# Diagnosing the Intercept Parameters of the Exponential Drop Size Distributions in a Single-Moment Microphysics Scheme and Impact on Supercell Storm Simulations

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#### ABSTRACT

In this study, power-law relations are developed between the intercept parameter  $N_0$  of the exponential particle size distribution and the water content for the rain, hail, graupel, and snow hydrometeor categories within the Milbrandt and Yau microphysics scheme. Simulations of the 3 May 1999 Oklahoma tornadic supercell are performed using the diagnostic relations for rain only and alternately for all four precipitating species, and results are compared with those from the original fixed- $N_0$  single- and double-moment versions of the scheme. Diagnosing  $N_0$  for rain is found to improve the results of the simulation in terms of reproducing the key features of the double-moment simulation while still retaining the computational efficiency of a singlemoment scheme. Results more consistent with the double-moment scheme are seen in the general storm structure, the cold-pool structure and intensity, and the number concentration fields. Diagnosing the intercept parameters for all four species, including those for the ice species, within the single-moment scheme yields even closer agreement with the double-moment simulation results. The decreased cold-pool intensity is very similar to that produced by the double-moment simulation, as is the areal extent of the simulated storm. The diagnostic relations are also tested on a simulated squall line, with similar promising results. This study suggests that, when compared with traditional fixed intercept parameters used in typical single-moment microphysics schemes, results closer to a double-moment scheme can be obtained through the use of diagnostic relations for the parameters of the particle size distribution, with little extra computational cost.

## 1. Introduction

As numerical weather prediction models gain convection-resolving grid spacings of 1 km or less, the parameterization of microphysical processes becomes critical. In general, bulk microphysics (MP) parameterizations, which specify a particular size distribution (PSD) for each hydrometeor species and predict certain moments of the size distribution (e.g., the water mass, proportional to the third moment of the size distribution), are used because of the comparatively high cost of nonbulk spectral or bin models for simulations of threedimensional moist convection.

The most commonly used PSD for precipitating hydrometeors is the inverse exponential distribution, which can be written as

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$$N(D) = N_0 \exp(-\Lambda D), \qquad (1)$$

where  $N_0$  is the intercept parameter,  $\Lambda$  is the slope parameter of the PSD, and D is drop diameter. Parameter  $N_0$  is usually assigned a fixed value for each category in single-moment (SM) MP schemes. The most well known of these exponential distributions is the Marshall-Palmer (Marshall and Palmer 1948) distribution, which specifies the rain intercept parameter  $N_{0r}$  to be 8  $\times$  $10^6 \text{ m}^{-4}$ . Use of this fixed value for  $N_{0r}$  has been shown to be restrictive, however, because  $N_{0r}$  can vary significantly within single precipitation events both spatially and temporally (Tokay and Short 1996; Zhang et al. 2008; Waldvogel 1974). Snook and Xue (2008) investigated the effect of varying  $N_0$  for the rain and hail PSDs on storm evolution within high-resolution supercell simulations, focusing on the effect upon tornadogenesis. It was found that, in simulations in which  $N_0$  was lowered such that the PSD favored large drops or hailstones, the resulting cold pools were weaker and the simulations tended to develop into single or multiple supercells, whereas when  $N_0$  was increased the storms transformed to a linear mode during the simulations. Tornado-like low-level vortices formed in the low- $N_0$ simulations but not in others. Cohen and McCaul (2006) performed simulations using an increased median volume diameter for hail and graupel, equivalent to decreasing the  $N_0$  value for those species, which resulted in reduced low-level cooling because of decreased melting. Earlier studies of van den Heever and Cotton (2004) and Gilmore et al. (2004) also found significant sensitivity of simulated supercell storms to PSD parameters. Morrison et al. (2009), Dawson et al. (2010, hereinafter DXMY10), and Yussouf et al. (2013) more recently compared SM and double-moment (DM) MP schemes for simulations of squall lines and supercells, respectively (DXMY10 also considered a triple-moment scheme), likewise finding large sensitivity to the number of moments predicted, with the DM schemes typically performing better than their SM counterparts. Van Weverberg et al. (2011) investigated the impact of PSD assumptions in an SM MP scheme on precipitation and storm dynamics, and the sensitivity of a simulated squall line to two-moment MP complexity (Van Weverberg et al. 2012).

The distribution represented by (1) is a special case of the gamma distribution (Ulbrich 1983), which has the form

$$N(D) = N_0 D^{\alpha} \exp(-\Lambda D), \qquad (2)$$

where  $\alpha$  is the shape parameter, a dimensionless measure of the spectral width of the distribution. If  $\alpha$  is set to 0, the distribution is reduced to the inverse exponential

form. The addition of the shape parameter allows the gamma distribution to depict a far greater range of PSDs than the inverse exponential distribution. Mallet and Barthes (2009) applied a maximum likelihood technique to categorize raindrop size distributions (DSDs) from optical disdrometer data and found that 91% of the measured DSDs were of the gamma type.

The values of the gamma distribution parameters vary widely in nature, both between and within a given precipitation event; thus, parameterizing any of them as constant will introduce a source of error into the MP. Ulbrich (1983) calculated a typical range of values for  $\alpha$ and  $N_0$  of the rain DSD, encompassing relations derived from reflectivity-rain-rate (Z-R) relationships presented in a number of other studies. Calculated values for  $\alpha$ varied from -3.42 to 5.04 (the range was far narrower for studies that were based on convective rain, with  $\alpha$  ranging from 0.40 to 1.63), and values for  $N_0$  ranged from 1.29  $\times$  $10^3$  to  $9.20 \times 10^{12}$  m<sup>-4</sup> (from 7.05  $\times 10^6$  to  $2.46 \times 10^8$  m<sup>-4</sup> for convective rain). Although some of the variations in parameter values are due to errors in measurements, modeling, and fitting procedures (Cao and Zhang 2009), variation due to physical causes appears to dominate (Zhang et al. 2003; Milbrandt and Yau 2005a,b, hereinafter MY05a and MY05b, respectively).

The role of the shape parameter  $\alpha$  was investigated in detail by MY05a, who showed that the rate of gravitational particle size sorting was dependent on  $\alpha$ , with the size-sorting rate decreasing as  $\alpha$  increases and approaching 1 as  $\alpha$  becomes large. Size sorting is an intrinsic process within supercell evolution, as witnessed by the occurrence of the differential reflectivity  $Z_{DR}$  arc within supercells (Kumjian and Ryzhkov 2008). Hence it is important to parameterize the PSD in a realistic manner, allowing all parameters to vary as appropriate.

Thus, one way to improve an MP scheme is to increase the number of predicted moments of the PSD (Straka and Mansell 2005; MY05a). How the moments of the PSD are calculated depends on the way the PSD is parameterized. For the gamma distribution, the *n*th moment is calculated as

$$M_n = N_0 \Lambda^{-(\alpha+n+1)} \Gamma(\alpha+n+1).$$
(3)

Most bulk MP schemes only predict one moment of the distribution, typically the third moment  $M_3$ , which is proportional to the hydrometeor mixing ratio q. In this case, it is usually the slope parameter  $\Lambda$  that is effectively prognostic while  $N_0$  and  $\alpha$  are held constant. In recent years, MP schemes that predict two or more moments have become increasingly popular, particularly for convective-scale modeling. Most of the DM schemes available predict both q and  $N_0$ , leaving  $\alpha$  held constant 2074

while  $N_0$  and  $\Lambda$  are directly linked to the predicted variables (e.g., MY05b; Morrison et al. 2005).

Data assimilation methods have been more recently employed for PSD parameter estimation and to test the sensitivity of model outputs such as precipitation to the value of certain PSD parameters. Tong and Xue (2008a,b) used an ensemble Kalman filter for simultaneous estimation of the model state and MP parameters, including  $N_0$  for rain, snow, and hail. Model-output-state sensitivity to PSD parameter values has been investigated using a Markov chain Monte Carlo algorithm to produce joint probability density functions of the model output state and PSD parameters (Posselt and Vukicevic 2010). The joint PDFs for model output state and  $N_0$  for snow and graupel ( $N_{0s}$  and  $N_{0g}$ ) were shown to exhibit multimodality as a result of a transition from convective precipitation to stratiform precipitation (Posselt and Bishop 2012). The transition from convective precipitation to stratiform precipitation has also been shown to alter the joint PDFs for MP processes such as evaporation and  $N_0$ of rain, snow, and graupel (van Lier-Walqui et al. 2012). This fact further illustrates that using a single fixed value for  $N_0$  for all types of simulation is not appropriate. As an alternative way of addressing microphysics uncertainties, van Lier-Walqui et al. (2014) proposed to estimate uncertain factors multiplying various microphysical-process rate terms in MP parameterization schemes, using an ensemble Kalman filter.

Aside from moving to multimoment schemes, which are computationally expensive, other methods of extending low-moment schemes beyond the fixed single-parameter approach have been attempted. The most common method relates a free parameter in the PSD to another independently predicted PSD parameter. Zhang et al. (2001) investigated relations between the PSD parameters using video disdrometer data collected in Florida and derived a relation between the shape and slope parameters. The  $\alpha$ - $\Lambda$  relation was subsequently updated using disdrometer measurements for rain DSDs observed in Oklahoma (Cao et al. 2008).

Zhang et al. (2008) used the same disdrometer data as Cao and Zhang (2009), gathered in central Oklahoma during the summers of 2005–07, to derive a relationship between  $N_0$  of the inverse exponential PSD and the rainwater content  $W_r$  (which, like mixing ratio  $q_r$ , is proportional to the third moment of the distribution). The diagnostic relation was formed using the method of moment relations, outlined in detail in their paper. Their derived relation for rain was  $N_{0r}(M_2, M_4) = 7106W_r^{0.648}$ , where  $N_{0r}$  is measured in number per meter cubed per millimeter and  $W_r$  is in grams per meter cubed. Here,  $N_{0r}$  is given as a function of  $M_2$  and  $M_4$  because its relation is derived from these two moments. In the SM version of the Milbrandt and Yau (MY) MP scheme (MY05b), described in more detail in section 3, the PSD of each precipitating hydrometeor category is modeled by a gamma distribution with a fixed value of  $\alpha$ . In this paper, we assume  $\alpha$  to be zero, leading to the exponential distribution commonly used in SM and DM schemes.

Given the moment relation in (3) and setting  $\alpha$  to zero, we see that the exponential PSD parameters,  $N_0$ and  $\Lambda$ , can be determined using any two moments of the distribution. Given any two moments  $M_j$  and  $M_k$ , the PSD parameters can be calculated as

$$N_0 = \frac{M_j \Lambda^{j+1}}{\Gamma(j+1)} \quad \text{and} \tag{4}$$

$$\Lambda = \left[\frac{M_j \Gamma(k+1)}{M_K \Gamma(j+1)}\right]^{1/(k-j)}.$$
(5)

The moment estimates from disdrometer measurements contain errors (Zhang et al. 2008), causing errors in the DSD parameters determined from them. The middle (second and fourth) moments were used in their study because they contain the least error (Cao and Zhang 2009). The main issue with these observation-based studies is that the diagnostic relations are derived using disdrometer data collected at the surface, primarily for rain. Aircraft observational campaigns have also provided information on the DSDs of rain (e.g., Beard et al. 1986; Yuter and Houze 1997), snow (e.g., Houze et al. 1979; Field et al. 2005), and ice (e.g., Heymsfield et al. 2006). Diagnostic relations derived from three-dimensional datasets for individual species are needed for use within MP parameterization schemes.

The goal of this study is to formulate and test diagnostic relationships between  $N_0$  and W for each precipitating hydrometeor species and to implement these relationships within the SM MY MP scheme (MY05b) available within the Advanced Regional Prediction System (ARPS) model (Xue et al. 2000, 2001). It is hypothesized that this approach should allow a more realistic PSD model than the use of a fixed value of  $N_0$  for each precipitating hydrometeor species and will enable a more accurate representation of the PSDs. To this end, the overall aim of the study is to bring the results of the SM MP scheme more in line with the results of the corresponding DM MP scheme. For the derivation of the PSD parameter relationships in this study, the zeroth and third moments of the inverse exponential PSD are used since these are independently predicted within the DM MY MP scheme. As the first proof-of-concept attempt and because of the general lack of DSD observations for multiple species in 3D volumes, we use the output of the MY DM simulation to derive the relations. We leave to future work an investigation of the representativeness of surface DSDs relative to those aloft for the purpose of formulating diagnostic relations.

The remainder of the paper is organized as follows: the case being simulated is described in section 2. The formulation of the diagnostic- $N_0$  relationships is covered in section 3. The setup used for the numerical experiments is described in section 4. Section 5 includes results of the experiments and discussion. Section 6 summarizes the results and discusses future work.

# 2. The 3 May 1999 Oklahoma tornadic supercell case

On 3 May 1999, one of the most significant tornado outbreaks ever to occur in the United States caused extensive damage across Oklahoma and Kansas, including the metropolitan areas of Oklahoma City and Wichita. Fifty-eight tornadoes struck within the county warning area of the Norman, Oklahoma, National Weather Service Weather Forecast Office over a period of 8 h (Speheger et al. 2002). Sixteen of these tornadoes were rated F2 or greater on the Fujita (1971) scale, including two F4 tornadoes and one F5 tornado. The F5 tornado tracked through the small community of Bridge Creek, parts of Moore, southern Oklahoma City, Del City, and Midwest City, causing 36 direct fatalities (Brooks and Doswell 2002) and injuring 583 people.

Observations from the Oklahoma Mesonet (Brock et al. 1995) and mobile mesonets (Markowski 2002) indicated that the cold pools associated with the tornadic supercells in central Oklahoma were mainly small and relatively weak. The synoptic setup for the event exhibited a large-scale trough located over the western Unites States, with an embedded short-wave trough over Arizona. The large-scale trough amplified as it passed over the Rocky Mountains, and the short-wave trough propagated over western Oklahoma and Kansas, while a deepening surface low was located over the central high plains (Thompson and Edwards 2000). The low-level flow was south-to-southeast over the southern Great Plains. More detail on the synoptic setup for the event can be found in Thompson and Edwards (2000) and Roebber et al. (2002).

Given the inherent instability present, a gap in the cirrus cloud cover allowed a cumulus tower to develop close to Lawton in southwestern Oklahoma, around 2030–2045 UTC. This evolved into the first supercell and, after an initial split, rapidly developed into a right-moving supercell—storm A (Thompson and Edwards 2000). Storm A became tornadic and produced at least 14 distinct documented tornadoes between 2151 UTC

3 May and 0125 UTC 4 May (Speheger et al. 2002). The most intense of the tornadoes produced by storm A was A9, the F5 tornado that left a 37-mile trail of destruction through the communities of Bridge Creek, Moore, and Oklahoma City. DXMY10 simulated a supercell storm within an environment believed to be representative of the environment in which storm A developed, and the study found substantial sensitivity of the simulated supercell storm to the number of moments predicted with versions of the MY scheme. Their predicted cold pool was generally too strong when using a single-moment scheme, while that predicted using a three-moment scheme was found to be the best.

#### 3. Diagnostic relations for $N_0$

As pointed out previously, DSD observations for multiple species in 3D volumes are generally unavailable, making it difficult to obtain diagnostic relations for several species that are applicable to the entire storm. As the first proof-of-concept attempt, we use the output of a DM simulation for the 3 May 1999 case to derive diagnostic relations for use in an SM scheme. This allows us to see how close the results of an SM scheme with diagnostic relations can be to those of a DM scheme.

Using a single sounding to define the storm environment, DXMY10 have shown that, for the 3 May 1999 case, the simulated supercell is sensitive to the use of single-, double-, and triple-moment options of the MY scheme. A similar sensitivity to the moment of the MY scheme used was shown for another supercell simulation in Milbrandt and Yau (2006). DXMY10 showed that the DM (and triple moment) MP simulation produced a surface cold pool of strength closer to that observed by the Oklahoma Mesonet (their Figs. 1 and 6) and that the reflectivity from the DM simulation compared more favorably to observations than that of their SM simulation (DXMY10, their Figs. 6 and 7).

In this study, the output of a horizontally homogeneous idealized simulation with 500-m grid spacing and using the DM version of the MY scheme with  $\alpha$  set to zero and using the same sounding as in DXMY10 is used as a "synthetic dataset" to derive the diagnostic relations for  $N_0$  for each category. This simulation will hereinafter be referred to as the MY2 or "reference" simulation. The derived diagnostic relations are then implemented in the SM option of the MY scheme for the various experiments in this study.

Model output of the zeroth and third moments for each precipitating hydrometeor category (rain, snow, graupel, and hail) was taken every 300s throughout the second hour of MY2 and collated into a single large dataset. Data at grid points in the full domain were included

Species	Default fixed $N_{0x}$ values	Diagnostic relation derived from MY2 simulation	Mean $N_{0x}$ from MY2 simulation
Rain	$N_{0r} = 8 \times 10^6 \mathrm{m}^{-4}$	$N_{0r} = 1.16 \times 10^5 W_r^{0.477} \mathrm{m}^{-4}$	$N_{0rm} = 1.18 \times 10^5 \mathrm{m}^{-4}$
Snow	$N_{0s} = 3 \times 10^6 \mathrm{m}^{-4}$	$N_{0s} = 4.58 \times 10^9 W_s^{0.788} \mathrm{m}^{-4}$	$N_{0sm} = 3.95 \times 10^8 \mathrm{m}^{-4}$
Graupel	$N_{0g} = 4  imes 10^5  \mathrm{m}^{-4}$	$N_{0g} = 9.74 \times 10^8 W_g^{0.816} \mathrm{m}^{-4}$	$N_{0gm} = 5.26 \times 10^7 \mathrm{m}^{-4}$
Hail	$N_{0h} = 4 \times 10^4 \mathrm{m}^{-4}$	$N_{0h} = 5.25 \times 10^5 W_h^{0.411} \mathrm{m}^{-4}$	$N_{0hm} = 3.50 \times 10^5 \mathrm{m}^{-4}$

TABLE 1. The fixed  $N_{0x}$  values used in the original MY single-moment microphysics scheme, the derived diagnostic relation for  $N_{0x}$ , and the mean  $N_{0x}$  values from simulation MY2 for rain, snow, graupel, and hail.

in the file, although, because vertical grid stretching was employed, the low levels are more heavily sampled than the upper levels. Points were included provided that a minimum threshold of hydrometeor mixing ratio was met. This threshold was purposely kept low at  $1 \times 10^{-5}$  kg kg<sup>-1</sup> for each hydrometeor category to accurately represent the full range of mixing ratios produced by the simulation in the main precipitating region of the storm while still cutting small, noisy values outside the storm. For each point, the parameters of the inverse exponential distribution were calculated and the mass content *W* was derived using

$$W = 1000\rho_a q, \tag{6}$$

where W is in grams per meter cubed, air density  $\rho_a$  is in kilograms per meter cubed, and mixing ratio q is in kilograms per kilogram. As in Zhang et al. (2008), we wish to form a power-law relation between W and  $N_0$  of the exponential distribution for each species. In our case, these relations are calculated by performing a least squares minimization on both variables to give an effective linear relation between the logarithms of the variable pair. Transforming the variables back from logarithmic into linear space provides a power law for  $N_0$  in terms of W. The coefficient and power of the derived  $N_0$ -W relationships were averaged across the examined model output times to give a more general relation for each precipitating hydrometeor category. In addition, the mean  $N_0$  for each hydrometeor category was computed (Table 1). The plot showing the resulting dependence of  $N_0$  on W (along with the time-averaged power-law fit) from the full dataset exhibits a high degree of scatter for rain (Fig. 1) and hail (Fig. 4, described below), and indeed, in the case of rain, the power-law fits for individual times varied substantially (Fig. 1, thin dashed lines). Average values of correlation coefficient squared for rain and hail are accordingly low (0.08 and 0.11, respectively). The averaged fit for rain (thick dashed line in Fig. 1) represented well the overall trend in the aggregated data, however. For all other categories, the power-law fits for individual times did not vary significantly across the range of times examined (thin dashed lines in Figs. 2-4), which suggests

confidence in the robustness of the time-averaged derived relations. Last, the two-sided p values, using a hypothesis test in which the null hypothesis is that the slope is zero, were very close to zero for all fits, likely because of the large number of points used.

The MY suite of MP schemes contains four frozen hydrometeor categories—ice, snow, graupel, and hail, and each of these is handled separately within the ice phase processes. The ice total number concentration  $N_{ti}$  is already diagnosed on the basis of temperature in the SM option according to Cooper (1986). For rain and the other three frozen categories, the number concentration is calculated as the zeroth moment of the distribution using  $N_0$  of the PSD of that species, which is set to a constant value for each species in the original SM MP scheme (see Table 1). Scatterplots showing the dependence of  $N_0$  on W for snow, graupel, and hail are shown in Figs. 2–4. The fixed  $N_0$  values and the diagnostic power-law relations

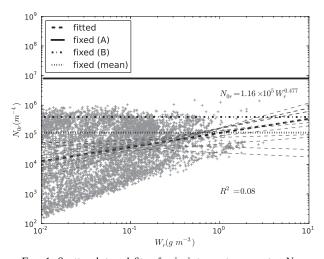
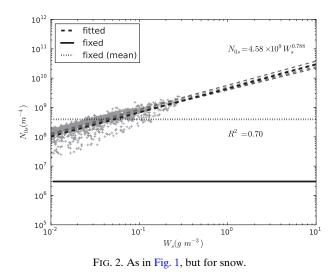


FIG. 1. Scatterplot and fits of rain intercept parameter  $N_{0r}$  vs water content  $W_r$  from MY2. For clarity, only every 100th point used in the fits is plotted. The  $N_{0r}$ - $W_r$  pairs are directly computed from the predicted zeroth and third moments of the exponential DSD. The thick dashed line shows the average derived fitted relation (in linear space), thin dashed lines show the derived fits for individual times (every 300 s in the second hour of the simulation), the solid line shows the original fixed value of  $N_{0r}$  used in the MY1A experiment, the dash-dotted line shows the reduced  $N_{0r}$  used in experiment MY1B, and the dotted line shows the mean  $N_{0r}$  (from MY2) used in experiment MY1M.



used in the various SM MY experiments to be discussed in the next section are also shown in Figs. 1–4. In examining Figs. 1–4 and Table 1, it is clear that for each of the hydrometeor species the coefficient differs significantly from the default fixed value of  $N_0$ , by up to three orders of magnitude in the cases of snow and graupel.

The time dependence of the coefficient and power in the  $N_0$ -W relationships was examined (Fig. 5), and no significant time trends were found for any of the four species. It is clear that the rain category demonstrates much variability in the power of the  $N_{0r}$ -W<sub>r</sub> relation, but this variability does not appear to be a function of time.

#### 4. Numerical experiments

To test the diagnostic- $N_0$  relations, several simulations of the 3 May 1999 case were performed. The main aim of this study is to implement the diagnostic relations for  $N_0$  within an SM MP scheme and to determine how well such an SM scheme reproduces the results of DM scheme. We perform several experiments, using the naming convention MY#X, where # is the number of moments prognosed, either 1 or 2, and X is a one- or two-letter variation identifier, described below and in Table 2. First, the reference DM simulation, MY2, is produced, and the diagnostic- $N_0$ -W relations and average  $N_0$  values are computed from its output, as described previously. A baseline SM simulation is then produced, using the original MY1 scheme (with the default constant  $N_0$  for each category), denoted MY1A. The results of the following diagnostic- $N_0$  simulations are compared with these to gauge the impact and effectiveness of the diagnostic relations. Two more fixed- $N_0$  simulations were performed: 1) MY1B, using the MY1 scheme with  $N_{0r}$  lowered from 8 × 10<sup>6</sup> to 4 × 10<sup>5</sup> m<sup>-4</sup>, and 2) MY1M

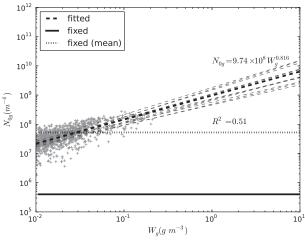


FIG. 3. As in Fig. 1, but for graupel.

("M" for "mean"), using the average  $N_0$  for each category derived from the MY2 reference simulation. The reduced value of  $4 \times 10^5 \text{ m}^{-4}$  for  $N_{0r}$  in MY1B is smaller by a factor of 20 than the default Marshall–Palmer (Marshall and Palmer 1948) DSD value but is still within the known uncertainty range of  $N_{0r}$  (Tong and Xue 2008a) and was also examined in DXMY10 for its impact on the simulation of a supercell storm. The reduced value corresponds to a DSD having more larger drops, a situation that typically leads to less evaporation and a weaker cold pool (DXMY10; Snook and Xue 2008).

Last, two further simulations were performed using the derived diagnostic- $N_0$  relations, one in which only the rain category used the diagnostic relation, and one in which  $N_0$  for all categories, including four precipitating hydrometeors in total, is diagnosed. These are denoted MY1DR and MY1DA (for "diagnostic rain" and "diagnostic all"), respectively. For each of the SM simulations, the cloud

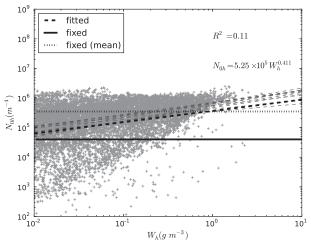


FIG. 4. As in Fig. 1, but for hail.

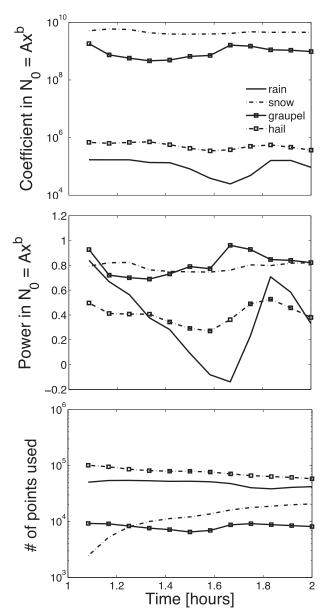


FIG. 5. Variation with time of the (top) coefficient A and (middle) power b in the  $N_0 = Ax^b$  relation for each of the four hydrometeor species considered. (bottom) The number of points used to determine the relations for each species.

water fixed total number concentration  $N_{tc}$  remains at the default value, and again the cloud ice total number concentration  $N_{ti}$  is diagnosed based on temperature, as is the default (both  $N_{tc}$  and  $N_{ti}$  are predicted in MY2). Details of the simulations and the nomenclature used can be found in Table 2. The diagnostic functions themselves were applied within the MP scheme (after update by the model dynamics) at the same locations in the code at which the original fixed  $N_0$  values were used for computations. In the specific case of the ice species, this occurs 1) at the

TABLE 2. Description of the microphysical setup used in the six simulations that were performed at 500-m horizontal resolution.

Expt name	Details of microphysics scheme	
MY2	Original double-moment Milbrandt and Yau scheme	
MY1A	Original single-moment Milbrandt and Yau scheme	
MY1B	Single moment with reduced fixed value of $N_{0r} = 4 \times 10^5 \mathrm{m}^{-4}$	
MY1M	Single moment with intercept parameter set to mean values from MY2 for all precipitating hydrometeor categories	
MY1DR Single moment with intercept pa diagnosed for rain only		
MY1DA	Single moment with intercept parameter diagnosed for all precipitating hydrometeor categories	

beginning of the MP scheme and 2) just before the call to sedimentation. In the case of rain, the function is also applied just before the computation of warm-rain processes and again before computation of evaporation.

Each 2-h simulation was performed using the ARPS model with the same single sounding and thermal bubble initialization procedure as in the idealized experiments of DXMY10 and the same horizontal grid spacing of 500 m. In the current study, however, for efficiency a reduced domain size of  $96 \text{ km} \times 96 \text{ km} \times 20 \text{ km}$  was used, and the mean storm motion was subtracted from the original wind profile. Otherwise the setup of the experiments was identical to that of DXMY10. The use of this idealized model setup allows more control over the experiments and represents at least part of the natural variability among storms and their environment. It also facilitates direct comparison with the results of DXMY10. In particular, the simulations MY1A and MY2 correspond to MY1 and MY2 from DXMY10.

Fifty-three vertical levels were used with vertical grid stretching employed, giving a vertical grid spacing that is 20 m at the surface and increases to 800 m at the upper boundary. The fourth-order monotonic computational mixing scheme of Xue (2000) was utilized with a coefficient of  $0.0015 \,\mathrm{s}^{-1}$ . The initial ellipsoidal thermal bubble that was used to trigger the storm had a maximum potential temperature perturbation of 4K, horizontal radius of 10 km and vertical radius of 1.5 km, and it was centered 1.5 km above the surface, 35 km from the west edge, and 25 km from the south edge of the domain. The sounding used in the simulation was extracted from a 1-h forecast of an earlier 3-km real-data simulation of this case, at a location upstream of the low-level inflow of the storms. This was the same sounding used in DXMY10, and full details of the original real-data simulation can be found in Dawson et al. (2007).

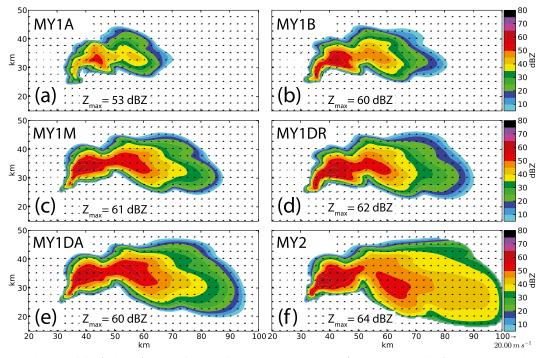


FIG. 6. Reflectivity (color fill) and horizontal wind vectors at the surface (plotted every 2.5 km) for a subset of the domain at 3600 s into the six simulations: (a) MY1A, (b) MY1B, (c) MY1M, (d) MY1DR, (e) MY1DA, and (f) MY2.

Each of the simulations in the current study was run for 2 h of simulation time. Van Weverberg (2013) noted that the short time scale of numerical simulations such as these does not sufficiently cover the entire convective cycle. For this reason, we note that the reported differences between the simulations in this study may not remain constant over the entire convective cycle.

### 5. Results and discussion

#### a. Reflectivity structure

The MY1A simulation produces a storm that by 1 h displays relatively low reflectivity values (Fig.  $6a^{1}$ ; maximum of 53 dBZ) in its core and indeed begins to decay rapidly in the second hour (not shown), primarily as a result of a relatively strong, surging cold pool (Fig. 9a, described below). It also displays a forward flank region with a relatively small area when compared with each of the other experiments (Figs. 6b-f).

In contrast, the forward flank reflectivity region is much larger in MY2 (Fig. 6f) than in MY1A and is somewhat larger than that typically observed in supercell storms (e.g., Doswell and Burgess 1993). Morrison and Milbrandt (2011) found that the MY schemes produce long and narrow forward flank regions. Further tests (not shown) strongly suggest that this is due to the relatively low fall-speed curve of graupel used, but further investigation of this issue is beyond the scope of this paper. This does not affect the key point of this paper since we are primarily interested in how well the results of the SM scheme can match the DM, as a proof of concept. There are many processes that cannot be well represented within the SM scheme, however, such as size sorting. In contrast, the DM scheme does parameterize size sorting, although when the shape parameter  $\alpha$  is set to 0 (as in MY2), excessive size sorting tends to occur (MY05a). We suggest that the sharp gradient at the leading edge of the forward flank reflectivity (Fig. 6f) is due to the effect of the excessive size sorting. Simulations of this case performed using the triple-moment MY scheme, which more accurately parameterizes size sorting (MY05a), produced a more gradual and realistic reflectivity gradient in this region (see Fig. 7 in DXMY10), corresponding more closely to radar observations of this case (DXMY10). In addition, a sensitivity test was performed in which the MY2 experiment was repeated with size sorting turned off (by setting the numberweighted fall speeds equal to the corresponding massweighted fall speeds), and this also resulted in a more gradual reflectivity gradient, similar to that of the SM experiments (not shown).

<sup>&</sup>lt;sup>1</sup> In this and similar figures, only a subset of the full model domain is shown.

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Both MY1B and MY1M, in which the rain  $N_0$  is reduced from MY1A, are seen to produce a storm structure that is closer to that of MY2 than MY1A does, particularly on the leading edge or forward flank of the storm. The maximum reflectivity in MY1B is  $60 \, \text{dBZ}$ , which is closer to that of MY2 (maximum of  $64 \, \text{dBZ}$ ) and is considerably increased from that of MY1A. Since the only difference between MY1B and MY1A is the reduction in the (fixed) value of  $N_{0r}$ , this would seem to indicate that the default value of  $8 \times 10^6 \,\mathrm{m}^{-4}$  for  $N_{0r}$  is too high for the type of severe convective case being simulated here; this is perhaps not surprising because the Marshall-Palmer relation was derived mainly for stratiform rain. For a given water content, increasing  $N_{0r}$ will decrease the median volume diameter, resulting in decreased reflectivity values. Despite the overall structure of the storm being closer to MY2 than to MY1A, the areal extent of the forward flank reflectivity region in MY1B is still much smaller in comparison with that in MY2. The areal extent of reflectivity values of greater than 30 dBZ at 3600 s is  $207 \text{ km}^2$  in MY1A,  $319 \text{ km}^2$  in MY1B, 467 km<sup>2</sup> in MY1M, and 1257 km<sup>2</sup> in MY2. For a threshold value of  $50 \, \text{dBZ}$ , the corresponding areas covered are 10, 53, 123, and 172 km<sup>2</sup>, respectively, illustrating the large forward flank region in MY2. The reason for the overall larger regions of higher reflectivity in MY2 is tied to the larger rain sizes predicted in this simulation relative to the others (not shown).

The surface reflectivity structure produced by MY1DR (Fig. 6d) is broadly similar to that of MY1B (Fig. 6b) and MY1M (Fig. 6c), although the lateral extent of the storm is slightly increased. The maximum reflectivity is similar to MY1B, MY1M, and MY2 (Figs. 6b,c,f). The forward flank region in MY1DR shows decreased strength of the east–west reflectivity gradient in the forward flank region (the reflectivity decreases to zero more gradually from west to east) than in the fixed- $N_{0r}$  experiments (Fig. 6d), which corresponds more closely to base reflectivity observations from KTLX (0.5° tilt, not shown). The area with reflectivity of greater than 30 dBZ is  $474 \text{ km}^2$ , and that with reflectivity of greater than 50 dBZ is  $127 \text{ km}^2$ .

To investigate possibly closer similarity to the reference simulation MY2 in the forward flank region, the diagnostic relations for the  $N_0$  of snow, hail, and graupel are implemented in MY1DA. In examining the reflectivity structure generated by MY1DA, (Fig. 6e) it is clear that the inclusion of diagnostic  $N_0$  for graupel, snow, and hail has a large effect on the lateral extent of the storm and general storm structure. It was shown by Gilmore et al. (2004) that altering  $N_0$  for hail and graupel caused large variations in the accumulated precipitation at the surface, and so it is reasonable to expect that altering the values of  $N_{0h}$  and  $N_{0g}$  should cause changes in several fields. The overall reflectivity structure is seen to resemble that of MY2 more closely than any other simulations. Particular increased similarity (relative to the MY2 simulation) is noticed in the forward flank region of the storm, with that of MY1DA being larger in size than in the other SM simulations. The areal extent of reflectivity of greater than 30 (50) dBZ at 3600 s is 686 (166) km<sup>2</sup>, as compared with 1257 and 172 km<sup>2</sup> for MY2, respectively. This result would suggest that by diagnosing  $N_0$  for the frozen categories we are able to represent more accurately the ice processes that contribute to fallout from the anvil. We discuss the impact on specific MP processes in section 5e.

Diagnosing  $N_{0r}$  produces a simulation closer to MY2 than do the fixed- $N_{0r}$  SM simulations, but the increased similarity is mostly limited to the lowest levels, since the same fixed  $N_0$  values for the ice categories are used in MY1DR as are used in MY1A, and little change is observed above the freezing level. This can be seen by an examination of the reflectivity structure above the melting level, at 5.5-km height (Fig. 7). MY1B and MY1DR show virtually no differences from MY1A (all have reflectivity magnitudes that are much too high as compared with MY2; cf. Figs. 7a,b,d with Fig. 7f), which is expected because only the rain PSD is altered in these simulations. To be more specific, the rain mixing ratios are comparable among MY1A, MY1B, and MY1DR, most of which is converted to hail in the updraft of the storm (not shown). Since, in the SM experiments, only mixing ratio is prognosed, once ice forms aloft its PSD is dictated solely by its own mixing ratio and  $N_0$ , the latter of which is the same fixed value for each ice category in MY1A, MY1B, and MY1DR. In contrast, MY1M and MY1DA both show reflectivity patterns and magnitudes that are much closer to that of MY2 (maximum reflectivities at 3600 s of 53, 52, and 47 dBZ, respectively; Figs. 7c,e,f), demonstrating the value of using either the mean or diagnosed  $N_0$  for the ice categories.

Although the reflectivity structure at only a single time has been shown for the sake of brevity, the structure at other times is qualitatively consistent with the above analysis. Nevertheless it is instructive to examine the temporal evolution of the behavior in reflectivity. This is accomplished by computing the RMS difference at the surface for each of the SM simulations against that of MY2, for the duration of the 2-h simulations. The results are shown in Fig. 8. Consistent with the analysis of the plots at 3600 s above, MY1DA is closest to MY2 for the entire duration of the simulation period, showing the largest area of moderate reflectivity relative to MY2 (Fig. 8, top) and the lowest RMS difference of all of the SM experiments (Fig. 8, bottom). In contrast, MY1A clearly performs poorly across the entire simulation duration.



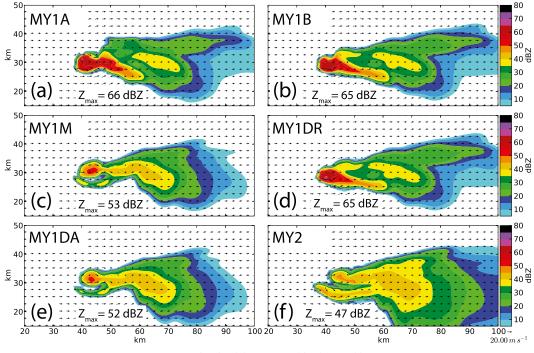


FIG. 7. As in Fig. 5, but for an altitude of  $\sim$ 5680 m.

## b. Cold-pool structure

The cold pool is discussed in terms of the equivalent potential temperature perturbation  $\theta'_e$  fields at the surface, as in DXMY10, since the  $\theta'_e$  field also takes into account moisture effects. Here,  $\theta_e$  is calculated according to Bolton (1980).

The cold pool produced in MY1A is seen to be strong (Fig. 9a), with a minimum  $\theta'_e$  of -29 K at 3600 s. The areal extent of the cold pool as defined by a threshold of  $\theta'_e < -1$  K also continues to increase with time during

the simulation period (Fig. 10, top) In fact, it is the strength of the cold pool that cuts off the updraft after 1 h and causes the simulated storm to decay (not shown). In addition to having the largest  $\theta'_e$  deficit, the areal extent of the cold pool at 3600 s is also the largest of any of the simulations, at 557 km<sup>2</sup>. The time evolution of the cold pool in each experiment is quantified in Fig. 10, which shows total cold-pool area as defined above (Fig. 10, top), minimum  $\theta'_e$  (Fig. 10, middle), and root-mean-square difference (RMSD) of surface  $\theta_e$  relative to the reference experiment MY2. MY1A consistently shows the largest

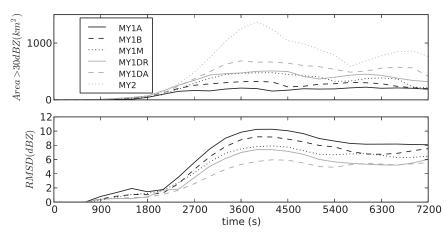


FIG. 8. (top) Area of surface reflectivity > 30 dBZ vs time for each of the experiments, and (bottom) RMS difference in surface reflectivity from experiment MY2 vs time for each of the single-moment experiments.

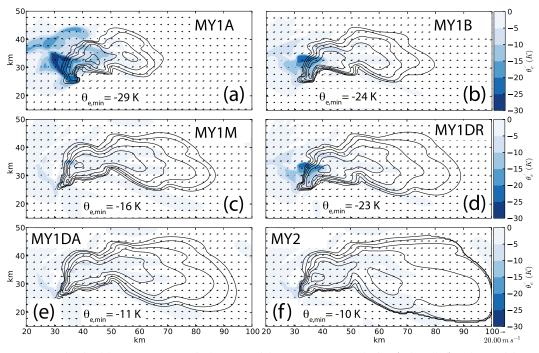


FIG. 9. As in Fig. 5, but for surface equivalent potential temperature perturbation (color filled) and reflectivity (contours, with 10-dBZ increment).

cold-pool area, smallest minimum  $\theta'_e$ , and highest RMSD of  $\theta_e$  of any of the experiments.

The cold pool in MY2 is very weak in comparison, with a minimum  $\theta'_e$  of -10 K, which is comparable to the minimum  $\theta'_e$  observed by the mobile mesonet (Markowski 2002). The areal coverage of  $\theta'_e < -1$  K at 3600 s is substantially less than in MY1A, at 205 km<sup>2</sup>.

The cold pool produced by MY1B is weaker than that of MY1A, with a maximum  $\theta_e$  deficit of -24 K, and an areal coverage of  $\theta'_e < -1$  K of 358 km<sup>2</sup> at 3600 s. Several researchers (e.g., Milbrandt and Yau 2006; Snook and Xue 2008; DXMY10) found that simulations performed using reduced values for  $N_{0r}$  and  $N_{0h}$  tend to produce a relatively weak cold pool when compared with simulations performed using increased  $N_0$  values. This behavior is due to distributions that favor fewer large particles possessing a reduced total hydrometeor surface area when compared with the same mass of water distributed as a large number of smaller particles. This reduced surface area limits the potential for evaporative and melting cooling, which are important mechanisms for cold-pool formation.

The cold-pool structure of MY1DR at 3600 s is similar to that of MY1B in terms of both maximum strength and areal coverage (cf. Fig. 9b with Fig. 9d), and its time evolution is also remarkably similar (Fig. 10 solid gray and black dashed lines, respectively). This indicates that simply reducing  $N_{0r}$  by a factor of 20 produces much the same benefit in this case as diagnosing  $N_{0r}$  in terms of the cold-pool structure and intensity. While a considerable decrease in cold-pool strength is seen from MY1A to MY1DR, the further decrease in cold-pool strength from MY1DR to MY1DA would suggest that the frozen categories have a comparable impact [as demonstrated for graupel in Van Weverberg et al. (2012)]. It is suggested that as the diagnostic relation changes the shape of the frozen categories PSD this modulation is reflected in changes in processes such as melting and collection of rain by hail. Using melting as an example, note, however, that in the case of the SM schemes the PSD of rain depends only on its unique  $N_0$ -W relation (whether fixed or diagnosed) and not on the PSD of the melting category, whereas the same is not generally true for a DM scheme.

Last, simulations MY1M and MY1DA, which involve using the fixed  $N_0$  for each species using the mean value computed from MY2 and using the diagnostic relations derived from MY2, respectively, also show remarkably similar cold-pool structure and intensity at 3600 s (Figs. 9c,e). Both also compare very favorably to the MY2 cold pool at this time (Fig. 9f). The time evolutions of total cold-pool area and minimum  $\theta'_e$  are also closer to MY2 than are any of the other SM simulations (Figs. 10, top and middle), while both have nearly identical RMS differences in  $\theta_e$  against MY2 (Fig. 10, bottom).

From these results, either choosing more accurate fixed values of  $N_0$  or the diagnostic approach clearly

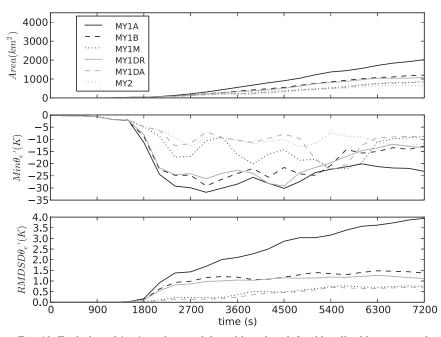


FIG. 10. Evolution of (top) total area of the cold pool as defined by all grid squares at the surface with  $\theta'_e < -1$  K for each simulation, (middle) the minimum  $\theta'_e$  in the cold pool for each simulation, and (bottom) the RMSD in  $\theta_e$  for each of the five single-moment simulations when compared with MY2.

shows promise in producing cold pools that are closer to the DM results. Although reducing the strength of the cold pool is clearly not desirable in all cases, the goal of this study is to recreate the key features of the DM scheme, which in this case presented with a cold pool of significantly reduced strength from the default SM (MY1A) simulation.

# c. Total number concentration

The striking differences in storm structure and cold pool among the experiments in this study exemplify the large impact that PSD parameters can have upon the simulation results. As demonstrated previously, altering  $N_0$  affects the simulation indirectly through feedback from processes such as evaporative effects but also directly through the impact on  $N_t$ . An important test of the viability of the diagnostic- $N_0$  approach is to investigate how well the  $N_t$  fields are reproduced relative to the MY2 experiment, which explicitly predicts  $N_t$  ( $N_t$  in the singlemoment schemes is diagnosed from q and  $N_0$  for a given category). Following the approach above, we present plots of the rain total number concentration  $N_{tr}$  at the surface at 3600 s for each of the experiments in Fig. 11. Immediately it can be seen that there are large variations in both the magnitude and range of  $N_{tr}$  values across each of the single-moment simulations. In general, the diagnostic-Nor simulations, MY1DR and MY1DA (Figs. 11d,e), compare most favorably in a qualitative sense to MY2 (Fig. 11f), particularly when considering the range of values from the core to the edge of the forward flank. In contrast, MY1A, MY1B, and MY1M (the fixed- $N_0$  simulations) all have a much different range of  $N_{tr}$  across the storm, although MY1B shows the closest maximum value of  $N_{tr}$  as compared with MY2 (462 and  $342 \text{ m}^{-3}$ , respectively). This behavior is reflected in the histograms of  $N_t$  shown in Fig. 12, which confirm that the diagnostic- $N_0$  simulations better capture the range and shape of the distribution of  $N_t$  in the simulations for each of the hydrometeor categories, whereas the fixed- $N_0$  simulations have histograms that, depending on the choice of the fixed value of  $N_0$  in each case, exhibit only partial overlap with the range of values from MY2 (e.g., as in the case of  $N_{tr}$  for MY1A as compared with MY2; Fig. 12a). In general, none of the SM schemes fully captures the broad range of  $N_t$  values exhibited by the MY2 scheme for each category, but the diagnostic relations clearly outperform the fixed- $N_0$ simulations in this regard.

We note here that, even though similar results in terms of the cold-pool strength and precipitation structure seem obtainable by choosing a reasonable fixed value of the rain  $N_0$  (such as in MY1B and MY1M), in practice it is difficult to predetermine what value should be used, and the constant fixed values are thus not necessarily realistic, as is shown above when considering the histograms of  $N_t$ . For this reason, we believe the

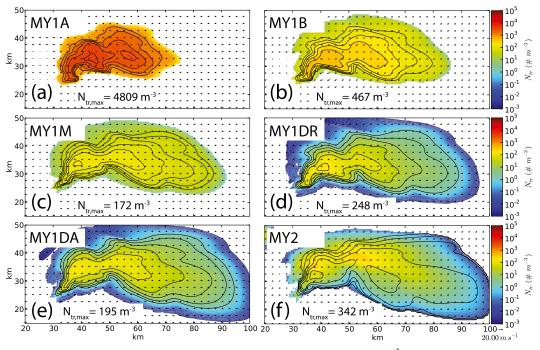


FIG. 11. As in Fig. 5, but for rain total number concentration  $N_{tr}$  (m<sup>-3</sup>; color filled).

diagnostic relations hold more promise; to say the least, they provide spatial variability for the PSD parameters that should be more realistic than assigned constant values.

In terms of each of the variables examined in this study, consistent increase in similarity to MY2 is seen in MY1DR over MY1M, MY1B, and MY1A, in that order. Further similarity to MY2 in examined variables is seen in MY1DA, consistent with the goal of this study. This result is encouraging, and it is suggested that the method of diagnosing  $N_0$  on the basis of independently predicted variables merits further investigation. We suggest that the diagnostic relationships be derived for additional cases besides supercells to investigate the representativeness of the relations derived in this study. An investigation into the dependence of the diagnostic relations on variables other than water content, such as temperature and updraft velocity, would also add value to this method. Although a diagnostic relation for  $N_0$  brought the simulation results closer to the DM results, we recognize that there is a limit to this approach; there are intrinsic limits to the SM scheme. One example of these limits can be seen by examining carefully the pattern of  $N_{tr}$  in MY2 (Fig. 11f), which exhibits a dipole structure approximately straddling the centerline of the forward flank reflectivity region, with higher values to the north of this line and progressively smaller values to the south. This gradient in  $N_{tr}$  is associated with larger mean diameters of rain near the south edge of the forward flank

and progressively smaller mean diameters toward the north (not shown), which is consistent with observed and simulated  $Z_{DR}$  arcs (e.g., Kumjian and Ryzhkov 2008, 2009, 2012; Jung et al. 2010; Snyder et al. 2010). This pattern is a reflection of raindrop size sorting, which again comes about in MY2 as a result of the differential sedimentation of the q and  $N_t$  moments (e.g., MY05a). In contrast, each of the SM simulations, including the diagnostic- $N_0$  simulations, shows a monopole pattern in  $N_{tr}$ , with the maximum located near the maximum in reflectivity near the core of the storm; the diagnostic relation for  $N_0$  cannot fully represent all complex physical processes included in the DM scheme, including size sorting.

#### d. Squall-line case

Since the diagnostic relations were derived from a DM simulation of a supercell storm, the relative increase in similarity of the results of MY1DA and MY1DR to those of MY2 as compared with MY1A (shown in sections 5a-c) is perhaps not that surprising. A question one would ask is if these relationships are applicable to other types of convective systems, such as the squall line. To answer this question, we apply the diagnostic relations obtained above to a simulated squall line.

The squall line is again simulated using the ARPS model, in two dimensions. The domain is 700 km in the x direction and 20 km in the vertical direction. The grid spacing is 1 km in the horizontal direction. In the vertical direction there are 64 levels, with the vertical grid spacing

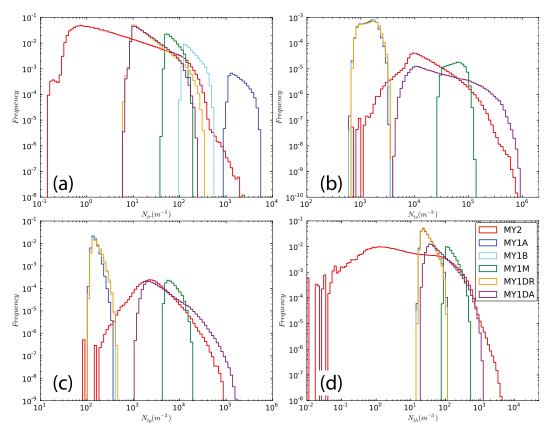


FIG. 12. Normalized histograms of (a) rain, (b) snow, (c) graupel, and (d) hail total number concentration  $N_t$  for MY2 (red), MY1A (blue), MY1B (cyan), MY1M (green), MY1DR (orange), and MY1DA (purple). Note the logarithmic scale for both axes. Histograms represent the accumulation of all grid points with  $q > 1.0 \times 10^{-5} \text{ kg kg}^{-1}$  from 3600 to 7200 s at 300-s intervals for each simulation.

being 100 m in the lowest 3 km and gradually increasing to 850 m at the model top. The simulations use the Weisman and Klemp (1982) analytic thermodynamic sounding. The wind profile used is  $-17.5 \,\mathrm{m\,s^{-1}}$  at the surface, decreasing linearly to  $0 \,\mathrm{m\,s^{-1}}$  at a height of 5 km, giving  $17.5 \,\mathrm{m\,s^{-1}}$  of shear within the lowest 5 km. The wind speed remains zero above 5 km.

For the squall line, three separate simulations were run. The first simulation uses the original SM MY MP scheme and is denoted MY1A-Q (corresponding to MY1A). The second simulation uses the DM MY MP scheme (denoted MY2-Q, corresponding to MY2). The final simulation used the diagnostic- $N_0$  relations defined in section 3 for rain, snow, graupel, and hail. This simulation is denoted MY1DA-Q and corresponds to MY1DA. Each of these three simulations was run for 12 h.

The reflectivity fields shown in Fig. 13 represent the averages (relative to the leading edge of the surface gust front) over hours 3–6 of model time (note that this represents a mature squall line; in all cases the squall line becomes mature and quasi steady by 3 h into the simulation). The MY1A-Q simulation shows a strong cold

pool and a rearward-tilted updraft, with the maximum updraft in the lower levels (Fig. 13a). MY2-Q shows a weaker, more elevated updraft, with a tilt close to neutral and a weaker cold pool (Fig. 13c). The mean reflectivity in MY1DA-Q shows a similar structure to that of MY2-Q, with a neutrally tilted updraft and the updraft maximum in the midlevels (as opposed to the lower levels in MY1A-Q; Fig. 13b). The height of the maximum reflectivity is within the lowest 3 km in both MY1DA-Q and MY2-Q, whereas the column of maximum reflectivity in MY1A-Q reaches a height of 7 km. The strong increase in reflectivity immediately below the melting layer in MY1DA-Q suggests a more vigorous cold-rain process, which is not seen in MY2-Q, suggesting that the diagnostic approach may not be optimally tuned for the squall-line case.

The cold pool in MY1DA-Q is weaker than in either MY1A-Q or MY2-Q. This can be seen in more detail in Fig. 14, which shows the time evolution of the surface cold pool in each of the simulations. It is clear that, while the cold pool is weakest in MY1DA-Q, the cold-pool evolution is closer to that of MY2-Q than to MY1A-Q, which

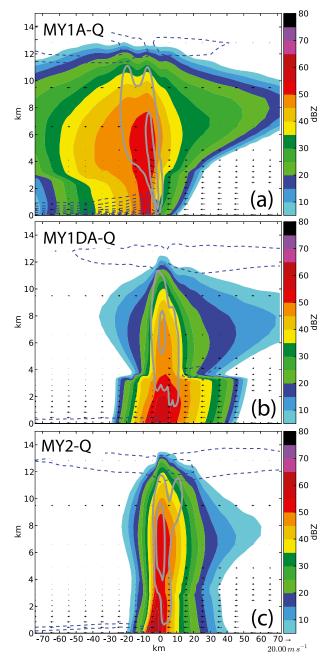


FIG. 13. Reflectivity (color filled), wind vectors (every 10 km in the horizontal direction; every fourth level in the vertical direction), vertical velocity (gray contours; only 1 and  $5 \text{ m s}^{-1}$  shown), and potential temperature perturbations (dashed blue contours; 1-K increment) averaged over hours 3–6 of the three squall-line simulations: (a) MY1A-Q, (b) MY1DA-Q, and (c) MY2-Q.

shows continued eastward growth after 2 h of simulation time, although MY1DA-Q and MY2-Q do not.

The results from MY1DA-Q more closely resemble those of MY2-Q than did those of MY1A-Q, but this fact does not necessarily mean that the MY1DA-Q results are more realistic. In fact, comparisons with the conceptual model for squall-line mesoscale convective systems presented in Houze (2004) suggest that the strong increase in reflectivity immediately below the melting level in Fig. 13 is not physically realistic. We do have the need to test further the diagnostic relations for  $N_0$  with cases encompassing a range of precipitation types, though.

# e. Sensitivity of MP processes to the diagnostic relations

To understand better the seemingly enhanced similarity of the simulations performed using the diagnostic relations to the DM simulations over the original SM simulations, we examine here how the diagnostic relations affect certain MP process rates for a range of mixing-ratio values from the supercell simulations. The  $N_0$  values are set as follows: for the original SM scheme, constant values are used for all species (solid black lines in Figs. 1–4). For the diagnostic scheme,  $N_0$  is diagnosed for each species using the relations derived in section 3. For the DM simulation, the  $N_0$ -W pairs from MY2 are sampled throughout the model domain over the entire range of times used to derive the diagnostic relations (see section 3).

Four MP processes are examined: rain evaporation, melting of snow, graupel, and hail. To examine these processes, the three sets of  $q-N_0$  values defined above are used in the calculations of the equations of corresponding processes, taken from the MY schemes within the ARPS model. Each process is computed at a constant temperature of 5°C, an air density of 1 kg m<sup>-3</sup>, and a water vapor mixing ratio of  $5 \text{ g kg}^{-1}$ . The results (Fig. 15) show that, for each of the processes examined, the diagnostic- $N_0$ approach better fits the envelope of points from the DM scheme than the original fixed- $N_0$  SM scheme does. For each of the processes, the fixed  $N_0$  results in a line that does not overlap the set of points from the DM scheme (Figs. 15a–f). That the diagnostic-scheme line lies close to or within the spread of  $q-N_0$  from the DM scheme serves to explain the similarity between the cold-pool structure from MY1DA and MY2 (and MY1DA-Q and MY2-Q).

Since the MP processes seem to be better represented ("better" in terms of being "closer to the MY2 reference") in MY1DA, we suggest that the use of the diagnostic- $N_0$  method holds promise for simulations of other types of storms also.

#### 6. Summary and conclusions

The overall goal of this study was to establish and utilize a relationship between the PSD parameters and the hydrometeor mass variables typically predicted in

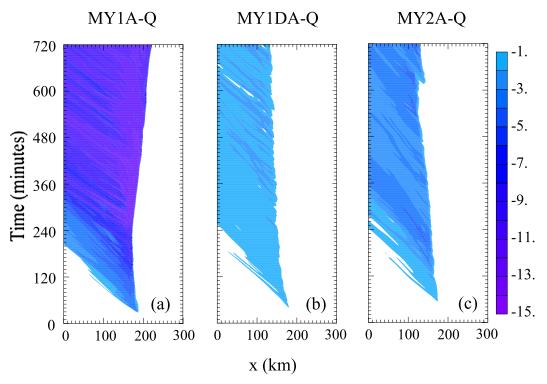


FIG. 14. Perturbation potential temperature  $\theta'$  at the surface vs time (color filled), to show the evolution of the cold pool in the three squall-line simulations: (a) MY1A-Q, (b) MY1DA-Q, and (c) MY2-Q.

SM MP schemes in the hope of producing results that are closer to those of DM schemes. The PSD parameter chosen was the intercept parameter  $N_0$ . It has already been shown from disdrometer measurements that there is a measurable positive correlation between the two variables (Zhang et al. 2008), and in this study we derived such relationships on the basis of the output of an idealized two-moment simulation. The original SM Milbrandt and Yau MP scheme uses a fixed value for  $N_0$ for each hydrometeor species. Two control simulations were run using the original single (MY1A) and DM (MY2) MP schemes, and these simulations were used as the basis against which all subsequent simulations in this study are compared.

Simply reducing the fixed value of  $N_{0r}$  by a factor of 20 (MY1B) showed improvements over MY1A in terms of producing results that are more closely aligned with those of the DM simulation (more realistic reflectivity structure, reduced cold-pool strength, reduced number concentration).

Diagnosing  $N_{0r}$  (MY1DR) produced simulations that are more consistent with MY2 than did the original fixed- $N_{0r}$  SM simulation MY1A. These are limited to the lowest levels, however. The addition of a diagnostic relation for  $N_0$  for the frozen hydrometeor categories (MY1DA) brings the simulation results further in line with MY2 than when  $N_{0r}$  only is diagnosed. Results with further increased consistency with MY2 are seen at low levels as well as above the melting layer because of increased consistency with MY2 in the structure of the frozen categories that can cause changes in the rain category through fallout and melting, among other processes.

The use of diagnostic relations for several frozen species was found to significantly increase the lateral extent of the storm in MY1DA over the other SM simulations. Extending the diagnostic- $N_0$  relation to the frozen hydrometeors also has a large positive impact on the coldpool structure. It is known that altering  $N_0$  of any of the hydrometeor distributions can have a large effect on the cold pool, because altering the shape of the distribution directly affects the rate of evaporative or melting cooling (e.g., Gilmore et al. 2004; van den Heever and Cotton 2004; Milbrandt and Yau 2006; Snook and Xue 2008; DXMY10).

While MY1DR produced a cold pool that was smaller in size and strength than that of MY1A, diagnosing the frozen category  $N_0$  further reduced both the intensity and size of the cold pool, bringing the temperature deficit in line with that of MY2. The cold pool in MY2 was very weak, which agrees well with surface mesonet observations from the event (Markowski 2002); hence

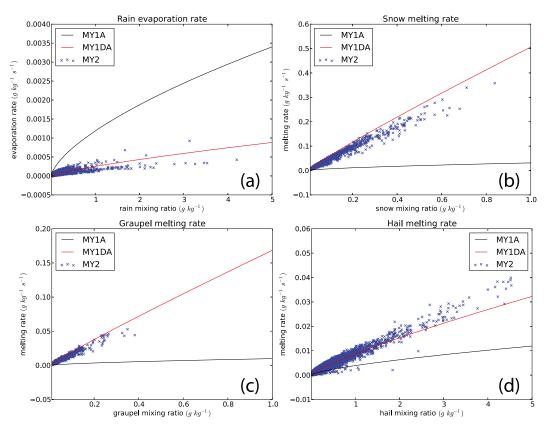


FIG. 15. The effect of the diagnostic intercept parameter on select MP processes: (a) rain evaporation, (b) snow melting, (c) graupel melting, and (d) hail melting. For each process, the results using three MP schemes are shown: MY1A (black line), MY1DA (red line), and MY2 (blue times signs).

the decreased cold-pool strength through diagnosing  $N_0$  of the frozen species is encouraging.

Although the improved consistency of MY1DA with MY2 (as compared with MY1A) is encouraging, the diagnostic relations require testing to ensure that the derived relations are not too case specific. Similar results were obtained for the simulations of a 2D squall line. Using the diagnosed  $N_0$  relations obtained from the reference supercell simulation, the reflectivity structure and cold-pool evolution of the SM simulation were brought closer to the results of the DM simulation for the squall line case also. An examination of four specific MP processes (evaporation of rain and melting of snow, graupel, and hail) using the diagnostic relations showed that their use brought the rates of all four processes closer to the rates that were evaluated using the DM scheme.

Although further testing is needed over a wider range of cases (e.g., severe convective storms, stratiform precipitation, and winter storms), the method examined in this paper shows considerable promise in bringing the results of a six-prognostic-variable SM MP scheme closer to a DM MP scheme, with a considerably lower computational cost relative to the DM scheme. Acknowledgments. This study was primarily supported by NSF AGS-1046171 and by MOST 2013CB430103. The second author was partially supported by the National Research Council Postdoctoral Research Fellowship and by NSF Grant AGS-1137702. The third author was also supported by NSF Grants AGS-0802888, OCI-0905040, AGS-0941491, and AGS-0750790. Computations were carried out at the Oklahoma Supercomputing Center for Education and Research (OSCER) and at the Texas Advanced Computing Center (TACC). Some of the figures were generated using the free software package Matplotlib (Hunter 2007).

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