

1 **The effect of surface drag strength on mesocyclone intensification and**
2 **tornadogenesis in idealized supercell simulations**

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ABSTRACT

16 A suite of six idealized supercell simulations is performed in which the sur-
17 face drag coefficient (C_d) is varied over a range of values from 0 to 0.05 to
18 represent a variety of water and land surfaces. The experiments employ a
19 new technique for enforcing a three-force balance among the pressure gra-
20 dient, Coriolis, and frictional forces so that the environmental wind profile
21 can remain unchanged throughout the simulation. The initial low-level meso-
22 cyclone lowers toward the ground, intensifies, and produces a tornado in all
23 experiments with $C_d \geq 0.002$, with the intensification occurring earlier for
24 larger C_d . In the experiment with $C_d = 0$, the low-level mesocyclone remains
25 comparatively weak throughout the simulation and does not produce a tor-
26 nado. Vertical cross sections through the simulated tornadoes reveal an axial
27 downdraft which reaches the ground only in experiments with smaller C_d ,
28 as well as stronger corner flow in experiments with larger C_d . Material cir-
29 cuits are initialized enclosing the low-level mesocyclone in each experiment
30 and traced backward in time. Circulation budgets for these circuits implicate
31 surface drag acting in the inflow sector of the supercell as having generated
32 important positive circulation, and its relative contribution increases with C_d .
33 However, the circulation generation is similar in magnitude for the experi-
34 ments with $C_d = 0.02$ and 0.05 , and the tornado in the latter experiment is
35 weaker. This suggests the possible existence of an optimal range of C_d values
36 for promoting intense tornadoes within our experimental configuration.

37 **1. Introduction**

38 The role of surface drag in supercell dynamics, and particularly in tornadogenesis, continues to
39 receive heightened research interest during recent years. To a large degree, the present study repre-
40 sents an extension of Roberts et al. (2016) (hereafter R16) and Roberts and Xue (2017) (hereafter
41 RX17) that examine the effects of surface drag using a fixed drag coefficient (C_d) value of 0.01.
42 As such, we will first summarize those two studies for context, then briefly review relevant studies
43 over the past few years.

44 *a. Summary of R16 and RX17*

45 R16 performed a pair of idealized supercell simulation experiments at 50-m grid spacing using
46 the Advanced Regional Prediction System (ARPS; Xue et al. 2000, 2001, 2003) initialized with an
47 environmental sounding based on the 3 May 1999 tornado outbreak in central Oklahoma. The first
48 experiment, FWFRIC, employed the standard ARPS model formulation for surface drag where
49 drag is applied to the full near-surface horizontal wind components. The second experiment,
50 EnvFRIC, used a modified formulation where drag was effectively applied only to the base-state
51 wind profile; that is, drag acted *only* to maintain the environmental wind profile that was in three-
52 force balance among the horizontal pressure gradient force (PGF), Coriolis force, and surface drag,
53 and did not influence perturbation winds associated with the simulated storm. Both experiments
54 used a drag coefficient of $C_d = 0.01$. A strong tornado occurred in FWFRIC about 25 min into
55 the supercell storm simulation, while no tornado occurred in EnvFRIC during the first 40 min.
56 Vorticity budgets along tornado-entering trajectories in FWFRIC revealed strong enhancement of
57 horizontal vorticity by surface drag in the near-ground inflow east of the mesocyclone, which
58 subsequently contributed to cyclonic vorticity in the tornado after tilting and stretching when the
59 trajectories turned upward. In addition, near-ground horizontal convergence along a boundary

60 beneath the low-level mesocyclone was shown to be substantially stronger in FWFRIC than in
61 EnvFRIC during and preceding tornadogenesis.

62 RX17 extended the analysis of experiments FWFRIC and EnvFRIC to the low-level mesocyc-
63 clone during the pre-tornadogenesis phase of the simulated storm evolution. Lagrangian circula-
64 tion budget analyses were performed to elucidate the source of circulation along evolving material
65 circuits. The material circuits were initialized around the low-level mesocyclone and traced back-
66 ward in time. The circulation budgets for the circuits in FWFRIC revealed that, for the low-level
67 mesocyclone below 1 km AGL during the 5-min period immediately preceding tornadogenesis,
68 surface drag had generated a substantial fraction of the mesocyclone circulation. The low-level
69 mesocyclone circulation in EnvFRIC during the same period, while comparable in magnitude to
70 that in FWFRIC, was predominantly barotropic in origin (i.e., it originated from the preexisting
71 environmental wind shear). In both simulations, only a weak cold pool with a small footprint
72 had developed during the period preceding tornadogenesis, and baroclinity was shown to make a
73 minimal contribution to the mesocyclone circulation.

74 Taken in sum, the results of R16 and RX17 illustrated a scenario of supercell evolution wherein
75 surface drag generates enhanced horizontal vorticity on the storm scale, and this vorticity is then
76 tilted and stretched to contribute meaningfully to cyclonic vorticity in the mesocyclone and tor-
77 nado. One limitation of those results is that only a single value of C_d was employed, so the
78 sensitivity of the mesocyclone intensification and tornadogenesis to the drag strength is unknown.
79 For example, will the tornado be increasingly stronger if the drag coefficient is increased to the
80 upper limits associated with real land surfaces, or will sufficiently strong drag weaken or even
81 eliminate the tornado? To answer these questions, the present study performs a suite of idealized
82 supercell simulations in which the drag coefficient (C_d) is set to zero or to values between 0.002
83 and 0.05; the non-zero values cover a representative range for drag over water and land surfaces

84 of different roughnesses. To facilitate the use of different C_d values in idealized storm simula-
85 tions within the same environment, a new technique is employed to keep the environmental wind
86 profile in the far field more or less unchanged throughout the simulation regardless of the value
87 of C_d , while still allowing drag to act on the full wind components. Effects of the drag strength
88 on the simulated storm intensity and structures, especially those pertaining to the mesocyclone
89 and ensuing tornado, are documented. The relative contributions of surface drag to the circulation
90 about mesocyclone-enclosing material circuits for different drag strengths are also analyzed and
91 compared.

92 *b. Recent progress on supercell and tornadogenesis dynamics*

93 In the period since the preparation of RX17, a theme in much of the new literature on the
94 tornadogenesis problem has been a return to somewhat more fundamental questions about rele-
95 vant supercell dynamics, rather than a special emphasis on which physical mechanism(s) generate
96 tornadic vorticity. For example, Coffey and Parker (2016) (hereafter CP16) examined idealized
97 single-sounding 125-m numerical simulations initialized with composites of observed soundings
98 collected during the VORTEX2 field project. Specifically, a simulation initialized using a compos-
99 ite of tornadic cases was compared against one initialized using a composite of nontornadic cases.
100 The supercell in the tornadic composite experiment produced a tornado-like vortex (TLV) with
101 EF3-strength wind speeds, while the supercell in the nontornadic composite experiment failed to
102 produce an organized TLV. This outcome was linked to the stronger low-level mesocyclone and
103 associated updraft in the tornadic composite experiment. The relatively more dominant stream-
104 wise (crosswise) vorticity near the ground in the tornadic (nontornadic) composite sounding is
105 argued, after tilting and ingest into the low-level mesocyclone, to have more effectively induced a
106 positive feedback of dynamic perturbation pressure falls aloft that gave rise to this robust updraft.

107 Implicit in this conclusion is that the environmental wind shear (and associated barotropic horizon-
108 tal vorticity) is a crucial and direct control on mesocyclone processes near and below 1 km AGL,
109 in addition to its more ubiquitously understood impact on midlevel rotation. This is noteworthy
110 because the literature on supercell dynamics has long emphasized the need for downdrafts to gen-
111 erate "near-ground" cyclonic vorticity in a supercell. The basis for this argument is typically that
112 tilting of horizontal vorticity in near-ground parcels ascending into an updraft cannot commence
113 quickly enough to generate meaningful vertical vorticity until some appreciable height AGL (e.g.,
114 Rotunno and Klemp 1985; Davies-Jones and Brooks 1993; Markowski et al. 2008; Davies-Jones
115 2015). However, Rotunno et al. (2017) (hereafter RMB17) have cast some doubt on this notion, us-
116 ing highly idealized numerical experiments (in which a pseudostorm updraft and downdraft were
117 forced by persistent specified heating and cooling sources, respectively, and the lower boundary
118 was free-slip) to demonstrate how near-ground parcels with initially negligible vertical vorticity
119 can in fact acquire cyclonic vorticity "near the ground" (e.g., 1 m AGL) immediately upon as-
120 cent. The key ingredient for this near-ground production is the presence of very large streamwise
121 horizontal vorticity prior to ascent; due to the action of stretching, such large streamwise vortic-
122 ity is more likely to be found in accelerating flows (e.g., an outflow surge, or inflow accelerating
123 toward the center of an intensifying mesocyclone) than decelerating flows (e.g., inflow stagnating
124 upon approach to a strong, vertically erect gust front; Davies-Jones and Markowski 2013). Note
125 that in RMB17's experiments, preexisting cyclonic vorticity prior to ascent is still beneficial for
126 subsequently generating large values near the ground, even if it is not strictly necessary.

127 R16 identified a mechanism for cyclonic vorticity production during trajectory descent toward
128 the ground analogous to the "vortex line slippage" mechanism in Davies-Jones and Brooks (1993),
129 except that it is the exchange of frictional crosswise vorticity into the horizontal streamwise direc-
130 tion – rather than direct, baroclinic generation of horizontal streamwise vorticity – which initiates

131 the process during descent (c.f. Fig. 19 in R16). This mechanism, which was demonstrated for
132 a representative parcel trajectory entering the simulated tornado in R16, is one example of how
133 vorticity generated without baroclinic influence could contribute directly to tornadogenesis. If
134 the arguments of RMB17 apply to most supercells in nature, it is even possible that near-ground
135 parcels without a history of descent could contribute to substantial near-ground cyclonic vortic-
136 ity in low-level mesocyclones and tornadoes¹; in principle, this would further open the door to
137 important generation mechanisms other than baroclinity (e.g., frictional generation, or tilting and
138 stretching of environmental vorticity). Considering that frictionally-generated horizontal vortic-
139 ity is largest near the ground, and previous modeling studies (Schenkman et al. 2014, R16) have
140 shown that the so-called "riverbend effect" can convert much of the initially crosswise frictional
141 vorticity possessed by tornado-bound parcels into streamwise vorticity as the flow curves cycloni-
142 cally and converges toward the vortex (after which tilting into the vertical and amplification from
143 stretching can be expected upon ascent into the vortex), it is clear that further investigation into
144 frictional effects on supercell dynamics and tornadogenesis is warranted.

145 Coffey and Parker (2018) (hereafter CP18) conducted an expanded suite of idealized experiments
146 initialized with intermediate soundings interpolated between the CP16 tornadic and nontornadic
147 composite profiles, finding a "tipping point" where TLV-genesis occurs if the background envi-
148 ronment is comprised of at least 40% of the tornadic composite. Again, the role of the low-level
149 environmental horizontal vorticity magnitude and orientation (in particular, the 0-500 m AGL
150 storm-relative helicity) in promoting a robust low-level mesocyclone is identified as the key causal
151 factor for this tipping point. CP18 state among their key conclusions that "operationally, it mat-

¹Although both parcels analyzed in RMB17 descended prior to acquiring cyclonic vorticity, their results demonstrate a key physical mechanism (large stretching of horizontal streamwise vorticity prior to ascent) which could also manifest in parcels accelerating horizontally near the ground *without* a history of participation in a downdraft.

152 ters not how vertical vorticity is generated at the surface,” so long as a strong low-level updraft
153 exists to stretch the vorticity sufficiently upon ascent. This is a finding echoed by Yokota et al.
154 (2018, hereafter Y18), who conducted a 33-member ensemble of 50-m real-data simulations of
155 the 6 May 2012 Tsukuba, Japan, supercell tornado case. Circulation analyses for circuits ini-
156 tialized encircling tornadoes in various members, then integrated backward in time, revealed that
157 friction tended to have a larger overall contribution to circulation than baroclinity; nonetheless,
158 baroclinity was a dominant source of tornadic circulation in some members. Furthermore, the
159 relative roles of different circulation generation mechanisms within an ensemble member were
160 not strongly correlated with the existence or intensity of a tornado in that member. Instead, Y18
161 found ”tornadogenesis was especially well correlated with the strength of low-level mesocyclones
162 at about 1 km AGL and water vapor near the surface” in the minutes prior to genesis. The crit-
163 ical role of the low-level mesocyclone and updraft strength was also seen in RX17 and several
164 other high-resolution modeling studies (e.g., Noda and Niino 2010; Mashiko 2016), with RX17
165 placing particular emphasis on the effect of horizontal vorticity generated by surface drag on the
166 mesocyclone intensity. Trapp et al. (2017) has argued using a simple mathematical model that
167 the updraft *width*, in addition to strength, may also control tornado intensity. A commonality of
168 these studies is the critical role played by the low-level mesocyclone, which provides the needed
169 intense vertical stretching. Meanwhile, these studies also point to the important role of the vertical
170 shear/horizontal vorticity contained in the flow feeding the mesocyclone, which may come from
171 the background environment or be generated/enhanced by the storm (e.g., through baroclinity or
172 surface friction). These findings motivate a particular focus on the dynamics and evolution of
173 the low-level mesocyclone in the present study across our suite of experiments with different C_d
174 values.

175 As fundamental conceptual models of supercell behavior are evolving to accommodate new in-
176 sights and experimental results, identification of specific vorticity generation mechanisms acting
177 on parcels bound for the low-level mesocyclone and tornado remains an important facet of under-
178 standing their dynamics. Furthermore, in the case of surface drag, any potential role it might play
179 in important vorticity generation has potential operational relevance, since the surface roughness
180 beneath and surrounding a supercell can in many cases be reasonably assessed in real time. Thus,
181 our continued work in this area has both academic and operational relevance.

182 The rest of this paper is organized as follows. Section 2 briefly describes the new technique for
183 maintaining the environmental wind profile, and how it differs from the experimental setup in R16.
184 Section 3 presents the results of our new experiments. Section 4 summarizes the results, discusses
185 their implications, and suggests possible areas of future research.

186 **2. Methodology**

187 *a. Environmental wind balance technique*

188 When compared to experiments FWFRIC and EnvFRIC analyzed in R16 and RX17 (described
189 in Section 1a), the simulations analyzed in the present study differ chiefly in our approach to
190 modeling the force balance in the background environment, and also in the actual value of the
191 surface drag coefficient.

192 In Section 2b of R16, we detailed a procedure for establishing a base-state sounding which is in
193 a three-force balance among the horizontal PGF, Coriolis force, and frictional force (the so-called
194 “geotriptic” balance; Johnson Jr. 1966). In the current study, we will refer to the force-balancing
195 technique from R16 as the frictional balancing procedure (FBP). As a brief review, the FBP in-
196 volved integrating a 1D version of the ARPS model (the same model used for the 3D storm simu-

197 lations, with the same vertical grid spacing and physics parameterizations) for a 48-h adjustment
198 period. The 1D simulation was initialized with a sounding extracted from a real-data simulation
199 of the 3 May 1999 tornado outbreak in central Oklahoma conducted by Dawson et al. (2010)
200 (hereafter DA10); this initial sounding (called MAY3) was assumed to be in geostrophic balance,
201 even though drag acting within the modeled planetary boundary layer (PBL) qualitatively vio-
202 lated this assumption. The 1D simulation effectively included the large-scale PGF, Coriolis force,
203 and surface drag (using $C_d = 0.01$, which was selected as an intermediate value representative of
204 land). After the 48-h adjustment, a three-force balance was achieved in the 1D column, and the
205 resulting thermodynamic and kinematic profiles were taken as a sounding we called MAY3B (Fig.
206 1). In R16 and RX17, MAY3B was used to define the storm environment in 3D storm simula-
207 tion experiments (FWFRIC and EnvFRIC) that used $C_d = 0.01$. By employing the FBP, R16 and
208 RX17 compared the application of parameterized surface drag to the full wind (FWFRIC) versus
209 its application to only the base-state wind (EnvFRIC). The action of surface drag within the 1D
210 adjustment simulation resulted in a substantial change in the low-level wind profile of MAY3B,
211 when compared to the original MAY3 sounding. MAY3B consequently contains an excess of
212 near-ground shear (e.g., 0-1 km storm-relative helicity of $435 \text{ m}^2 \text{ s}^{-2}$ in MAY3B vs. 310 m^2
213 s^{-2} in MAY3) that is attributable directly to the assumption of MAY3's geostrophy in the FBP.
214 Furthermore, this change in low-level shear was necessarily dependent on the magnitude of C_d ,
215 meaning that different choices of C_d would have yielded different shear profiles. Therefore, with
216 the FBP method, it was not possible to run experiments varying C_d while keeping the same envi-
217 ronmental wind profile balanced in all of the simulations. It is the goal of this study to overcome
218 this limitation and examine the impact of different C_d values on storms developing within the same
219 environment.

220 In the present study, the FBP is supplanted by a new approach called the geotriptic wind bal-
221 ance (GWB) technique introduced in a companion paper (Dawson et al. 2019, hereafter DRX19).
222 Briefly, its purpose is to make practical the use of any sounding to define the storm environment
223 for idealized simulations with surface drag using a constant drag coefficient C_d . It accomplishes
224 this by explicitly adding a compensating horizontally uniform force to the horizontal momentum
225 equations that balances the Coriolis and friction forces on the large scale (i.e., for the unperturbed
226 storm environment). This compensating force (hereafter the “pseudo-PGF” or PPGF) is found by
227 evaluating the time tendency of the horizontal momentum equations under the action of Corio-
228 lis and frictional forces, typically using a suitable horizontal average of an unperturbed region of
229 the domain near the beginning of the simulation. The required force is then the *negative* of this
230 time tendency. The PPGF so computed is added immediately back to the RHS of the horizontal
231 momentum equations for the initial time step and all subsequent times. In this manner, the GWB
232 technique will ensure the background environment (i.e., the far field away from storm-induced per-
233 turbations) remains in three-force balance, and therefore quasi-steady state, for any background
234 sounding.

235 Along with this property, another advantage of the GWB technique over the FBP technique
236 makes it ideal for the purposes of the study: while the FBP is only physically justifiable to the
237 extent that the initial input sounding is in geostrophic balance, this is not the case when using
238 the GWB technique, since it explicitly assumes the initial profile is in *three-force balance* (i.e.
239 Coriolis, PGF, and friction) and is thus more flexible. We refer the reader to DRX19 for further
240 details. In the present study, we apply a range of C_d values to experiments which all share the same
241 initial sounding (MAY3B). Thus, in these experiments, we do not claim to model the three-force
242 balance from the real storm environment which produced MAY3B. Instead, as in R16 and RX17,

243 we are artificially forcing the background environment to remain the same over different surfaces
244 in order to discern the impact of drag specifically on *storm perturbations*.

245 *b. Experimental design and model configuration*

246 In this study, six experiments with different drag coefficient values are performed using the
247 GWB technique. For continuity with FWFRIC and EnvFRIC (from R16 and RX17; more details in
248 Section 1a) and to facilitate clean comparisons with those earlier experiments, the initial sounding
249 for all experiments herein is MAY3B (Fig. 1). We therefore again emphasize the caveat that
250 MAY3B contains some degree of artificially enhanced near-ground shear when compared with
251 DA10's original simulation, as described in Section 2a.

252 The experiments and their drag coefficients are summarized in Table 1, along with representative
253 land surfaces for each coefficient². These experiments are intended to sample the parameter space
254 spanned by land surfaces over which supercells may exist in the real world, ranging from short
255 grassland to tall forests and urban cores (with the exception of CD0, which represents an idealized
256 frictionless surface). Experiment CD0 is the GWB-based equivalent to EnvFRIC; that is, drag does
257 not act on storm perturbations in CD0, even though its background wind profile has resulted from
258 drag. In the remaining experiments, drag does act on the storm perturbations, but the magnitude
259 varies according to C_d . Experiment CD1-2, with $C_d = 0.01$ (1×10^{-2}), is the GWB-based equivalent
260 to FWFRIC. Although CD0 (CD1-2) is not identical in evolution to EnvFRIC (FWFRIC), they are
261 qualitatively very similar throughout the analysis period.

262 Our numerical simulations are conducted using the Advanced Regional Prediction System
263 (ARPS) (Xue et al. 2000, 2001) with the same configuration described in R16, aside from the

²Note that only roughness length z_0 can be linked directly to land surface types, while C_d in a numerical model is a function of both z_0 and the height of the lowest scalar grid level z_1 (10 m AGL, in our configuration). See eq. (4) of Wieringa (1993) for details.

264 implementation of the GWB technique and our specified values of C_d . The grid spacing is 50-m
265 in the horizontal. There are 83 vertical levels, and vertical grid spacing ranges from 20-m near
266 the ground to 400-m in the upper troposphere. The physical domain is 64x96 km in horizontal
267 extent and 16 km deep in the vertical. For this study, simulations were integrated in time to 3000
268 s. The initial condition is horizontally homogeneous (defined by the aforementioned sounding
269 MAY3B) except for an ellipsoidal thermal bubble with a maximum potential temperature pertur-
270 bation of 6 K (used to initiate deep moist convection). Parameterization of microphysics follows
271 the five-species formulation of Lin et al. (1983), but with the rain intercept parameter (n_{0r}) re-
272 duced to 2×10^6 . The 1.5-order TKE formulation of Moeng and Wyngaard (1988) is employed to
273 parameterize subgrid-scale turbulence.

274 **3. Simulation results**

275 *a. Overview and qualitative analysis*

276 As with the original FWFRIC and EnvFRIC experiments from R16 (described at length in Sec-
277 tion 1a), all six experiments evolve qualitatively similarly to each other for the first 600 s. Sub-
278 sequently, as with those two experiments, subtle differences in the near-ground wind field begin
279 to grow during the 600-1200 s period, yielding more qualitatively meaningful differences by 1500
280 s. Fig. 2a presents a time series of domain-wide³ minimum perturbation pressure for the GWB
281 experiments. All experiments with drag enabled ($C_d > 0$) exhibit large pressure deficits of 40-80
282 hPa during the 1500-2200 s time period. A tendency exists for an experiment's largest deficit to
283 occur earlier as C_d increases (e.g., CD2-2 reaches its minimum around 1500 s, whereas CD2-3
284 reaches its minimum around 2000 s). CD0 stands in stark contrast to the drag-enabled experi-

³Note that in Fig. 2, although plotted values are domain-wide extrema, larger magnitudes are almost always associated with the low-level mesocyclone region and/or tornado.

285 ments, with pressure deficits remaining smaller than 25 hPa throughout the period. Among the
286 drag-enabled experiments, pressure deficits in CD5-2 are substantially smaller than in the other
287 experiments. A time series of maximum storm-relative horizontal winds (Fig. 2b) also reveals that
288 wind maxima tend to be larger, and occur earlier in time, in the experiments with larger C_d (ex-
289 cept for the largest value). The differences in maximum wind magnitude between the strong-drag
290 and weak-drag experiments are somewhat less pronounced than the corresponding differences in
291 pressure deficits, however, as wind speeds associated with strong outflows and other non-tornadic
292 features can also become quite large (e.g., even CD0 reaches a maximum of 80 m s^{-1} around 2800
293 s, and this strong flow is not associated with a near-surface vortex; Fig. 2c). A corresponding
294 time series of maximum vertical vorticity below 2 km AGL (Fig. 2c) tracks the inter-experiment
295 timing and magnitude differences of the perturbation pressure minima quite closely, including the
296 relatively weak maxima in CD5-2 when compared to the other drag-enabled experiments. The
297 storm features responsible for these discrepancies will now be shown and discussed.

298 Fig. 3 presents domain-wide time-height cross sections from 0-3000 s of maximum updraft and
299 vertical vorticity for the six experiments. The initial lowering of the mesocyclone from around
300 1500 m AGL toward the ground can be seen in the plots of updraft magnitude (Fig. 3a-f) to begin
301 earlier during the simulation as C_d increases. Similar to FWFRIC in R16, large cyclonic vorticity
302 develops quickly upward from the ground in all experiments except CD0 during the 1300-1800
303 s period (Fig. 3g-l). This process occurs progressively earlier with increasing C_d from 0.001
304 in CD2-3 to 0.02 in CD2-2, but there is little difference in timing between CD2-2 and CD5-2.
305 Based on these cross-sections, it appears that surface drag (with a C_d value as small as 0.002) is
306 required in order for an intense low-level mesocyclone to develop during this early stage of the
307 simulation, and that larger values generally hasten this process. However, at the high end of the
308 sampled C_d parameter space, there exist signs of an upper limit on favorability for intense low-

309 level mesocyclogenesis somewhere in the range $0.02 \leq C_d \leq 0.05$. Although the lowering of the
310 mesocyclone occurs slightly earlier in CD5-2 than in CD2-2, the maximum mesocyclone updraft
311 and vorticity are weaker overall in CD5-2, and intense rotation ($\zeta \geq 0.75 \text{ s}^{-1}$) does not extend
312 above 300 m AGL (Fig. 3d-e, i-j). This may be due to the increasingly large damping effect on
313 the near-surface flow as the surface drag increases in strength.

314 Horizontal cross-sections of horizontal convergence, perturbation pressure, and ground-relative
315 wind vectors at 1320 s are presented in Fig. 4. In CD0, a broad zone of convergence is seen along
316 the surface boundary, which is primarily north-south oriented and separates westerly and easterly
317 flow (Fig. 4a). As C_d increases in the remaining experiments, a few trends are noted. First, the
318 surface boundary becomes progressively more curved along its northern extent around ($x = 36$
319 km, $y = 65$ km). Second, the convergence zone becomes more compact, with a larger maximum
320 convergence magnitude at its center (except in CD5-2, where maximum convergence is weaker
321 than in all other drag-enabled experiments). Third, the inflow low (denoted by the innermost per-
322 turbation pressure contour) east of the boundary becomes centered more toward the northwest. In
323 CD2-2 and CD5-2, a strong pressure minimum associated with a developing tornado can already
324 be seen near ($x = 36$ km, $y = 64$ km) (Fig. 4e-f). All of these trends largely mirror the discrep-
325 ancies between EnvFRIC and FWFRIC analyzed in R16 (see their Fig. 4). The relatively orderly
326 changes with increasing C_d between CD0 and CD2-2 bolster confidence that the early-simulation
327 convergence boundary behavior in FWFRIC and EnvFRIC is predictable and representative of
328 monotonic trends within the C_d parameter space. By contrast, the markedly weaker convergence
329 maximum in CD5-2 relative to CD2-2 is another indication that surface drag in CD5-2 is so strong
330 as to interfere⁴ with processes that encourage more intense low-level mesocyclogenesis and tor-
331 nadogenesis during this period in CD2-2 (Fig. 4e-f). The ground-relative flow on both sides of the

⁴A tornado occurs shortly after this time in both CD2-2 and CD5-2, but its intensity is much greater in CD2-2 (c.f. Fig. 2).

332 boundary, and particularly within the inflow east of the boundary, is so weak in CD5-2 (Fig. 4f)
333 that low-level convergence is relatively anemic, and this proves detrimental to low-level updraft
334 maintenance (Fig. 3e).

335 Fig. 5 displays horizontal cross-sections at 10 m AGL and 1800 s, revealing the extent and
336 strength of the surface cold pool and tornado (except in CD0, where no tornado is ongoing at 1800
337 s). At 1800 s, the surface convergence boundary remains more north-south oriented in experiments
338 with smaller C_d , whereas experiments with larger C_d tend to exhibit a strongly curved boundary
339 that wraps into the tornado. It is noteworthy that relatively warm air resulting from a dynamically-
340 driven downdraft south of the mesocyclone (e.g., centered near $[x = 32 \text{ km}, y = 63 \text{ km}]$ in Fig.
341 5a) tends to wrap cyclonically around the mesocyclone and partially encircle the tornado in the
342 experiments with larger C_d , whereas the surface boundary south of the mesocyclone in CD0 (and,
343 to a much lesser extent, CD2-3) appears to block this warm air from wrapping in.

344 As discussed previously in R16 and RX17, the timing of tornadogenesis in our experiments
345 (about 25-35 min after the introduction of a thermal bubble to induce an updraft) is quite early
346 in the parent storm's life cycle. The 3D numerical experiments of Markowski (2016) with pre-
347 dominantly crosswise near-ground environmental vorticity exhibited similarly rapid genesis of a
348 tornado-like vortex, although his experiments were dry and used a much more idealized setup than
349 those in the present study (e.g., while using generally realistic supercell wind profiles, his "pseu-
350 dostorms" were forced and modulated by an artificial heat source and sink that produced an updraft
351 and downdraft). While a few comparable cases of very rapid tornadogenesis following convective
352 initiation have been documented in real observations (e.g., Palmer et al. 2011; Boustead and Gross
353 2016), the preponderance of evidence suggests most tornadoes forming via supercell mesocyclone
354 processes occur later into the storm life cycle – and with a cooler, more expansive rear-flank down-
355 draft (RFD) adjacent to the low-level rotation (e.g., Lemon and Doswell 1979; Markowski 2002),

356 providing greater opportunity for the influence of baroclinic vorticity (e.g., Klemp and Rotunno
357 1983; Rotunno and Klemp 1985; Markowski et al. 2008) – when compared to our simulations
358 herein. Thus, there is reason for caution in broadly applying conclusions regarding the precursors,
359 dynamics, and evolution of our simulated tornadoes and low-level mesocyclones to their coun-
360 terparts in real-world supercells. As in R16 and RX17, we stress that our findings through the
361 remainder of this section should be interpreted as evidence of the physical *plausibility* of dynam-
362 ically similar vortices within supercells, rather than as necessarily representative of all (or even
363 most) supercell tornadoes in nature. Indeed, extensions of our simulations herein to 4800 s ex-
364 hibit a second period of tornado development after a significant cold pool becomes established
365 (not shown); baroclinic vorticity generation is expected to play a larger role alongside frictional
366 generation under such conditions. These results will be analyzed and reported in future work.

367 *b. Tornado structure*

368 Next, we examine how the tornado-scale structure varies among our experiments, to the extent it
369 is resolved on our grid. Fig. 6 presents pseudo-vertical cross-sections of vertical velocity, vertical
370 vorticity, and wind vectors through the first tornado occurring in the drag-enabled experiments
371 (CD2-3, CD5-3, CD1-2, CD2-2, and CD5-3; note that CD0 is excluded in this section because
372 it does not produce a tornado). At each vertical grid level, a horizontal slice of grid points along
373 the x-axis is extracted along the y-coordinate containing the local minimum in p' ; these linear
374 slices are then stacked vertically to produce the pseudo-vertical sections in Fig. 6. Effectively, this
375 means that the cross-section tilts meridionally with height to keep the tornado center within the
376 cross-section plane. Although the cross-sections are taken near the time of peak tornado intensity
377 (as defined by the minimum pressure deficit) in each experiment, it must be cautioned that some
378 discrepancies between panels may be time-dependent and/or associated with storm-scale differ-

ences not directly tied to the vortex's interaction with the lower boundary; for this reason, we will present more spatiotemporally general statistics below. Nonetheless, the corner flow (Rotunno 1977; Lewellen et al. 2000) is more pronounced in CD2-2 and CD5-2 (Fig. 6d-e) than in CD2-3 and CD5-3 (Fig. 6a-b). Consequently, strong ($>30 \text{ m s}^{-1}$) updraft within the vortex tends to extend downward closer to the ground in experiments with larger C_d . In CD2-3, which uses the smallest C_d among the drag-enabled experiments, the tornado's primary updraft is elevated and fed by flow which turns upward with a relatively large curvature radius in the x-z plane; $w > 30 \text{ m s}^{-1}$ only occurs above 400 m AGL (Fig. 6a).

Evidence of marginally resolved multi-vortex structure near the ground exists to varying degrees in CD5-3 (Fig. 6b), CD1-2 (Fig. 6c), and CD2-2 (Fig. 6d); by contrast, the tornado in CD5-2 features a core axial updraft at the lowest grid levels AGL, supported by horizontal flow there converging sharply from the east and west (Fig. 6e). For context, horizontal cross-sections through the vortex at 50 m AGL of vertical velocity, perturbation pressure, and ground-relative wind vectors are presented in Fig. 7. Downdraft exists at or near the tornado center in CD2-3 (Fig. 7a), CD5-3 (Fig. 7b), and CD1-2 (Fig. 7c); by contrast, updraft dominates the entire inner vortex at this height in CD2-2 (Fig. 7d) and CD5-2 (Fig. 7e).

The trends with respect to corner flow and tornado-scale variations in w seen within our C_d parameter space broadly agree with Trapp (2000) (hereafter T00), who performed idealized axisymmetric vortex simulations with free-slip and no-slip lower boundary conditions. A key finding in T00 was that an axial (central) downdraft penetrated to the surface almost immediately after vortex-gensis in their free-slip simulation, but was dislodged aloft in their no-slip simulation by an intense axial jet erupting upward from the ground. Radial inflow resulting from surface friction disrupting cyclostrophic balance gives rise to this axial jet (Bluestein 2007); with all other variables held constant, larger C_d should tend to enhance this effect, as the magnitude of the frictional

403 force increases relative to other forces acting on near-ground parcels at the periphery of the vortex,
404 in turn leading to increased radial inflow. Indeed, the tornado is characterized by a strong central
405 updraft within the first 1-3 grid levels AGL in CD2-2 and CD5-2, but not in the weak-drag exper-
406 iments; these differences are also evidenced in the time-height sections (Fig. 3a-e), which reveal
407 a stronger updraft below 100 m AGL in CD2-2 and CD5-2 compared with the other experiments.
408 This greater propensity for single-vortex structure over rough surfaces was also demonstrated in
409 the tornado-like vortex laboratory experiments of Leslie (1977): a larger imposed swirl ratio was
410 required to drive a transition from single- to multiple-vortex structure when the surface rough-
411 ness was increased artificially in the laboratory chamber. Church et al. (1979) found comparable
412 results in a separate laboratory experiment, concluding that "...the swirl ratio is the internal pa-
413 rameter which primarily determines the [vortex] core configuration ... [but] the surface boundary
414 layer plays a significant yet secondary ... role." Finally, these results are also consistent with recent
415 idealized numerical simulations of tornadoes interacting with changes in local surface roughness
416 (Lewellen 2014).

417 More recently, Fiedler (2017) (hereafter F17) conducted idealized experiments of an axisym-
418 metric vortex with varying lower boundary conditions (including, effectively, multiple drag coeffi-
419 cients for the semi-slip boundary condition) to predict how simulated tornadoes should behave in
420 full 3D cloud models such as that used in the present study. F17 "anticipate[s] that a cloud model
421 with $C_d = 0.01$... will produce tornadoes ... that would have properties close to being free-slip"
422 in structure; specifically, downdraft would be expected to penetrate down to ground level. Indeed,
423 in CD1-2, strong downdraft exists in the vortex core near the ground at the time of peak intensity
424 (Fig. 6c). Other experiments in F17 which effectively employed $C_d = 0.0\bar{3}$ and 0.1 showed the
425 axial downdraft dislodged upward from the ground at most angular velocities tested (c.f. their
426 Figs. 3-4), matching the results herein for CD2-2 and CD5-2 (Fig. 6d-e).

427 Fig. 8a presents vertical profiles of vertical mass flux through a 350x350 m horizontal box
428 centered on the tornado in each experiment. The profiles represent 1-min averages ending at the
429 time in each experiment when p' within the tornado reaches its minimum (c.f. Fig. 2a). At each
430 grid level and at each time, the box is centered on the grid point where p' is a local minimum.
431 The upward mass flux increases monotonically with C_d within the lowest 70 m AGL, despite the
432 fact that overall tornado intensity does *not* exhibit this monotonic increase (indeed, well away
433 from the ground at 300 m AGL, the largest upward flux is actually found in the weakest-drag
434 experiment). A similar trend is found for the time-average maximum w inside the 350x350 m box
435 (Fig. 8b). Furthermore, when examining time-average minimum w inside the box, evidence of
436 axial downdraft penetrating down to the lowest 50 m AGL is most prevalent in CD2-3 and CD5-
437 3; modest downdraft also occurs above 30 m AGL in CD1-2. By contrast, downdraft is entirely
438 absent below 100 m AGL in CD2-2 and CD5-2. These results mirror aspects of Nolan et al. (2017),
439 who showed in very high-resolution idealized 3D LES tornado simulations that the maximum
440 updraft speed at 10 m AGL in their vortices increased markedly with surface roughness (among
441 three experiments with $z_0 = 0.05, 0.2, \text{ and } 0.8$ m; c.f. their Table 3). To the extent that our time-
442 averaged profiles in Fig. 8 represent the overall tornado behavior in each experiment, our results
443 support the arguments of T00 and confirm that high-resolution storm-scale numerical simulations
444 can reproduce certain aspects of tornadic structure previously identified in highly idealized vortex
445 models with artificial forcing.

446 *c. Circulation analysis of early mesocyclone*

447 In order to examine the dynamics of mesocyclone intensification, material circuits will once
448 again be employed, as in RX17. In this case, it is of particular interest to determine whether the
449 contribution to mesocyclone circulation from surface drag increases in an orderly fashion as C_d

450 increases. The procedure for initializing the circuits, as well as for calculating circulation and its
451 forcing terms along the circuit, is the same as in RX17 in most respects; a brief review follows here.
452 Horizontal circular circuits of radius 1.5 km are initialized centered on the low-level mesocyclone
453 (determined subjectively from the model wind field) with parcels approximately 19 m apart. These
454 parcels are integrated backward in time as trajectories; when the distance between adjacent parcels
455 exceeds 25 m after an integration time step, a new parcel is added to the circuit at the midpoint of
456 the line segment connecting those parcels, and is then included at all subsequent (backward) time
457 steps. We integrate circuits backward in time for 600 s (10 min) at a time step of 0.5 s (afforded
458 by linear temporal interpolation of the wind between model data files, which are available every
459 2 s). After integration, the relevant state variables are interpolated to parcel locations in order to
460 compute the circuit’s circulation and circulation forcing terms at each model data time (every 2
461 s). One notable difference from RX17 is that the GWB technique, which applies a PPGF and the
462 Coriolis force, introduces new terms into the prognostic circulation equation for a circuit such that:

$$\frac{dC}{dt} = \oint \mathbf{F} \cdot d\mathbf{l} + \oint B dz + \oint \mathbf{P} \cdot d\mathbf{l} - \oint (2\boldsymbol{\Omega} \times \mathbf{v}) \cdot d\mathbf{l} \quad (1)$$

463 where \mathbf{F} is the total mixing force; B is buoyancy; \mathbf{P} is the PPGF (as specified by the GWB tech-
464 nique); $\boldsymbol{\Omega}$ is Earth’s rotation; \mathbf{v} is the velocity vector; $d\mathbf{l}$ is a circuit segment (directed counter-
465 clockwise); and dz is the vertical component of the segment. From left to right, the RHS terms
466 in (1) represent circulation forcing from mixing, baroclinity, the PPGF, and Coriolis⁵. Note that
467 \mathbf{F} represents the net action of subgrid-scale turbulence *and* numerical diffusion on the velocity
468 components; when a parcel is near the ground and $C_d > 0$, the effects of surface drag typically
469 dominate this term.

470 In the present study, we initialize circuits in each experiment across an array of initial heights
471 and times. For each experiment, we initialize a circuit at three heights (500 m, 1000 m, and

⁵In our simulations, Coriolis is calculated with the domain’s center latitude taken to be 36°N.

472 2000 m AGL) at four times (1200 s, 1260 s, 1320 s, 1380 s); this yields 12 total circuits per
473 experiment. Our goal is to track how the forcing terms affect the low-level mesocyclone circulation
474 during its period of initial intensification. Dahl et al. (2012) discussed the increased uncertainty
475 associated with trajectories in 3D numerical simulations on the C-grid (Arakawa and Lamb 1977)
476 which pass below the lowest scalar grid level AGL. While it is often possible to select trajectories
477 for which this conundrum does not apply when analyzing individual parcels (e.g., R16), it is
478 impractical to do so for a large material circuit integrated over a duration of 10 min, considering
479 the number of parcels entailed. Consequently, as in RX17, we accept that some constituent parcels
480 *will* pass below 10 m AGL (the height of our first scalar level); in such cases, all interpolated scalar
481 quantities and horizontal momentum components are taken to be their values directly above the
482 parcel at 10 m AGL (note that w and its forcing terms are defined on the C-grid at the lower
483 boundary, obviating the need for this special treatment). This treatment avoids extrapolation, but
484 we still expect increased errors in both the trajectory position and interpolated quantities (e.g., for
485 our circulation budgets) when it is applied. An analysis of the height distribution for all parcels
486 comprising our circuits (not shown) revealed that, at any given time, no more than 12% of parcels
487 resided below 10 m AGL for any circuit; a more typical proportion during the early part of the
488 integration windows was 5%. In practice, we expect analysis of these circuits to yield qualitatively
489 valid results when their interpolated (i.e., model-predicted) circulation agrees reasonably well with
490 the circulation integrated from source terms throughout the budget integration period.

491 Fig. 9 presents bar charts showing the integrated circulation contributions from the mixing
492 (a,b,c) and baroclinic (d,e,f) forcing terms over the preceding 10 min, normalized by the circuit's
493 final circulation value⁶ (the PPGF and Coriolis forcing terms are omitted for clarity here, as the

⁶The quantities plotted are given as the ratio of the circulation generated by the forcing term *during the 10-min integration period* to the *instantaneous* value of the circuit's circulation at the end of the integration period; this ratio is expressed as a percentage for clarity.

494 former is an artifact of our simulation approach and the latter is typically too small to be of inter-
495 est in supercell dynamics). It should be emphasized that each initial circuit time labeled on the
496 abscissa represents a unique set of circuits (e.g., the four yellow bars in Fig. 9a represent the nor-
497 malized mixing contribution for four unique circuits in CD5-2; *not* the time evolution of a single
498 circuit in CD5-2). Nonetheless, within a given experiment and at a given initial height, we take
499 the series of four circuits initialized at 60-s intervals between 1200-1380 s to represent the time
500 evolution of certain bulk mesocyclone properties – in particular, the proportion of mesocyclone
501 circulation generated by mixing and baroclinity.

502 For circuits initialized at 500 m AGL, the mixing term imparts a net negative contribution of
503 15-30% of the mesocyclone’s circulation for each of the initialization times in experiments CD0
504 and CD2-3; the relative magnitudes of these contributions generally remain steady with time over
505 the period (Fig. 9a). By contrast, the mesocyclone in CD5-3, CD1-2, CD2-2, and CD5-2 sees an
506 increased contribution with time from the mixing term during the same period. For CD5-3, the
507 contribution at 1200 s is weakly negative, but becomes weakly positive by 1380 s. For CD2-2
508 and CD5-2, the mixing contribution at 1200 s is small but positive, but grows increasingly large
509 with time; by 1380 s, mixing generation accounts for 40% to 50% of the circuits’ circulation.
510 In all experiments and at all times, the contribution from baroclinity is small, accounting for no
511 more than 10% (negative or positive) of the final circulation (Fig. 9d). We believe the increase
512 in the relative contribution of mixing with time in CD5-3, CD1-2, CD2-2, and CD5-2 owes to the
513 same positive feedback process described at length in RX17 (for experiment FWFRIC therein):
514 as the low-level mesocyclone begins to intensify, the coincident low-level updraft strengthens
515 dynamically, allowing more frictional vorticity residing in the lowest few hundred meters AGL
516 to be ingested into the circulation; this, in turn, enhances the mesocyclone in a positive feedback
517 loop.

518 For the circuits initialized at 1000 m AGL, a similar trend in the mixing term contribution with
519 time and with C_d is seen (Fig. 9b), albeit with smaller relative magnitudes than for the circuits
520 at 500 m AGL. One notable difference at 1000 m AGL is that, by the time of rapid mesocyclone
521 intensification at 1380 s, mixing is actually more effective at generating positive circulation in
522 CD2-2 than in CD5-2. Once again, as was true at 500 m AGL, baroclinity plays only a small
523 role in generating circulation for the mesocyclone at 1000 m AGL (Fig. 9e). Finally, at 2000 m
524 AGL, the contribution of the mixing term is smaller still in magnitude and less correlated with
525 time or C_d (Fig. 9c) than at lower heights. Except for CD2-2, where mixing is a 10-20% positive
526 contribution by 1320 s and 1380 s, mixing contributions for other circuits are small and of the same
527 order of magnitude as baroclinity (Fig. 9f). We note that while the circuits initialized at 2000 m
528 AGL contain only a small relative contribution from frictional generation, it is possible that more
529 substantial such generation occurred earlier in the simulation than our 10-min integration window
530 (e.g., if constituent parcels were located near the ground >10 min before our circuit initialization
531 time but ascended thereafter, our integration window would potentially miss important frictional
532 generation).

533 The trend for the mixing term to provide a more positive contribution to the low-level mesocy-
534 clone circulation as C_d increases is expected, based on the mechanism identified in RX17 wherein
535 surface drag slows the southwestward-directed momentum of near-ground inflow parcels while
536 parcels higher above are less affected by the surface drag. To better understand the physical mech-
537 anisms responsible for the mixing contributions shown in Fig. 9a, three-dimensional circuits are
538 plotted in Fig. 10, with each inter-parcel segment shaded by its local per-unit-length contribution
539 to the mixing term. While the circuits plotted were initialized around the mesocyclone at 1380 s
540 and 500 m AGL in each experiment, their positions are plotted at 1140 s (4 min into their back-
541 ward integration). As seen in circulation budgets for these circuits (Fig. 11), the magnitude of

542 the mixing term tends to be maximized around this time (1140 s), regardless of whether its sign is
543 predominantly positive (CD5-3, CD1-2, CD2-2, and CD5-2) or negative (CD0 and CD2-3) during
544 the integration window. It is apparent in Fig. 10 that the circuits in all six experiments contain a
545 long segment lying near the ground toward their southeastern extent, similar to circuits previously
546 analyzed in EnvFRIC and FWFRIC (c.f. Fig. 9 in RX17). Along most of this near-ground seg-
547 ment, which lies in the inflow region east of the mesocyclone, the sign of the local mixing term
548 reflects the predominant sign seen in Fig. 9a and Fig. 11 for the total circuit generation term. In
549 all experiments, some locally large values of this generation term are seen along higher portions of
550 the circuit toward its northwestern extent, but these tend to manifest as offsetting dipoles with op-
551 posite signs on the upward- and downward-directed circuit segments. Thus, the long near-ground
552 circuit segment in the inflow region appears primarily responsible for the net forcing from mixing
553 in each experiment, implicating the effects of surface drag (or lack thereof in CD0). These budgets
554 further corroborate the conceptual model of the frictional generation mechanism from RX17 (e.g.,
555 their Fig. 15) and verify its presence over the C_d parameter space we examine herein: in CD5-3,
556 CD1-2, CD2-2, and CD5-2, surface drag is acting against northeasterly⁷ near-ground flow in the
557 inflow region. This decelerates flow that is locally consistent with clockwise (negative) circulation
558 about the circuit, thus increasing the total circulation. The same mechanism acts on the circuits
559 at 1000 m AGL, but it constitutes a relatively smaller portion of the final circulation (Fig. 9b),
560 because the circuit is farther away from ground and thus less affected by surface drag. At 2000 m
561 AGL, mixing has only a modest impact on circulation overall (Fig. 9c).

562 A noteworthy result is that, in the absence of drag, mixing imposes a substantial negative con-
563 tribution to the final circulation at 500-1000 m AGL in CD0; this is also true to a lesser extent in
564 CD2-3 with weak drag. As discussed in M16 (see their Fig. 24) and supported in RX17, internal

⁷Here we refer to northeasterly flow in a ground-relative sense, as seen in Fig. 4.

565 mixing typically acts to dampen local vorticity maxima (e.g., the large horizontal vorticity in the
566 inflow region east of the mesocyclone). The circulation budgets at 500 m AGL for CD0 suggest
567 this effect can act to impart a negative contribution of as much as 25-30% to the circuits' circula-
568 tion during their approach to the low-level mesocyclone (e.g., Fig. 9a). This provides a baseline
569 which puts the mixing contribution for the other experiments into context: in experiments CD2-2
570 and CD5-2, where the mixing term provides a 40-50% positive net contribution to circulation, the
571 final circulation is perhaps as much as 150% larger than might be expected in the absence of drag⁸.
572 Even in CD5-3, where mixing has just a small positive net contribution to the mesocyclone circu-
573 lation at 1380 s, surface drag itself is likely still generating substantial circulation (e.g., Fig. 10c)
574 that is mostly offset by the diffusive effects of internal mixing. One caveat to interpreting the mix-
575 ing forcing in CD0 as a baseline for the other experiments is that agreement between its circuit's
576 interpolated and integrated circulation budgets is only modest (Fig. 11a). Note that some disagree-
577 ment between interpolated and integrated circulation is unavoidable due to numerical errors often
578 related to near-grid-scale features.

579 **4. Summary and conclusions**

580 In this study, a new method (Dawson et al. 2019) was employed for maintaining a three-force
581 balance among the horizontal PGF, Coriolis force, and frictional force in the background envi-
582 ronment of idealized single-sounding 3D storm simulations. This geotriptic wind balance (GWB)
583 technique allows the use of an arbitrary initial sounding in simulations which use parameterized
584 surface drag with constant drag coefficient C_d ; without the GWB, surface drag would act to mod-
585 ify the background wind profile over time throughout the domain, particularly near the ground.

⁸This assumes (1) the same initial circuit position and subsequent trajectory, (2) the same initial circulation at the beginning of the integration window, (3) similarly negligible contributions from PPGF and Coriolis, and (4) that diffusive effects alone would impart the same 25-30% negative contribution during the integration window as seen in CD0.

586 The GWB technique was employed in six idealized supercell simulations whose drag coefficients
587 spanned the range $0 \leq C_d \leq 0.05$. All the simulations with nonzero drag coefficients produced
588 a low-level mesocyclone 1200-1800 s into the simulation which lowered toward the ground and
589 eventually spawned a strong tornado, similar to experiment FWFRIC in RX17. The experiment
590 with $C_d = 0$ was very similar to EnvFRIC in RX17, and did not produce a tornado nor an intense
591 near-ground mesocyclone during this period.

592 Material circuits were initialized enclosing the low-level mesocyclone during its early intensifi-
593 cation phase, integrated backward in time, and circulation budgets were calculated. These budgets
594 suggest surface drag contributed a larger positive proportion of the total circulation for circuits in
595 the experiments with larger drag coefficients during this early mesocyclone intensification period.
596 Furthermore, the budgets for circuits in CD0 reveal that in the absence of surface drag, mixing
597 processes (turbulence mixing and numerical diffusion) commonly imposed a substantial (15-25%
598 below 1 km AGL) negative contribution to circulation on circuits bound for the low-level mesocyc-
599 clone (note that the surface drag effect is propagated into the interior flow from the ground surface
600 through the turbulence mixing terms in the numerical model). Thus, the positive *net* contribution
601 from mixing seen in the strong-drag experiments suggests the beneficial effect of surface drag was
602 large enough to overcome a baseline negative contribution from other mixing effects.

603 Additionally, vertical cross-sections through the tornadoes (in experiments which produced
604 them) revealed structure consistent in some respects with previous laboratory experiments (Ward
605 1972) and numerical simulations using axisymmetric models with surface drag (Trapp 2000;
606 Fiedler 2017). Specifically, radial inflow along the ground toward the center of tornadoes in the
607 strong-drag experiments was substantially stronger than those in the weak-drag and no-drag ex-
608 periments. Also, an axial downdraft in the tornadoes penetrated down to the first grid level AGL in

609 the experiments with smaller C_d ; in the two strongest-drag experiments, however, this downdraft
610 was dislodged upward at least two grid levels AGL.

611 Following R16 and RX17, the experiments in the present study strengthen some of our ear-
612 lier key findings regarding mesocyclone and tornado behavior in the presence or absence of sur-
613 face drag. In the most important respects (e.g., timing of intensification and lowering toward the
614 ground), the low-level mesocyclone behavior changed monotonically and fairly predictably with
615 C_d over the range of values tested herein, up until the strongest-drag experiment (CD5-2) with
616 a drag coefficient of 0.05. Given the decreased intensity of the tornado and low-level updraft in
617 CD5-2 relative to CD2-2, we infer that for the bulk drag parameterization used in most current
618 atmospheric models, the optimal value of C_d for generating an intense near-ground mesocyclone
619 in conditions similar to ours lies between 0.01 and 0.05. Although C_d was spatially homogeneous
620 in our simulations, given the circulation analyses presented in Fig. 10, it is likely that the drag
621 strength in the *inflow region* of the supercell was the dominant control on generation of important
622 circulation (although in the general case, this same effect could occur in other regions of the storm
623 [e.g., the RFD], provided parcels originating there subsequently enter the tornado). This broadly
624 agrees with some aspects of Reames and Stensrud (2018) (hereafter RS18), who produced a 108-
625 member ensemble of 500-m real-data simulations based on the 31 May 2013 El Reno, Oklahoma,
626 supercell; in each member, land surface properties corresponding to the Dallas-Fort Worth urban
627 area were specified over a different patch of the domain, with the remainder of the 250x250 km
628 domain comprised of grassland. RS18 found typically on the order of a 50% surplus in 0-1 km
629 storm-relative helicity over the urban area, and ensemble members with the urban area placed
630 south and southeast of the simulated storm track had a particular tendency toward a more intense
631 second mesocyclone cycle (after storm maturity) than other members. The simulations in RS18
632 used real (heterogeneous) data for their initial condition, were much coarser than ours in hori-

633 zontal resolution, and employed a PBL parameterization for boundary layer mixing instead of
634 three-dimensional subgrid-scale turbulence mixing as in our LES type simulations; their results
635 thus provide somewhat independent support for the notion that land surfaces beneath or near a
636 supercell’s inflow region with strong drag may enhance mesocyclone intensity. To the extent this
637 notion is valid, it could provide a crucial opportunity for operational meteorologists to consider
638 land surface properties in anticipating supercell behavior on short timescales. However, the rele-
639 vance of these results to the real world, and even to numerical simulations across a broad range of
640 environmental conditions, is subject to further investigation. For example, Markowski and Bryan
641 (2016) (hereafter MB16) illustrated the potential for overestimation of near-surface shear in LES
642 when the modeled flow does not contain resolved eddies, an issue which may have some relevance
643 to the simulations in the present study⁹. Most recently, Markowski et al. (2019) (hereafter M19)
644 have discussed reasons for caution in applying conventional formulations of the ”semi-slip” lower
645 boundary condition in severe storm simulations, owing in part to field observations of larger ver-
646 tical shear in the surface layer than is assumed in these formulations. However, M19 note that
647 while field observations suggest the near-ground shear in typical storm outflow may severely vi-
648 olate those assumptions, violations in the inflow region and background environment are usually
649 milder. With this considered, we believe that our results are at least qualitatively correct, espe-
650 cially in terms of the trend of surface drag dependency; using a more sophisticated (but currently
651 unavailable) drag parameterization would most likely yield results with similar trends and key
652 mechanisms (although storm and vortex behavior at particular values of C_d is perhaps likely to
653 change).

⁹A constant wind profile with height was specified in the initial conditions of MB16’s idealized experiments, thus requiring an Ekman layer to develop from scratch during their simulations. It is therefore likely that the overestimation of near-wall shear demonstrated in MB16 was more severe than in our present study, given that we initialize with a realistic wind profile that has already been subject to the effects of surface drag, and we do not require the model to *create* a boundary layer.

654 The results presented in this study constitute a step forward toward understanding surface drag's
655 role in supercell tornadogenesis dynamics, but many steps remain. One such step is to perform ex-
656 periments similar to those presented herein for a range of different initial soundings, which should
657 help to illuminate which of our results are generalizable to most storms. Another step is to de-
658 crease the horizontal grid spacing by a factor of 2 or 3 to better resolve tornadoes; cross-sections
659 presented herein showed indications that our grid is just fine enough to simulate some semblance
660 of multiple-vortex structure (e.g., Fig. 6), but that the subvortices are only marginally resolved,
661 yielding unrealistic details. Adding more vertical grid levels within the lowest 100 m AGL could
662 also prove immensely helpful in calculating vorticity and circulation budgets along trajectories
663 and circuits bound for tornadoes and low-level mesocyclones, as we have found such parcels tend
664 to originate from below 10 m AGL (our lowest scalar level) quite often. The higher vertical reso-
665 lution near the surface can also better resolve vertical wind shear there and may make the results
666 less sensitive to the surface layer drag parameterization. The simulations herein also still contain
667 mostly laminar flow in the inflow region, which could be subject to developing exaggerated near-
668 ground shear as described by MB16. Thus, it may be desirable to eliminate this caveat by inducing
669 turbulence in the far field with small thermal perturbations (Muoz-Esparza et al. 2014; Markowski
670 and Bryan 2016; Dawson et al. 2019). Perhaps most crucially of all, borrowing more sophisticated
671 surface layer parameterizations from the engineering community (as suggested by M19) is a chal-
672 lenging but necessary step toward bolstering confidence that our storm simulations are reflecting
673 the influence of drag realistically. If these considerations are addressed in the course of designing
674 future idealized supercell simulations, then alongside real-data modeling studies and observational
675 efforts, we are optimistic that an important component of the tornadogenesis problem – the relative
676 importance of surface drag in generating tornadic vorticity across the full distribution of real-world
677 tornado cases – may soon come into clearer focus.

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857 TABLE 1. Drag coefficients (C_d) for GWB experiments. For each C_d , the equivalent roughness length (z_0) and
 858 representative real-world surface(s) are presented in accordance with the descriptions of Wieringa (1993).

Experiment	C_d	Equiv. z_0 (m)	Representative surface type
CD0	0	0	Idealized frictionless
CD2-3	0.002	0.002	Short grass
CD5-3	0.005	0.04	Long grass
CD1-2	0.01	0.2	Cropland
CD2-2	0.02	0.6	Bushland, suburb
CD5-2	0.05	1.7	Mature forest, city core

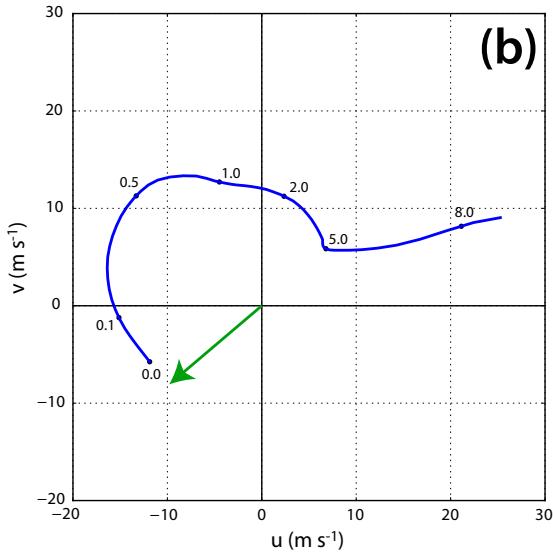
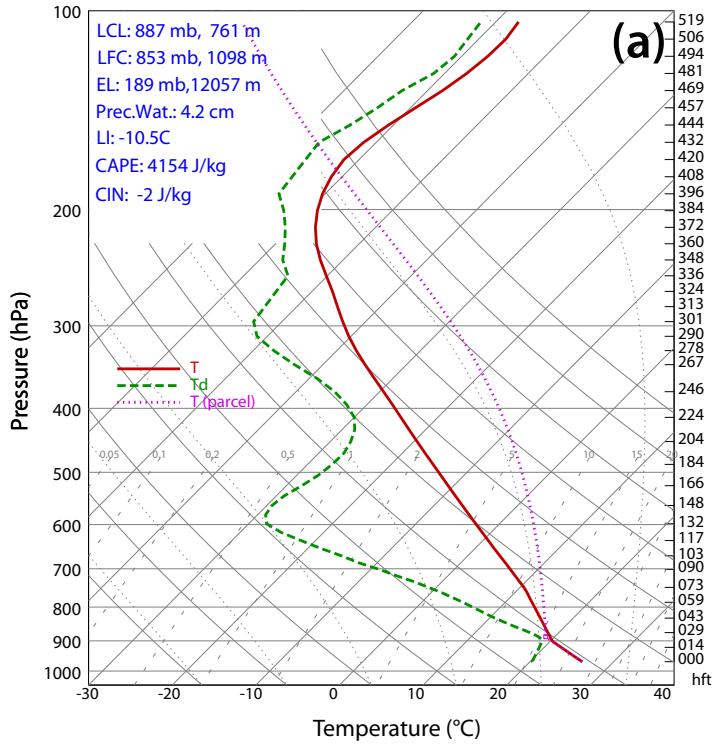
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894	Fig. 8.	Time-averaged vertical profiles of (a) vertical mass flux, (b) maximum w , and (c) minimum w within a 350x350 m box centered on the first tornado in each experiment (except CD0, which has no tornado during the initial mesocyclone cycle). At each vertical level and at each sampled time, the box is centered on the grid point with minimum p' . For each experiment, the tornado is sampled at 10-s intervals over the minute leading up to the time of the minimum p' in Fig. 2 (1900-1960 s for CD2-3; 1720-1780 s for C5-3; 1570-1630 s for CD1-2; 1460-1520 s for CD2-2; 1560-1620 s for CD5-2). 51
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901	Fig. 9.	Total contribution by the mixing generation term over the 10-min circulation budget integration window, normalized by the final value of circulation at the end of the window, for
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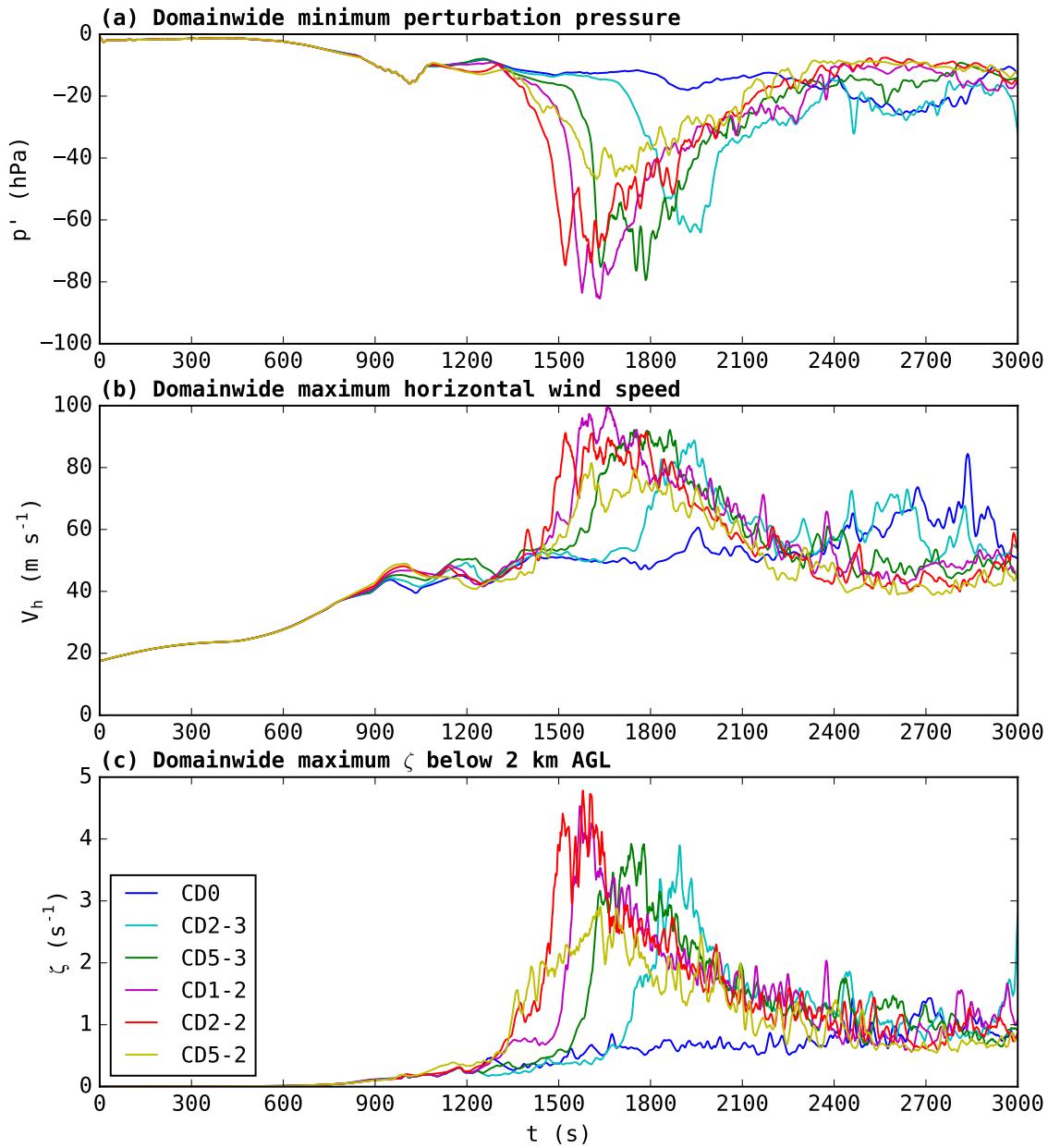
903 circuits initialized at (a) 500 m AGL, (b) 1000 m AGL, and (c) 2000 m AGL. (d-f) as in
 904 (a-c), but for the baroclinic generation term. Each panel is divided into four sections cor-
 905 responding to the times labeled on the abscissa. These labels denote when the circuit in
 906 each experiment was *initialized*; the plotted contributions occurred over the 10-min period
 907 preceding this time. 52

908 **Fig. 10.** For circuits initialized at 1380 s and 500 m AGL, the circuit position at 1140 s is plotted for
 909 the circuit in (a) CD0, (b) CD2-3, (c) CD5-3, (d) CD1-2, (e) CD2-2, and (f) CD5-2. Parcels
 910 are colored by $\frac{F \cdot dl}{|dl|}$ (the mixing term) for the adjacent circuit segment, which represents the
 911 local contribution to $F \cdot dl$ for that segment. 53

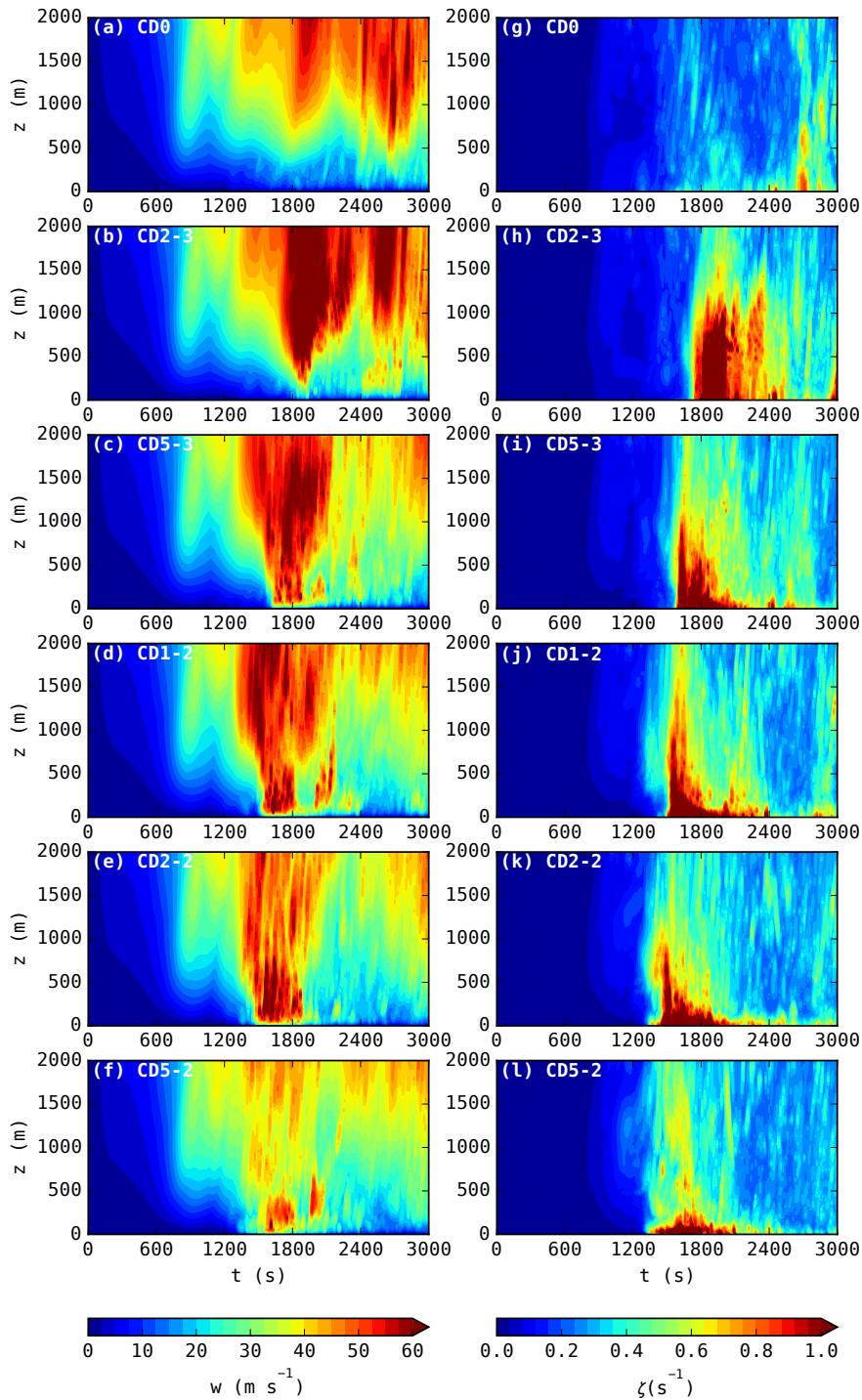
912 **Fig. 11.** Time series of interpolated circulation (solid black) and circulation integrated from forc-
 913 ing terms (solid green); and for the mixing (dashed red), baroclinic (dashed blue), Coriolis
 914 (dashed brown), and PPGF (dashed purple) forcing terms for the circuits in Fig. 10; (a-f)
 915 correspond to the circuits described therein. These circuits were each initialized at 1380 s
 916 and integrated backward 10 min in time (to 780 s). The left ordinate axis labels are for the
 917 interpolated and integrated circulation, while the right ordinate axis labels are for the forcing
 918 terms. 54



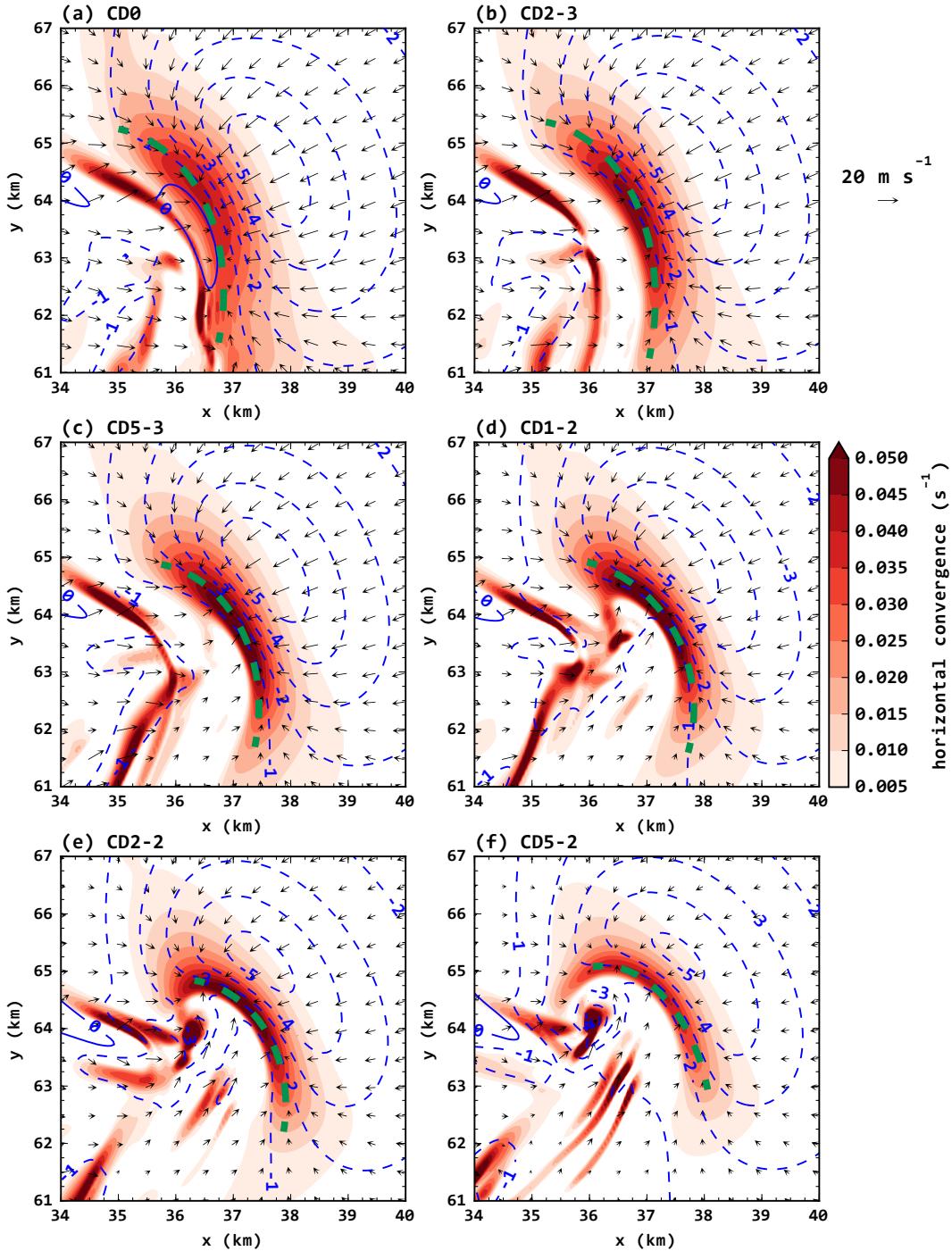
919 FIG. 1. (a) Skew-T Log-P plot, and (b) wind hodograph, representing sounding MAY3B, which is used to
 920 initialize all experiments in the present study. In (b), dots and their annotations represent heights AGL in km,
 921 and the green arrow emanating from the origin represents the "ground-motion vector" (i.e., the vector which is
 922 added to the base-state wind profile to obtain a quasi-stationary storm in the simulation). Adapted from R16.



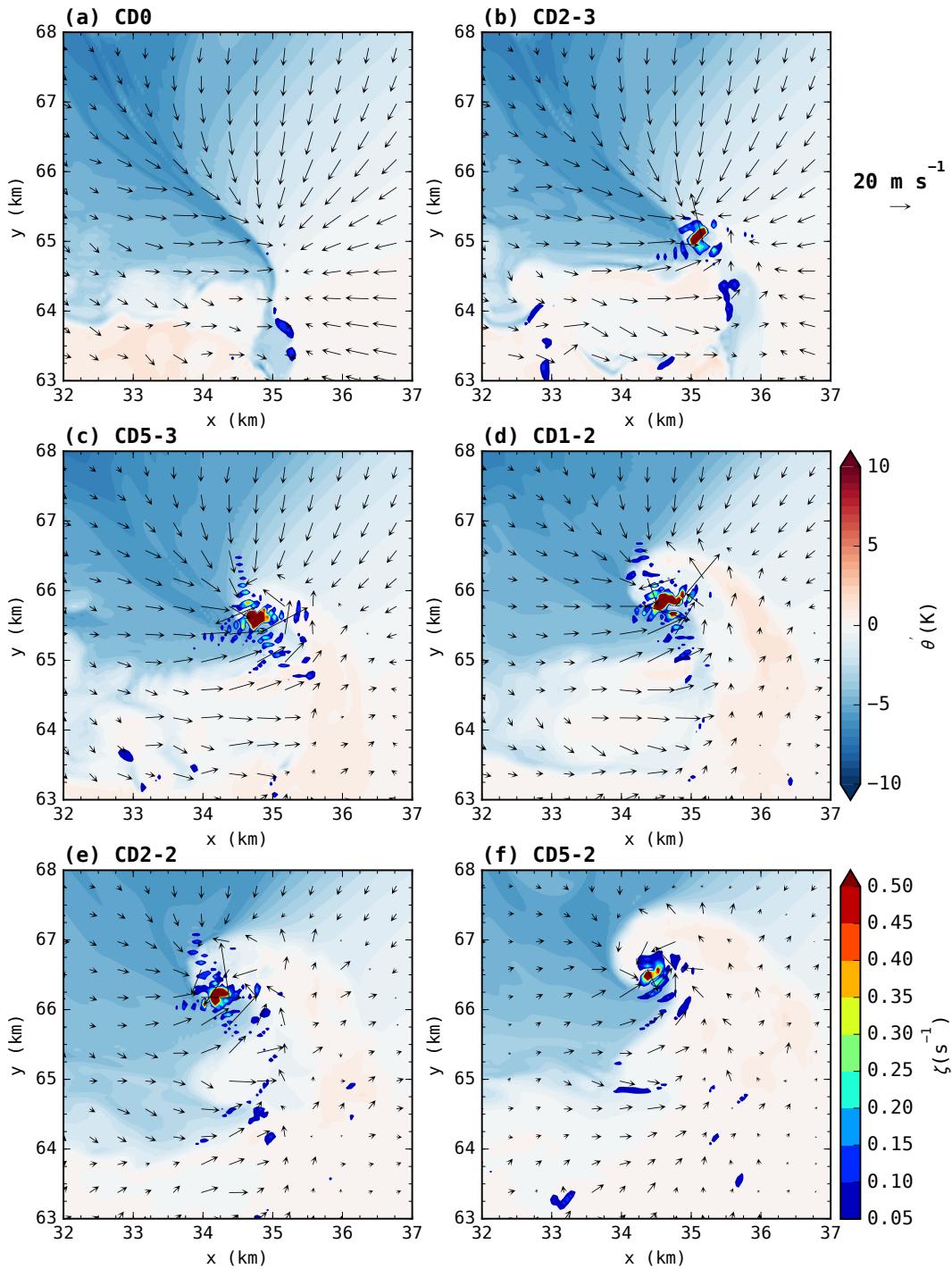
923 FIG. 2. Time series of domain-wide (a) minimum perturbation pressure, (b) maximum horizontal storm-
 924 relative wind speed, and (c) maximum vertical vorticity below 2 km AGL for the six GWB-based experiments
 925 between 0-3000 s.



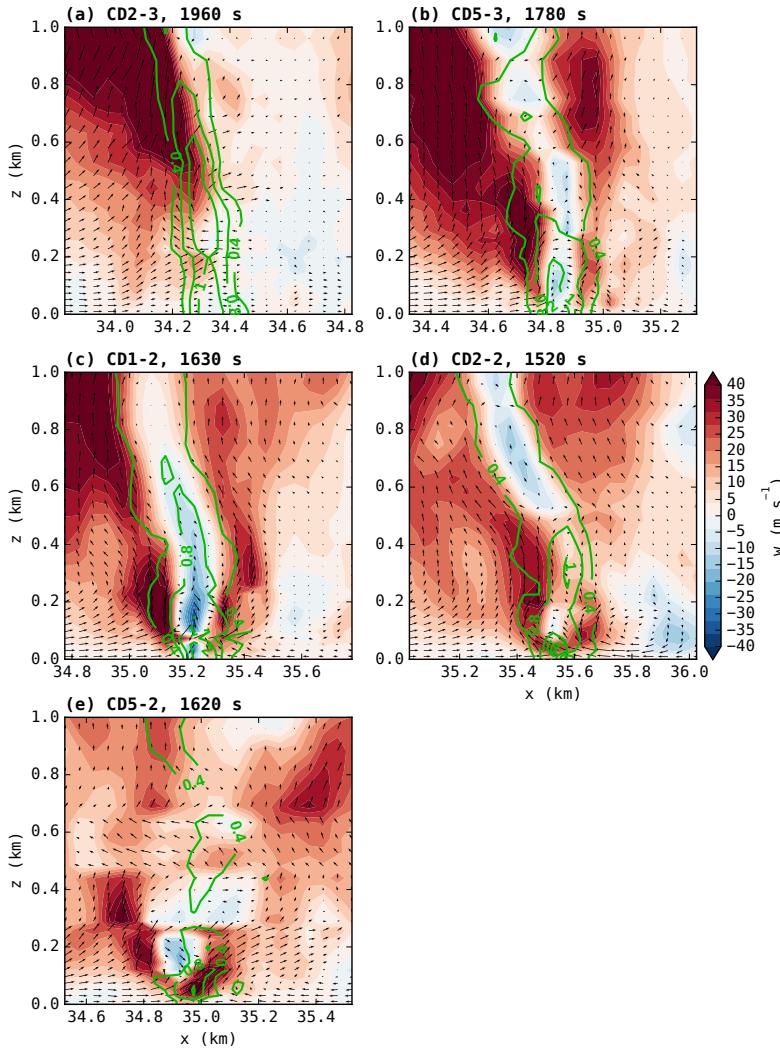
926 FIG. 3. Domain-wide maximum time-height cross sections from 0-3000 s of updraft for (a) CD0, (b) CD2-3,
 927 (c) CD5-3, (d) CD1-2, (e) CD2-2, and (f) CD5-2; and of vertical vorticity for (g) CD0, (h) CD2-3, (i) CD5-3, (j)
 928 CD1-2, (k) CD2-2, and (l) CD5-2.



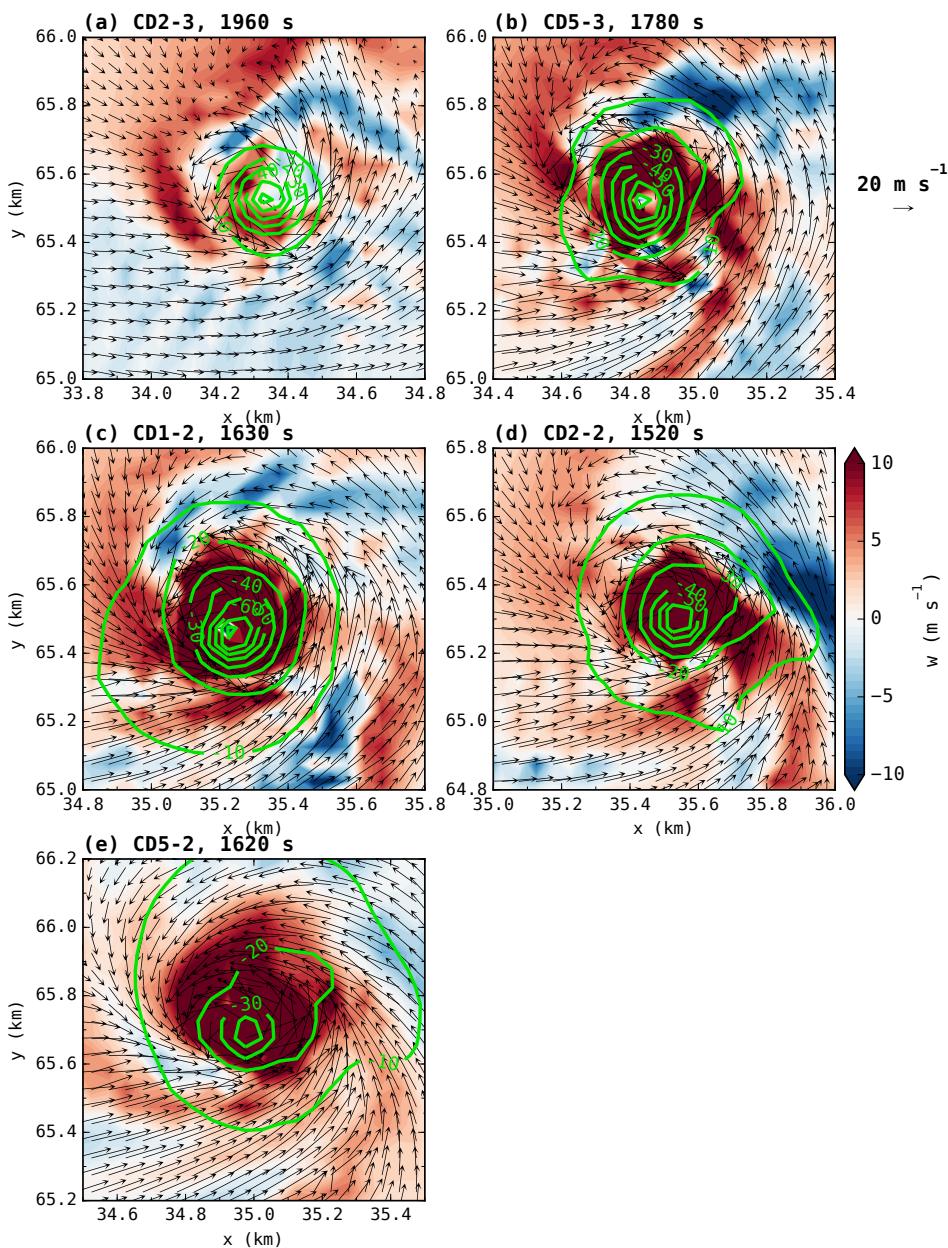
929 FIG. 4. Horizontal cross-section at 10 m AGL and 1320 s of horizontal convergence (shaded), perturbation
 930 pressure (blue dashed contours every 1 hPa for $p' \leq -1$ hPa), and ground-relative wind vectors for (a) CD0, (b)
 931 CD2-3, (c) CD5-3, (d) CD1-2, (e) CD2-2, and (f) CD5-2. In each panel, the convergence boundary is annotated
 932 with a green dashed curve.



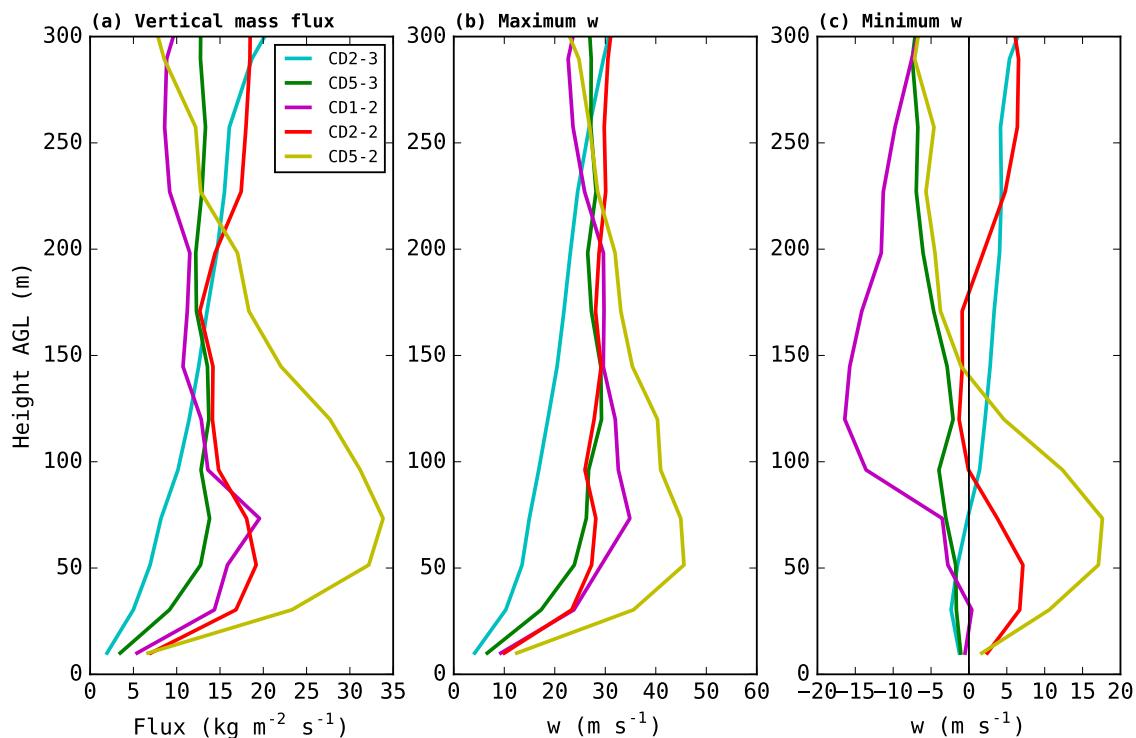
933 FIG. 5. Horizontal cross-section at 10 m AGL and 1800 s of perturbation potential temperature (shaded),
 934 cyclonic vorticity (shaded for $\zeta \geq 0.05 \text{ s}^{-1}$), and ground-relative wind vectors for (a) CD0, (b) CD2-3, (c) CD5-
 935 3, (d) CD1-2, (e) CD2-2, and (f) CD5-2.



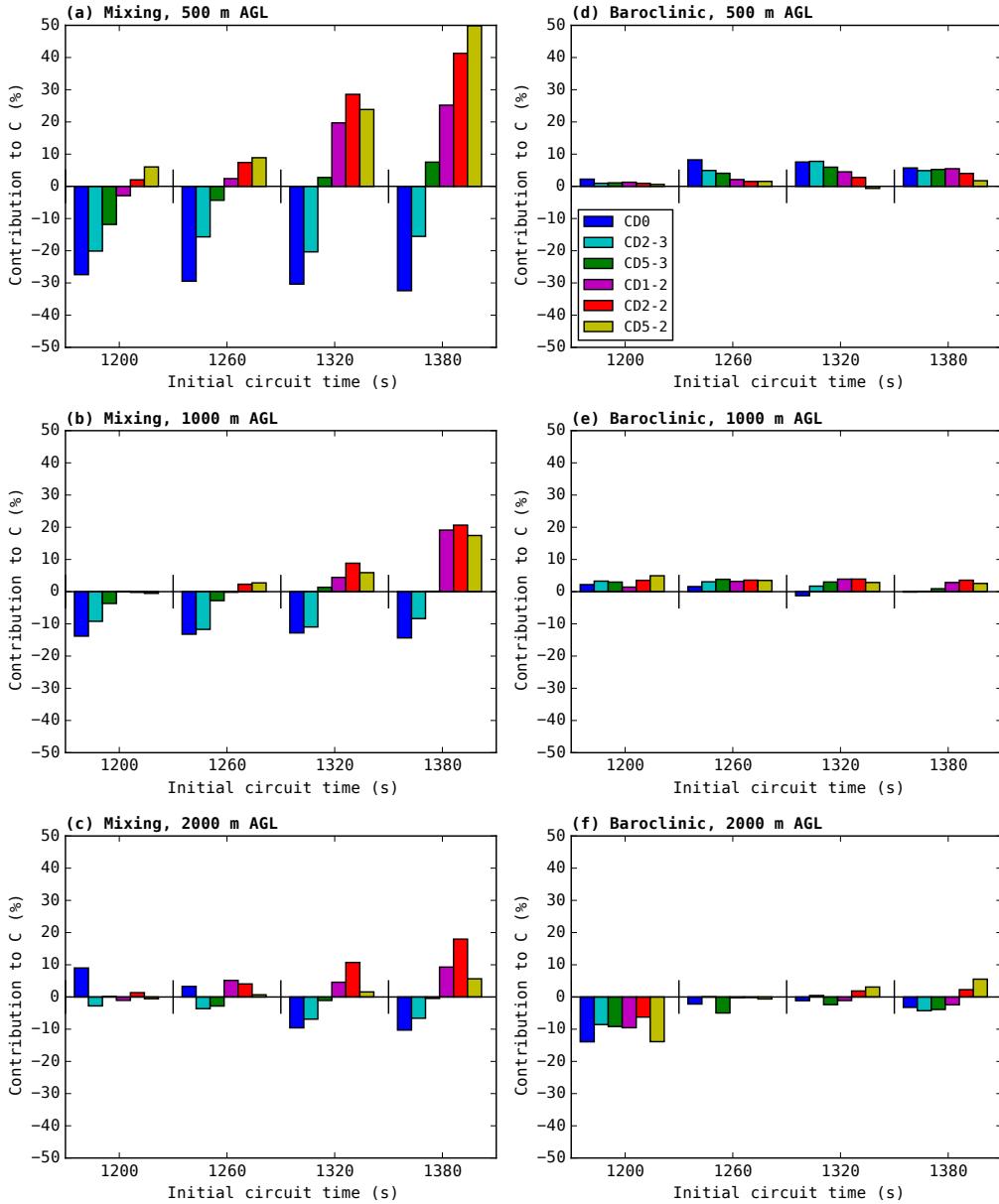
936 FIG. 6. Pseudo-vertical cross-section in the x - z plane of vertical velocity (shaded), vertical vorticity (green
 937 contours every 0.4 s^{-1} for $\zeta \geq 0.4 \text{ s}^{-1}$), and ground-relative wind vectors (u - w vector plotted) zoomed on the
 938 tornado in each experiment near the time of its peak intensity (minimum p'): (a) CD2-3 at 1960 s, (b) CD5-3
 939 at 1780 s, (c) CD1-2 at 1630 s, (d) CD2-2 at 1520 s, and (e) CD5-2 at 1620 s. At each vertical grid level, an
 940 x - z slice is taken through the y -coordinate containing the local minimum in p' ; these slices are then stacked
 941 vertically to create a pseudo-vertical section. Qualitatively, the plotted surface in each panel can be considered
 942 to bend meridionally with height to follow the tornado center. In (e), the tornado is vertically shallow, leading to
 943 discontinuities with height in the selection of y -slices (i.e., above 200 m AGL, p'_{min} may occur with features not
 944 horizontally collocated with the underlying tornado).



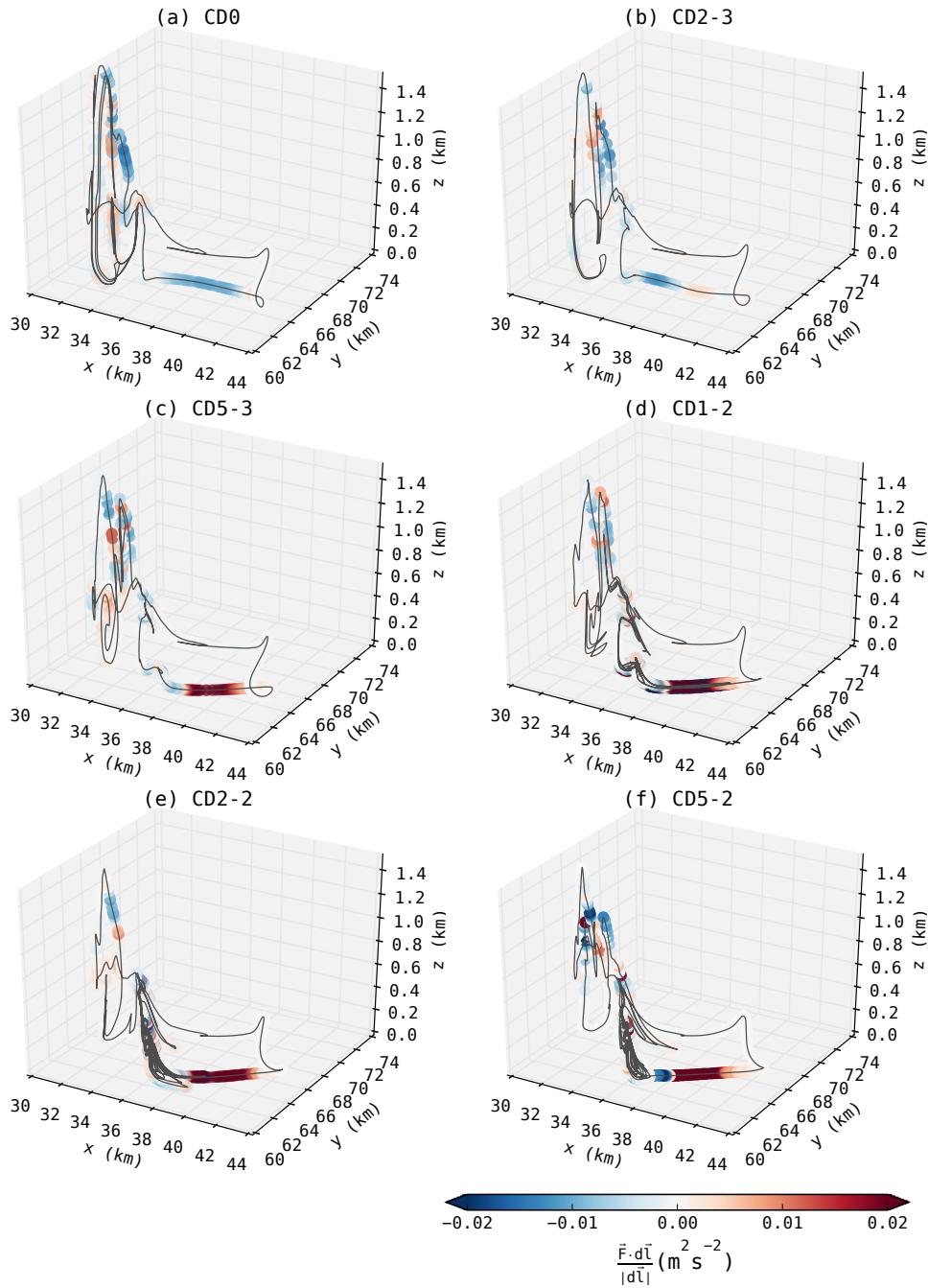
945 FIG. 7. Horizontal cross-section at 50 m AGL of w (shaded) and perturbation pressure (green contours every
 946 10 hPa for $p' \leq -10$ hPa) zoomed on the tornado in each experiment near the time of its peak intensity (minimum
 947 p'). (a) CD2-3 at 1960 s, (b) CD5-3 at 1780 s, (c) CD1-2 at 1630 s, (d) CD2-2 at 1520 s, and (e) CD5-2 at 1620
 948 s. Note that the x- and y-axis coordinates differ between panels.



949 FIG. 8. Time-averaged vertical profiles of (a) vertical mass flux, (b) maximum w , and (c) minimum w within
 950 a 350×350 m box centered on the first tornado in each experiment (except CD0, which has no tornado during the
 951 initial mesocyclone cycle). At each vertical level and at each sampled time, the box is centered on the grid point
 952 with minimum p' . For each experiment, the tornado is sampled at 10-s intervals over the minute leading up to
 953 the time of the minimum p' in Fig. 2 (1900-1960 s for CD2-3; 1720-1780 s for C5-3; 1570-1630 s for CD1-2;
 954 1460-1520 s for CD2-2; 1560-1620 s for CD5-2).

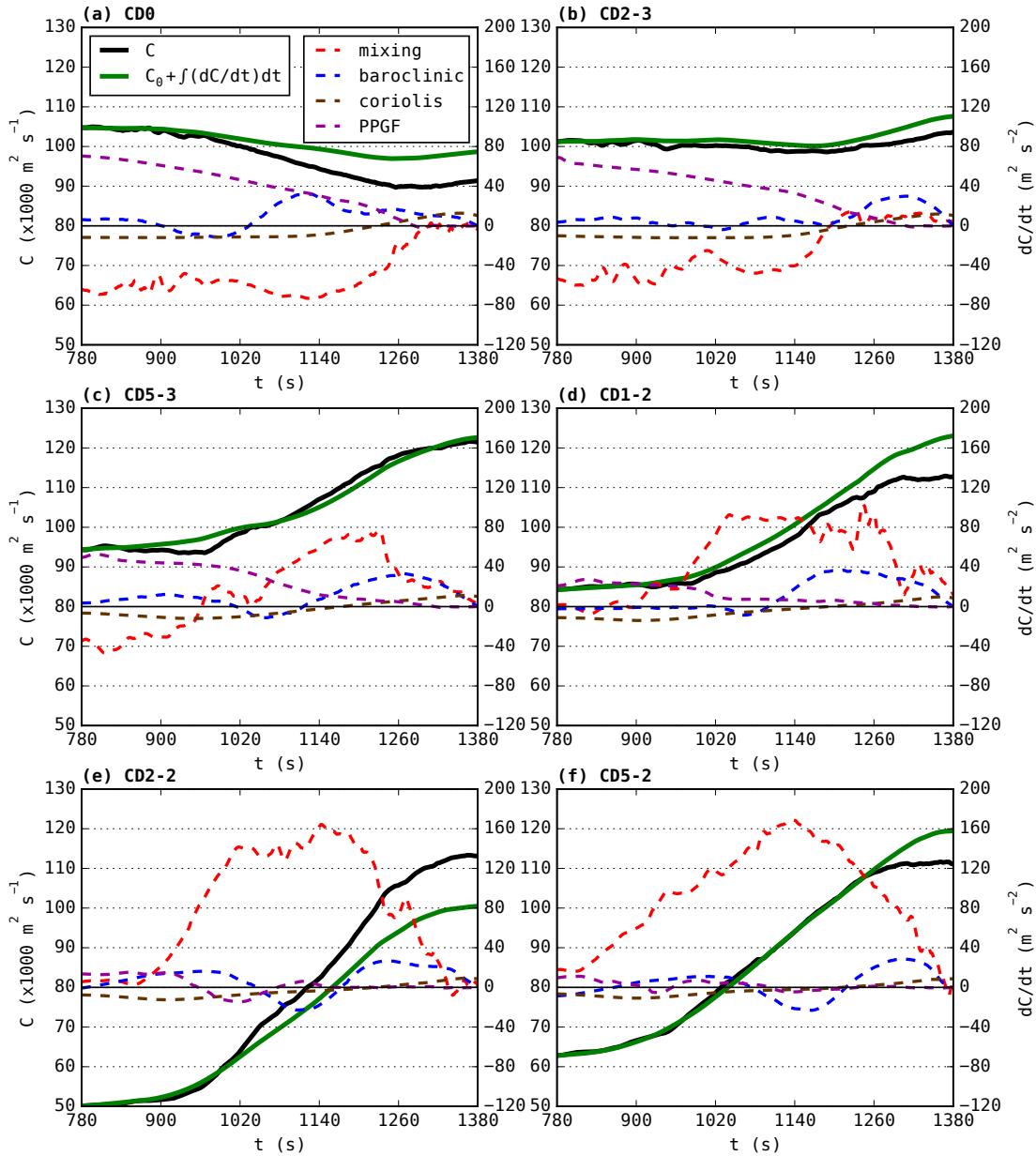


955 FIG. 9. Total contribution by the mixing generation term over the 10-min circulation budget integration
 956 window, normalized by the final value of circulation at the end of the window, for circuits initialized at (a)
 957 500 m AGL, (b) 1000 m AGL, and (c) 2000 m AGL. (d-f) as in (a-c), but for the baroclinic generation term.
 958 Each panel is divided into four sections corresponding to the times labeled on the abscissa. These labels denote
 959 when the circuit in each experiment was *initialized*; the plotted contributions occurred over the 10-min period
 960 preceding this time.



961 FIG. 10. For circuits initialized at 1380 s and 500 m AGL, the circuit position at 1140 s is plotted for the
 962 circuit in (a) CD0, (b) CD2-3, (c) CD5-3, (d) CD1-2, (e) CD2-2, and (f) CD5-2. Parcels are colored by $\frac{\vec{F} \cdot d\vec{l}}{|d\vec{l}|}$ (the
 963 mixing term) for the adjacent circuit segment, which represents the local contribution to $\vec{F} \cdot d\vec{l}$ for that segment.

964



965 FIG. 11. Time series of interpolated circulation (solid black) and circulation integrated from forcing terms
 966 (solid green); and for the mixing (dashed red), baroclinic (dashed blue), Coriolis (dashed brown), and PPGF
 967 (dashed purple) forcing terms for the circuits in Fig. 10; (a-f) correspond to the circuits described therein. These
 968 circuits were each initialized at 1380 s and integrated backward 10 min in time (to 780 s). The left ordinate axis
 969 labels are for the interpolated and integrated circulation, while the right ordinate axis labels are for the forcing
 970 terms.