave Drag
3	in the Stratosphere and Lower Mesosphere
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12	Key Points:
13	• Impact of horizontal propagation of mountain wave on wave drag in the stratosphere and
14	lower mesosphere is evaluated using reanalysis data.
15	• Horizontal propagation reduces (enhances) the orographic wave drag in the lower
16	stratosphere (mid-upper stratosphere and lower mesosphere).
17	• The impact of horizontal propagation is most prominent in winter, over the western
18	Tibetan Plateau, Rocky Mountains, and Greenland.
19	• Incorporation of horizontal propagation in orographic wave drag parameterization can
20	potentially alleviate commonly seen model wind and temperature biases.
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Abstract

The impact of horizontal propagation of mountain waves on the orographic gravity wave 23 drag (OGWD) in the stratosphere and lower mesosphere of Northern Hemisphere is evaluated 24 for the first time. Using a fine-resolution (1-arc minute) terrain and 2.5°×2.5° ECMWF ERA-25 interim reanalysis data during 2011-2016, two sets of OGWD are calculated offline according to 26 a traditional parameterization scheme (without horizontal propagation) and a newly proposed 27 scheme (with horizontal propagation). In both cases, the zonal-mean OGWD show similar spatial 28 patterns and undergo a notable seasonal variation. In winter, the OGWD is mainly distributed in 29 the upper stratosphere and lower mesosphere of mid-high latitudes, whereas the summertime 30 31 OGWD is confined in the lower stratosphere. Comparison between the two sets of OGWD reveal that the horizontal propagation of mountain waves tends to decrease (increase) the OGWD in the 32 lower stratosphere (mid-upper stratosphere and lower mesosphere). Consequently, including the 33 horizontal propagation of mountain waves in the parameterization of OGWD can reduce the 34 excessive OGWD in the lower stratosphere and strengthen the insufficient gravity wave forcing 35 in the mesosphere, which are the known problems of traditional OGWD schemes. The impact of 36 horizontal propagation is more prominent in winter than in summer, with the OGWD in western 37 38 Tibetan Plateau, Rocky Mountains and Greenland notably affected.

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44 **1 Introduction**

Most mountain waves, or orographic gravity waves (OGWs), are unresolved subgrid-45 scale phenomena in general circulation models (GCMs) and chemistry climate models (CCMs). 46 In spite of their small horizontal scales, these waves are of great importance in transporting the 47 momentum and energy from the lower troposphere to the middle atmosphere. The breaking of 48 mountain waves produces a body force known as orographic gravity wave drag (OGWD), which 49 50 has been shown to play an vital role in shaping the general circulation in the middle atmosphere [e.g., Holton, 1983; Fritts and Alexander, 2003; McLandress and Shepherd, 2009] as well as in 51 the troposphere through the downward control mechanism [Haynes et al., 1991]. In order to 52 reproduce a realistic atmospheric circulation, the effects of OGWD need to be accounted for in 53 climate models. 54

55 First developed in early 1980s, the parameterization of OGWD is implemented in nearly 56 all modern global models [e.g., Lott and Miller, 1997; Scinocca and McFarlane, 2000; Kim and 57 Doyle, 2005]. With the aid of OGWD parameterization, the performance of global models has been improved substantially [e.g., Palmer et al., 1986]. However, current GCMs/CCMs still 58 59 suffer from systematic temperature and wind biases that might be attributed to inadequate 60 parameterization of OGWD. For instance, climate models often produce an unrealistic upright northern polar-night jet. The lack of vertical tilt of the jet in turn yields a weak meridional 61 gradient of temperature in the polar mesosphere through the thermal wind balance [Kim, 2007]. 62 The simulated polar vortex in the lower stratosphere of Southern Hemisphere is often too cold as 63 compared to observations [McLandress et al., 2012]. This cold-pole bias results in unrealistic 64 ozone depletion in Antarctica, which notably affects the simulated climate of Southern 65 Hemisphere [Polvani et al., 2011]. Long et al. [2012] validated the accuracy of the British Met 66

Office middle atmospheric analyses against satellite observations. Considerable temperature biases (i.e., a few tens of K) were revealed in the mesosphere, implying insufficient gravity wave forcing there. Therefore, improving the parameterization of OGWD in climate models remains an important issue.

Efforts are being made to more accurately represent the OGWD in GCMs/CCMs. Choi 71 and Hong [2015] updated the subgrid-scale orographic parameterization by including the effects 72 73 of terrain anisotropy and flow blocking drag, which contributed positively to the short- and 74 medium-range forecasts of the Global/Regional Integrated Model system (GRIMs) global model program (GMP). The seasonal simulation was also improved throughout the troposphere and 75 stratosphere during boreal winter. Garcia et al. [2017] modified the parameterization of OGWD 76 in the Whole Atmosphere Community Climate Model (WACCM) by removing the "land fraction" 77 factor and explicitly considering the low-level wind orientation with respect to the topography. 78 With this updated scheme, the structure of simulated Antarctic polar vortex was improved; the 79 80 long-term trend of temperature in the polar cap of Southern Hemisphere was better captured as well [Calvo et al., 2017]. 81

82 Given the complexity of realistic mountain waves (e.g., their generation and propagation), 83 there are still formidable challenges in representing the OGWD in climate models. Specifically, traditional OGWD parameterization schemes assume that mountain waves only propagate 84 vertically within the model grid column where they are triggered. However, satellite observations 85 revealed that mountain waves can propagate horizontally as far as several hundreds of kilometers 86 away from their source region [e.g., Alexander et al., 2009; Alexander and Teitelbaum, 2011; 87 Jiang et al., 2014], even for hydrostatic mountain waves [e.g., Smith, 1980]. Owing to the 88 horizontal propagation of mountain waves, the local wave amplitude will be reduced with height, 89

which in turn affects the breaking of mountain waves [*Eckermann et al.*, 2015; *Xu et al.*, 2017].
As studied in *Broutman et al.* [2017] for orographic gravity waves triggered by the Auckland
Island, this effect can even dominate those of refraction and background density variation and
thus cannot be neglected in the parameterization of OGWD.

The horizontal propagation of mountain waves is so far not taken into account in any 94 operational OGWD scheme, as far as we know. Based on the Gaussian beam approximation 95 96 (GBA) [Pulido and Rodas, 2011], Xu et al. [2017] proposed a new OGWD parameterization scheme involving the horizontal propagation of mountain waves. Unlike the standard ray theory, 97 the GBA is a higher-order ray approximation. For a given ray tube, it takes into account not only 98 99 the contribution of this ray but also a beam of rays around it. Consequently, the ray solution can be well defined even at caustics where multiple rays intersect [Pulido and Rodas, 2011]. In this 100 101 work, the impact of horizontal propagation on the OGWD is evaluated for the first time using the GBA-based scheme as well as a traditional scheme that does not consider the horizontal 102 propagation. Particular attention is paid to the OGWD in the stratosphere and lower mesosphere 103 (between z = 10 km and 55 km) of Northern Hemisphere, since the tropics and Southern 104 Hemisphere are predominantly covered by the ocean. 105

The rest of this paper is organized as follows. Section 2 briefly describes the dataset and methodology. Section 3 presents and compares two sets of OGWD calculated from two different parameterization schemes. Finally, this paper is summarized in section 4.

110 2 Dataset and methodology

In theory, breaking mountain waves can exert a body force (i.e., OGWD) on the mean flow through the deposition of gravity wave momentum, i.e.,

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$$\mathbf{F}_{GW} = \frac{\partial \overline{\mathbf{v}}}{\partial t} = \frac{1}{\overline{\rho}(z)} \frac{\partial \mathbf{\tau}}{\partial z} = \frac{1}{\overline{\rho}(z)} \frac{\partial}{\partial z} \left[-\overline{\rho}(z) \overline{\mathbf{v}' w'} \right], \tag{1}$$

where $\bar{\rho}(z)$ is the base-state density, $\bar{\mathbf{v}}$ is the mean-flow horizontal velocity, and \mathbf{v}' and \mathbf{w}' are the wave-induced horizontal and vertical velocity perturbations. Obviously, the OGWD is closely related to the vertical distribution of wave momentum flux (WMF), i.e., $\bar{\mathbf{v}'w'}$. The nature of OGWD parameterization is to represent the WMF by unresolved topography and resolved largescale flow properties (e.g., wind and temperature).

In this work, the daily, $2.5^{\circ} \times 2.5^{\circ}$ ERA-interim reanalysis data in the period of 2011-2016 119 is adopted to provide the background fields (U, V and T) for mountain waves. Produced at the 120 European Centre for Medium-Range Weather Forecasts (ECMWF) [Dee et al., 2011], this 121 dataset has 60 levels in the vertical, with the model top located at 0.1 hPa (i.e., in the lower 122 mesosphere). For the subgrid-scale orography (SSO), the 1-arc-minute Global Relief Model 123 124 (ETOPP1) is employed, which is developed at National Centers for Environmental Information (NCEI) of NOAA [Amante and Eakins, 2009]. Figure 1a displays the main topography on the 125 Northern Hemisphere (north of 15°N), which includes the Tibetan Plateau, Mongolian Plateau 126 127 and Iranian Plateau in Asia, the Rocky Mountains along the western coast of North America, and Greenland in high latitudes, etc. The topographic forcing within the grid cell of the $2.5^{\circ} \times 2.5^{\circ}$ 128 ERA-interim reanalysis can be measured by the standard deviation (SD) of SSO inside the cell. 129 As shown in Fig. 1b, the SSO SD is in general less than 400 m, with moderately high SD located 130

over the Iranian Plateau, southern Rocky Mountains, and Greenland. Large SDs in excess of
1000 m are mainly located over the western Tibetan Plateau.

According to the 1-arc-minute SSO and 2.5° ECMWF ERA-Interim reanalysis, two sets of OGWD are calculated *offline*. For the first set, we use the parameterization scheme employed by the ECMWF forecast model [*Lott and Miller* 1997, hereafter LM97]. The other set uses the newly proposed parameterization scheme in *Xu et al.* [2017, hereafter X17]. Consistent with the LM97 scheme, mountain waves in the GBA-based scheme are assumed to be hydrostatic and irrotational, with the vertical wavenumber give by

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$$m(z)^{2} = \frac{N^{2}K^{2}}{(Uk+Vl)^{2}} \left[1 - (Uk+Vl) \left(k \frac{\partial^{2}U}{\partial z^{2}} + l \frac{\partial^{2}V}{\partial z^{2}} \right) \right],$$
(2)

where *N* is the Brunt-Väisälä frequency, *K* is the horizontal wave number $\mathbf{K} = (k, l)$ magnitude, and $\mathbf{V}(z) = (U(z), V(z))$ is the horizontally homogeneous mean wind. The second term within the square bracket of Eq. (2) denotes the effect of wind vertical curvature. According to *Teixeria et al.* [2004] and X17, this effect has a large influence only at low Richardson number (Ri) of order unity. For the realistic atmospheric conditions, the Richardson number is usually greater than 10 such that it is omitted herein.

146 In order to facilitate the comparison, mountain waves in the two schemes are launched from the same reference level with equal WMF. The reference level is jointly determined by the 147 148 SD of SSO and the low-level mean wind and stratification [see LM97]. Below the reference level the airflow is blocked and forced to go around the topography [e.g., *Miranda and James*, 1992]. 149 Flow blocking can produce a form drag which is usually a substantial part of the subgrid surface 150 stress [e.g., Sandu et al., 2015]. However, this drag is not considered in the present study since 151 we are only interested in freely propagating mountain waves. Indeed, the form drag is treated 152 independently of the OGWD in the model (see LM97). Therefore, neglecting the form drag 153

154 would not influence the OGWD examined herein. The WMF at the reference level is calculated according to the GBA solution [see X17]. Specifically, the wavefield is constructed through the 155 superposition of 20×20 Gaussians, with a spectral resolution of $2\pi/2.5^{\circ}$ (which is thus latitude-156 dependent). The relatively small amount of Gaussians is due to that the GBA solution involves 157 integral of the vertical wavenumber (see Eq. (4) in X17) which is time consuming. The WMF is 158 then progressed upward level by level. At each level, the maximum wave amplitude is compared 159 with the saturation wave amplitude (see Eq. (23) in X17), which is derived using Ri < 0.25 for 160 the onset of dynamic instability [Palmer et al., 1986]. If the local wave amplitude exceeds the 161 saturation wave amplitude, the waves will break and deposit the momentum into the mean flow, 162 leading to a vertical divergence of the WMF (i.e., OGWD). Otherwise, the WMF is unchanged 163 164 and passed to the next level. This differs a little bit from the parameterization scheme proposed in X17——the horizontal propagation of mountain waves may cause leakage of waves from the 165 model grid column where they are excited, such that the WMF associated with the leaked waves 166 167 are neglected when calculating the OGWD. As in this work, this portion of WMF is retained (i.e., the WMF is constant with height in the absence of dissipation), because the horizontal spread of 168 169 mountain waves would not necessarily cause wave breaking and hence vertical divergence of the 170 WMF.

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172 **3. Results**

For simplicity, the OGWD obtained from the LM97 scheme is termed OGWD_V since mountain waves only propagate *vertically* in this scheme. The X17 scheme additionally includes the *horizontal* propagation of mountain waves such that the corresponding OGWD is termed

OGWD_H. The difference between the two sets of OGWD is OGWD_D, defined as OGWD_H
minus OGWD_V.

178 3.1 OGWD_V

Figure 2 displays the zonal-mean OGWD_V in the stratosphere and lower mesosphere of 179 Northern Hemisphere averaged during 2011-2016. In winter (December, January and February), 180 the mountain wave drag in mid-lower latitudes (20°N~45°N) mainly occurs in the lower 181 stratosphere between z = 15 and 20 km (Fig. 2a). In contrast, widespread drag is observed in the 182 mid-upper stratosphere and lower mesosphere (above z = 30 km) of median high latitudes 183 (50°N~75°N). This is qualitatively consistent with the numerical simulation of Kim [2007] using 184 the Navy Operational Global Atmospheric Prediction System (NOGAPS) extended to the middle 185 186 atmosphere. In high latitudes, the OGWD_V appears to increase with height, presumably owing 187 to the decay of atmospheric density with height (see Eq. (1)). In summer (June, July and August), 188 the OGWD_V almost vanishes south of 30°N except near the stratopause (Fig. 2c). Conversely, 189 the mountain wave drag north of 30°N is confined in the lower stratosphere below z = 20 km. A 190 local drag maximum is present between 45°N and 50°N around z = 17 km. Then the drag 191 increases poleward from about 60°N, peaking at a lower altitude of about z = 12.5 km. For the OGWD_V in spring (March, April and May) and autumn (September, October and November), 192 they are quite similar to that in winter (Figs. 2b and 2d), representing the transition between 193 194 winter and summer.

Figure 3 shows the geographical distribution of OGWD_V in the mid-lower stratosphere (averaged between z = 10 and 25 km) and upper stratosphere and lower mesosphere (averaged between z = 40 and 55 km) respectively. In winter, the mid-lower stratospheric OGWD_V basically occurs over the Tibetan Plateau and central Rocky Mountains (Fig. 3a). Remarkable

199 mountain wave drag is also observed in Greenland and Japan. In the upper stratosphere and lower mesosphere (Fig. 3b), the drag is decreased in the lower latitudes (e.g., southern Rocky 200 Mountains, southern China) as well as in Japan. By contrast, the OGWD_V is amplified in mid-201 high latitudes, especially in northern Rocky Mountains, Mongolian Plateau, and Greenland. In 202 summer, the OGWD V is distributed in much smaller regions than in winter. In the mid-lower 203 204 stratosphere, the mountain wave drag is largely located over the central Rocky Mountains, Mongolian Plateau, and Greenland (Fig. 3c); in the upper stratosphere and lower mesosphere, 205 however, the drag disappears north of 30°N, only occurring in the lower latitudes (Fig. 3d). 206

207 3.2 OGWD_H

Figure 4 is similar to Fig. 2 but presents the zonal-mean OGWD_H in the stratosphere 208 209 and lower mesosphere of Northern Hemisphere averaged during 2011-2016. The overall patterns 210 of zonal-mean OGWD_H in the four seasons are in broad agreement with those of OGWD_V. In 211 winter (Fig. 4a), notable OGWD_H is observed in the lower stratosphere of mid-lower latitudes 212 but at slightly higher altitudes than OGWD_V. Moreover, there is another drag center located in the upper stratosphere near z = 40 km. In mid-high latitudes, the OGWD_H is predominantly 213 214 distributed in the upper stratosphere and lower mesosphere, which is consistent with OGWD_V. 215 In the summertime (Fig. 4c), the zonal-mean OGWD_H is confined beneath z = 25 km between 30°N and 55°N, whereas the drag north of 60°N extends up to z = 45 km, much higher than that 216 of OGWD_V. In the lower latitudes, the OGWD_H is observed in the upper stratosphere and 217 218 lower mesosphere as in the case of OGWD_V, but with larger vertical and horizontal extensions. For the geographical distribution of OGWD_H, it is of similar patterns to that of OGWD_V (not 219 shown). 220

221 Nonetheless, there are still differences between the two sets of OGWD. As shown in Fig. 5, the X17 scheme tends to produce smaller OGWD in the lower stratosphere than the LM97 222 scheme; on the contrary, the mountain wave drag in the mid-upper stratosphere and lower 223 mesosphere is enhanced, especially in winter. In consequence, the difference in the mid-lower 224 stratosphere (below about z = 25 km) exhibits a dipole pattern. Figure 6 further displays the 225 226 geographical distribution of OGWD_D at different levels. Taking the winter season as an example, OGWD_H is smaller than OGWD_V in most of mid-lower latitudes between z =227 228 16~18 km (Fig. 6a). Salient differences are found over the western Tibetan Plateau and southern 229 Rocky Mountains. Moving a few kilometers aloft (Fig. 6b), the OGWD_H exceeds the OGWD_V in nearly all the Northern Hemisphere. As in the upper stratosphere and lower 230 mesosphere (Fig. 6c), there is also widespread enhancement of OGWD_H, especially in mid-231 high latitudes, e.g., the northern Rocky Mountains and Greenland. The spatial distribution of 232 summertime OGWD_D is similar to its winter counterpart but with relatively small magnitude. 233

234 3.3 Discussion

According to the above analyses, the OGWD in the stratosphere and lower mesosphere of 235 236 Northern Hemisphere experiences a seasonal cycle. Given the invariant topographic forcing, this 237 seasonal variation is definitely attributed to the different circulation patterns in the four seasons. Figure 7 illustrates the zonal-mean background wind (i.e., the horizontal wind of the 2.5° ERA-238 interim reanalysis) between the upper troposphere and lower mesosphere of Northern 239 240 Hemisphere averaged during 2011-2016. It is noteworthy that neither of the two OGWD parameterization schemes takes into account the direction change of background wind with 241 height, namely, the background wind is unidirectional. It is the wind along the direction of low-242 level mean wind (see LM97) that is shown in Fig. 7. In theory, stationary mountain waves will be 243

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attenuated into the mean flow at the *zero-wind level*, which is known as *critical level absorption* [*Booker and Bretherton*, 1967].

In winter (Fig. 7a), a critical level is present in the upper troposphere near z = 7 km south 246 of 20°N. Consequently, the OGWD is absent in the middle atmosphere of lower latitudes (Figs. 247 2a and 4a). In mid-latitudes, there is no preexisting critical level. The most striking features are 248 the two jets (with horizontal wind speed greater than 25 m s⁻¹) located in the upper troposphere-249 lower stratosphere and near the stratopause. The weak wind (less than 10 m s^{-1}) between the two 250 jets provides a favorable condition for mountain wave breaking, because the waves can readily 251 induce a critical level where $\overline{\mathbf{V}} + \mathbf{v}' = 0$. As such, the mid-lower-latitude OGWD mainly occurs 252 above the upper-tropospheric jet. This also explains the occurrence of OGWD in the lowermost 253 254 stratosphere of high latitudes, given the weak wind there. The breaking of mountain waves in the 255 weak wind layer of lower stratosphere has been confirmed by lidar observations [*Ehard et al.*, 2017]. In summer (Fig. 7b), there is a broad wind reversal spanning the Northern Hemisphere 256 such that the OGWD generally vanishes in the upper stratosphere and lower mesosphere (Figs. 257 258 2c and 4c). However, weak OGWD is found near the stratopause of lower latitudes, located in 259 small regions of southern Rocky Mountains, Arabian Peninsula and northern Africa (Fig. 3d). It is because the background wind shown is for 6-yr average. Mountain waves can reach the upper 260 261 atmosphere in days without wind reversal. This finding agrees with Sato et al. [2009] in that the lower-latitude monsoon region is the most important window to the middle atmosphere in 262 summer. 263

As revealed by the notable difference between OGWD_H and OGWD_V(e.g., Fig. 5), the horizontal propagation of mountain waves has a great influence on the mountain wave drag. In accordance with *Eckermann et al.* [2015] and X17, the horizontal propagation of mountain

waves can reduce the local wave amplitude with height. Therefore, mountain waves in the X17 267 scheme are prone to break at higher altitudes than in the LM97 scheme. This is clearly shown in 268 Fig. 8 which depicts the profiles of vertical displacement amplitude of the mountain waves in 269 western Tibetan Plateau (82.5°E, 32.5°N) and northern Rocky Mountains (130°W, 62.5°N) 270 respectively. The wave amplitude in the X17 scheme is obtained from the GBA solution, 271 272 whereas in the LM97 scheme it is obtained according to the conservation of wave action. Readers are referred to X17 for more details. Mountain waves in western Tibetan Plateau 273 basically break in the middle stratosphere between z = 20 km and 30 km where the wave 274 275 amplitude exceeds the saturation wave amplitude (Fig. 8a). In contrast, the waves in the high latitude of northern Rocky Mountains saturate and break in the upper stratosphere and lower 276 mesosphere above z = 35 km (Fig. 8b). Nonetheless, in both cases the wave amplitude in the X17 277 scheme is smaller than in the LM97 scheme, giving rise to higher breaking levels. 278

Klinker and Sardeshmukh [1992] have diagnosed the momentum budget for the ECMWF 279 model in January 1987. Excessive mountain wave drag was found in the lower stratosphere (on 280 the order of $1 \text{ m s}^{-1} \text{ day}^{-1}$, see their Fig. 3b). This drag bias agrees very well with the difference 281 found herein (about 10^{-5} m s⁻², i.e., 0.864 m s⁻¹ day⁻¹, see Fig. 5a). It suggests that including the 282 horizontal propagation of mountain waves in the parameterization of OGWD can help reduce the 283 284 too large mountain wave drag in the lower stratosphere of climate models. Owing to the height 285 decay of air density, mountain waves should ultimately break and deposit the wave momentum into the mean flow. The decrease of OGWD in the lower stratosphere implies that more gravity 286 287 wave momentum will be transported to higher altitudes, which accounts for the notable increase of mountain wave drag in the upper stratosphere and lower mesosphere in the X17 scheme (Fig. 288 5). As mentioned in the introduction, Long et al. [2012] found significant temperature biases in 289

the mesosphere of Met Office middle atmospheric analyses, which were ascribed to insufficient gravity wave forcing there. Here the results show that taking into account the horizontal propagation of mountain waves can potentially alleviate this model bias.

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294 **4 Summary**

This study investigates the OGWD in the stratosphere and lower mesosphere of Northern Hemisphere during 2011-2016 using two different parameterization schemes. One is the scheme adopted in the ECMWF forecast model; the other is the scheme proposed in X17 that takes into account the horizontal propagation of mountain waves. The main purpose is to provide an insight into the impact of horizontal propagation on the OGWD, which is tacitly neglected in traditional OGWD parameterization schemes.

301 According to the 2.5° ERA-interim reanalysis and the 1-arc-minute ETOPO1 terrain data, two sets of OGWD are calculated offline respectively. In both cases, the OGWD experiences a 302 303 notable seasonal variation induced by the seasonal cycle of the mean flow circulation. In winter, the mountain wave drag in mid-lower latitudes is mainly located in the weak wind layer of lower 304 305 stratosphere between the two jets in the upper troposphere and lower mesosphere. By contrast, OGWD is observed in the whole stratosphere of mid-high latitudes, due to the weak background 306 wind there. The mid-high-latitude OGWD shows an increasing trend with height, which is likely 307 caused by the height decay of air density. The summertime OGWD is in general confined in the 308 309 lower stratosphere, as the reversal of background wind forms a broad critical level spanning the Northern Hemisphere. Nevertheless, weak OGWD is still observed in the upper stratosphere and 310 lower mesosphere of lower latitudes, suggesting that the summer monsoon region is an important 311

window to the middle atmosphere. Comparison between the two sets of OGWD reveals that the
mountain wave drag is suppressed (enhanced) in the lower stratosphere (mid-upper stratosphere
and lower mesosphere) when the horizontal propagation of mountain waves is taken into account.
This effect is more prominent in winter than in summer, with the drag in western Tibetan Plateau,
Rocky Mountains, and Greenland greatly impacted.

The results suggest there is a need to incorporate the horizontal propagation of mountain 317 318 waves in the parameterization of OGWD, which can potentially alleviate the commonly seen 319 wind and temperature biases in climate models. For one thing, it can help reduce the excessive mountain wave drag in the lower stratosphere; for another, more mountain waves are allowed to 320 321 propagate into the mesosphere, producing more gravity wave forcing there. In the present study, the impact of horizontal propagation of mountain waves is investigated offline using reanalysis 322 dataset. In order to better understand this effect, the X17 scheme will be implemented in a global 323 model which enables the interaction between mountain waves and the mean flow. 324

325 In the calculation of OGWD, the direction change of background wind with height is not considered, as mentioned in section 3.3. In fact, the *directional wind shear* is omitted in all 326 327 existing OGWD parameterization schemes. Recently, there is an increasing interest in mountain 328 waves generated in directionally sheared wind [e.g., Eckermann et al., 2007; Teixeira and Miranda, 2009; Teixeria and Yu, 2014; Xu et al., 2012, 2013, 2017a; Guarino et al., 2016], 329 however. In this situation, there are an infinite number of critical levels corresponding to 330 331 different wave components; in consequence, mountain waves are continuously attenuated into the mean flow during their upward propagation [Broad, 1995]. If the background wind rotates 332 substantially in the lower atmosphere, mountain waves will primarily deposit the wave 333 momentum in the troposphere and affect the tropospheric circulation rather than the general 334

335 circulation in the middle atmosphere. More importantly, the selective critical level absorption of mountain waves produces a body force *perpendicular* to the background wind, rather than 336 parallel to it [Broad, 1995; Xu et al., 2012]. Martin and Lott [2007] examined the synoptic 337 response to the selective critical level absorption of mountain waves using a heuristic model. 338 Their results showed that potential vorticity (PV) anomalies can be generated in the 339 midtroposphere, accompanied with cyclonic (anticyclonic) circulation for backing (veering) 340 wind. The impact of directional wind shear on the momentum transport of mountain waves will 341 be evaluated in an upcoming study. 342

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 the ERA-interim reanalysis can be downloaded at https://www.ecmwf.int/en/research/climate reanalysis/era-interim.

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